

**T**his section will contain the final text of the rules proposed by agencies. The order of rulemaking is required to contain a citation to the legal authority upon which the order of rulemaking is based; reference to the date and page or pages where the notice of proposed rulemaking was published in the *Missouri Register*; an explanation of any change between the text of the rule as contained in the notice of proposed rulemaking and the text of the rule as finally adopted, together with the reason for any such change; and the full text of any section or subsection of the rule as adopted which has been changed from that contained in the notice of proposed rulemaking. The effective date of the rule shall be not less than thirty (30) days after the date of publication of the revision to the *Code of State Regulations*.

**T**he agency is also required to make a brief summary of the general nature and extent of comments submitted in support of or opposition to the proposed rule and a concise summary of the testimony presented at the hearing, if any, held in connection with the rulemaking, together with a concise summary of the agency's findings with respect to the merits of any such testimony or comments which are opposed in whole or in part to the proposed rule. The ninety (90)-day period during which an agency shall file its order of rulemaking for publication in the *Missouri Register* begins either: 1) after the hearing on the proposed rulemaking is held; or 2) at the end of the time for submission of comments to the agency. During this period, the agency shall file with the secretary of state the order of rulemaking, either putting the proposed rule into effect, with or without further changes, or withdrawing the proposed rule.

**Title 9—DEPARTMENT OF MENTAL HEALTH  
Division 10—Director, Department of Mental Health  
Chapter 7—Core Rules for Psychiatric and Substance Abuse Programs**

**ORDER OF RULEMAKING**

By the authority vested in the Department of Mental Health under section 630.050, RSMo 2000, the department amends a rule as follows:

9 CSR 10-7.140 is amended.

A notice of proposed rulemaking containing the text of the proposed amendment was published in the *Missouri Register* on October 2, 2006 (31 MoReg 1486-1489). Those sections with changes are reprinted here. This proposed amendment becomes effective thirty (30) days after publication in the *Code of State Regulations*.

**SUMMARY OF COMMENTS:** The Department of Mental Health received two (2) comments on the proposed amendment from the following individuals: Audrey Hanson McIntosh, Attorney for the Missouri Association of Osteopathic Physicians and Surgeons (MAOPS); Chris Snyder, Program Executive for Preferred Family Healthcare.

**COMMENT:** Audrey Hanson McIntosh for MAOPS, wrote in opposition stating the rule is promulgated under the authority of sections 630.050 and 630.055, RSMo which does not appear to provide authority over physicians. Ms. McIntosh further stated the rule fails to take into account the extensive education and training of a physi-

cian and fails to recognize the specialized training of physicians is different from that of a counselor, social worker or psychologist.

**RESPONSE:** The proposed rule change has no new impact upon physicians. All physicians who meet the current definition under 9 CSR 10-7.140 subsection (2)(RR) will continue to meet the definition under the proposed amendment. No changes have been made to the amendment as a result of this comment.

**COMMENT:** Chris Snyder for Preferred Family Healthcare expressed concern the proposed rule change would result in fewer Qualified Substance Abuse Counselors (QSAP) available to treat consumers. The result of this reduced number of staff qualified to perform key treatment functions would result in fewer consumers receiving treatment.

**RESPONSE AND EXPLANATION OF CHANGE:** The Missouri Substance Abuse Counselors Certification Board, Inc. began offering the Registered Substance Abuse Professional credential in 2005. This credential would allow individual's who currently meet the QSAP criteria but are not licensed or certified to continue to meet the criteria under the proposed rule change. Additionally, the department will expand the language of the proposed amendment to include an individual who is within one (1) year of meeting the required criteria and has a department approved written training plan in order to address concerns regarding the availability of counselors. The department intends to file a rule amendment as soon as possible addressing the minimum criteria expected to be included in the written training plan.

**9 CSR 10-7.140 Definitions**

(2) Unless the context clearly indicates otherwise, the following terms shall mean:

(RR) Qualified substance abuse professional, a person who demonstrates substantial knowledge and skill regarding substance abuse by being one (1) of the following—

1. A physician or qualified mental health professional who is licensed in Missouri with at least one (1) year of full-time experience in the treatment of persons with substance use disorders;
2. A person who is certified or registered as a substance abuse professional by the Missouri Substance Abuse Counselors Certification Board, Inc.; or
3. An individual who is within one (1) year of meeting one of the above criteria and has a department approved written training plan;

**Title 12—DEPARTMENT OF REVENUE  
Division 10—Director of Revenue  
Chapter 23—Motor Vehicle**

**ORDER OF RULEMAKING**

By the authority vested in the director of revenue under sections 301.020, RSMo Supp. 2006 and 301.380, RSMo 2000, the director amends a rule as follows:

**12 CSR 10-23.255 Issuance of New and Replacement Vehicle Identification Numbers is amended.**

A notice of proposed rulemaking containing the text of the proposed amendment was published in the *Missouri Register* on November 15, 2006 (31 MoReg 1870-1872). No changes have been made in the text of the proposed amendment, so it is not reprinted here. This proposed amendment becomes effective thirty (30) days after publication in the *Code of State Regulations*.

**SUMMARY OF COMMENTS:** No comments were received.

**Title 12—DEPARTMENT OF REVENUE  
Division 10—Director of Revenue  
Chapter 23—Motor Vehicle**

**ORDER OF RULEMAKING**

By the authority vested in the director of revenue under sections 306.030, RSMo Supp. 2006 and 306.031, RSMo 2000, the director amends a rule as follows:

**12 CSR 10-23.270** Watercraft and Outboard Motor Identification Numbers **is amended.**

A notice of proposed rulemaking containing the text of the proposed amendment was published in the *Missouri Register* on November 15, 2006 (31 MoReg 1873). No changes have been made in the text of the proposed amendment, so it is not reprinted here. This proposed amendment becomes effective thirty (30) days after publication in the *Code of State Regulations*.

SUMMARY OF COMMENTS: No comments were received.

**Title 12—DEPARTMENT OF REVENUE  
Division 10—Director of Revenue  
Chapter 23—Motor Vehicle**

**ORDER OF RULEMAKING**

By the authority vested in the director of revenue under sections 301.600, 301.610, 301.620, 301.660, 306.400, 306.405, 306.410, 306.430, 700.350, 700.355, 700.360 and 700.380, RSMo Supp. 2006, the director amends a rule as follows:

**12 CSR 10-23.446** Notice of Lien **is amended.**

A notice of proposed rulemaking containing the text of the proposed amendment was published in the *Missouri Register* on November 15, 2006 (31 MoReg 1873-1874). No changes have been made in the text of the proposed amendment, so it is not reprinted here. This proposed amendment becomes effective thirty (30) days after publication in the *Code of State Regulations*.

SUMMARY OF COMMENTS: No comments were received.

**Title 12—DEPARTMENT OF REVENUE  
Division 10—Director of Revenue  
Chapter 43—Investment of Nonstate Funds**

**ORDER OF RULEMAKING**

By the authority vested in the director of revenue under section 136.120, RSMo 2000, the director amends a rule as follows:

**12 CSR 10-43.010** Department of Revenue Investment Group **is amended.**

A notice of proposed rulemaking containing the text of the proposed amendment was published in the *Missouri Register* on October 16, 2006 (31 MoReg 1646). No changes have been made in the text of the proposed amendment, so it is not reprinted here. This proposed amendment becomes effective thirty (30) days after publication in the *Code of State Regulations*.

SUMMARY OF COMMENTS: No comments were received.

**Title 12—DEPARTMENT OF REVENUE  
Division 10—Director of Revenue  
Chapter 43—Investment of Nonstate Funds**

**ORDER OF RULEMAKING**

By the authority vested in the director of revenue under section 136.120, RSMo 2000, the director amends a rule as follows:

**12 CSR 10-43.020** Investment Instruments for Nonstate Funds **is amended.**

A notice of proposed rulemaking containing the text of the proposed amendment was published in the *Missouri Register* on October 16, 2006 (31 MoReg 1646-1647). No changes have been made in the text of the proposed amendment, so it is not reprinted here. This proposed amendment becomes effective thirty (30) days after publication in the *Code of State Regulations*.

SUMMARY OF COMMENTS: No comments were received.

**Title 12—DEPARTMENT OF REVENUE  
Division 10—Director of Revenue  
Chapter 43—Investment of Nonstate Funds**

**ORDER OF RULEMAKING**

By the authority vested in the director of revenue under section 136.120, RSMo 2000, the director amends a rule as follows:

**12 CSR 10-43.030** Collateral Requirements for Nonstate Funds **is amended.**

A notice of proposed rulemaking containing the text of the proposed amendment was published in the *Missouri Register* on October 16, 2006 (31 MoReg 1647-1648). No changes have been made in the text of the proposed amendment, so it is not reprinted here. This proposed amendment becomes effective thirty (30) days after publication in the *Code of State Regulations*.

SUMMARY OF COMMENTS: No comments were received.

**Title 13—DEPARTMENT OF SOCIAL SERVICES  
Division 70—Division of Medical Services  
Chapter 2—General Scope of Medical Service Coverage**

**ORDER OF RULEMAKING**

By the authority vested in the Division of Medical Services under sections 207.020, 208.153 and 208.201, RSMo 2000, the division amends a rule as follows:

**13 CSR 70-2.100** Title XIX Procedure of Exception to Medical Care Services Limitations **is amended.**

A notice of proposed rulemaking containing the text of the proposed amendment was published in the *Missouri Register* on November 1, 2006 (31 MoReg 1804). No changes have been made in the text of the proposed amendment, so it is not reprinted here. This proposed amendment becomes effective thirty (30) days after publication in the *Code of State Regulations*.

SUMMARY OF COMMENTS: No comments were received.

**Title 19—DEPARTMENT OF HEALTH AND  
SENIOR SERVICES  
Division 30—Division of Regulation and Licensure  
Chapter 82—General Licensure Requirements**

**ORDER OF RULEMAKING**

By the authority vested in the Department of Health and Senior Services under sections 198.018, 198.076, 198.079 and 198.515, RSMo 2000, 198.022 and 198.073, RSMo Supp. 2006, the department amends a rule as follows:

19 CSR 30-82.010 is amended.

A notice of proposed rulemaking containing the text of the proposed amendment was published in the *Missouri Register* on October 2, 2006 (31 MoReg 1495-1499). Those sections with changes are reprinted here. The proposed amendment becomes effective thirty (30) days after publication in the *Code of State Regulations*.

**SUMMARY OF COMMENTS:** The department received ninety-five (95) comments on the proposed amendment.

Tracy Niekamp, Program Manager of the Licensure and Certification Unit commented that:

**COMMENT:** Ms. Niekamp commented that section (1) must be revised due to corrections being required for form MO 580-2631 (9-05), Application for License to Operate a Long-Term Care Facility, which was incorporated by reference in the proposed amendment. Minor but necessary changes were required on this form, which will now show the revision date of (12-06). This form required additional level of licensure subcategories to indicate whether a residential care facility (RCF) was choosing to comply with former residential care facility II (RCF II) requirements and whether an assisted living facility (ALF) was choosing to admit or retain residents with physical, cognitive or other impairments that prevent them from evacuating the facility with minimal assistance and therefore comply with 19 CSR 30-86.045.

**RESPONSE AND EXPLANATION OF CHANGE:** The department agrees that since MO 580-2631 required revision and must be incorporated by reference in this amendment, the revised form must be incorporated into this order of rulemaking and has revised section (1) to reference the revised form.

**COMMENT:** Ms. Niekamp commented that paragraph (1)(A)11. has a typographical error in the last line. The word “or” in the phrase “program or any state” is incorrect and should be replaced with “of” so that the phrase reads “program of any state or territory.”

**RESPONSE AND EXPLANATION OF CHANGE:** The department agrees and has revised paragraph (1)(A)11. to correct the typographical error.

**COMMENT:** Ms. Niekamp commented that paragraph (1)(C)2. must be revised due to corrections being required for form MO 580-2623 (9-05), which was incorporated by reference in this proposed amendment. Minor but necessary changes were required on this form. This form required additional level of licensure subcategories to indicate whether a residential care facility (RCF) was choosing to comply with former residential care facility II (RCF II) requirements and whether an assisted living facility (ALF) was choosing to admit or retain residents with physical, cognitive or other impairments that prevent them from evacuating the facility with minimal assistance and therefore comply with 19 CSR 30-86.045. Corrections For Long-Term Care Facility License Application MO 580-2623 form will now show the revision date of (12-06).

**RESPONSE AND EXPLANATION OF CHANGE:** The department agrees that the revised form MO 580-2623 must be incorporat-

ed into this order of rulemaking and has revised paragraph (1)(C)2. in order to incorporate the new form revision date.

**COMMENT:** Ms. Niekamp commented that (1)(D)2. must be revised due to corrections being required for form MO 580-2623 (9-05), which was incorporated by reference in this proposed amendment. Minor but necessary changes were required on this form. This form required additional level of licensure subcategories as indicated in the previous comment. Corrections For Long-Term Care Facility License Application MO 580-2623 form will now show the revision date of (12-06).

**RESPONSE AND EXPLANATION OF CHANGE:** The department agrees that the revised form MO 580-2623 must be incorporated into this order of rulemaking and has revised paragraph (1)(D)2. in order to incorporate the form revision date.

Ms. Leah Dering, owner and operator of The Boarding Inn, Residential Care Facility and Sylvan House Residential Care Facility commented that:

**COMMENT:** Ms. Dering commented that her small residential care facilities could not stand the additional cost of a licensed nursing home administrator as required by paragraph (1)(L)5.

**RESPONSE AND EXPLANATION OF CHANGE:** It was not the department’s intent to require all residential care facilities to have a licensed administrator. In order to clarify the provisions for a licensed nursing home administrator in residential care facilities, the department has revised paragraph (1)(L)5.

Barbara Miltenberger of Husch & Eppenberger, LLC, on behalf of Missouri Health Care Association (MHCA), commented that:

**COMMENT:** MHCA commented that paragraph (1)(Q)3. requires that all assisted living facilities (ALFs) on August 28, 2006 will be required only to meet the current residential care facility II regulations. Proposed regulation 19 CSR 30-86.043 contains the current RCF II regulations. The proposed 19 CSR 30-86.047 rule contains the ALF regulations. The proposed 19 CSR 30-86.045 rule contains the additional assisted living regulations for those ALFs that choose to admit or retain residents who cannot exit the building safely with minimal assistance. The department has no authority to excuse any licensed assisted living facility from being required to meet the assisted living legislation statutory requirements, yet that is exactly what 19 CSR 30-82.010(1)(Q)3. does.

In fact, under this section, the ALF would have to make a special request in a notarized letter to become subject to the statutory requirements. Moreover, there is no requirement that the ALF would ever be required to submit such a request. However, under the 19 CSR 30-82.010(2), any facility seeking licensure as an ALF, where previously licensed at some other level of care or seeking a new license, would be required to comply with the regulations for an ALF (19 CSR 30-86.047), to reflect that after August 28, 2006, all ALFs must comply with 19 CSR 30-86.047.

**RESPONSE AND EXPLANATION OF CHANGE:** All facilities licensed as an assisted living facility are required to comply with assisted living facility legislation, (CCS HCS SCS SB 616, 93rd General Assembly, Second Regular Session (2006)) and with proposed rules 19 CSR 30-86.047 and 19 CSR 30-86.045, applicable once the rules have become effective. The department has deleted paragraph (1)(Q)3.

**COMMENT:** MHCA commented that RCFs are not required to have a licensed administrator unless they were previously licensed as an RCF II and choose to comply with RCF II standards in effect on August 27, 2006. Paragraph (1)(L)5. needs to be changed to clarify that RCFs not choosing to meet former RCF II standards are not required to have licensed administrators.

**RESPONSE AND EXPLANATION OF CHANGE:** Please refer to the response and explanation of changes listed above in the response to Ms. Leah Dering’s comment regarding paragraph (1)(L)5.

Jorgen Schlemeirer, on behalf of Missouri Assisted Living Association (MALA);  
Connie McClain, Marketing and Management for Lone Pine Residential Care, Ironton Residential Care, Maple Ridge Residential Care, South Haven Residential Care and Dent County Residential Care;  
Shelly Long, Office Secretary, Lone Pine Residential Care;  
Patty Anderson, Office Manger of Lone Pine Residential Care;  
Bridgett Madden, Assistant Manager of Lone Pine Residential Care;  
Sheri Pratt, Manager of Lone Pine Residential Care;  
Jill Moise, Manager of Ironton Residential Care;  
Dawn Gainer, Manager of Maple Ridge Residential Care;  
Lisa Hedrick, Manager of Dent County Residential Care;  
Wilma Davis, Administrator of South Haven Residential Care;  
Dave Thomas, President, Thomas Marketing, Inc.;  
Pam Thomas, R.N., Administrator, Thomas Management, Inc.;  
Sharron K. Davis-Buckner, Administrator of Loving Care Home;  
Cynthia Skidmore, Administrator of Autumn Place Residential Care of Joplin;  
Karen Price, Owner of Dove Senior Citizen Home;  
Phillip O. Farley, Owner of Sunnyhills Residential Care Facility;  
Tom Walker, Administrator of Superior Park;  
Frank Mosby, Administrator of Sabbath Manor;  
Jill Hieronymus, Owner of Royal Oaks Residence;  
Roswitha Long, Administrator of Countryside Care Center;  
Peggy Keith, Administrator of Parkwood Meadows Assisted Living;  
Tammy Smith, Director of Gasconade Terrace Retirement Center;  
Ralene E. Davis, Owner/Manager of Guardian Angel RCF;  
Bob Adams, Administrator of Walnut Street Residential Care and of The Colonial Home;  
Joanna Mooney, Administrator of Cedars of Liberty and representing Lucy Webb, Owner;  
Tricia Mosbacher, Regional Director of Operations Americare;  
Linda Atchley, Operator of Colonial Manor LLC;  
Teresa Compton, Administrator of Maple Crest Manor, Frederick Street Manors I & II;  
Ronald Conway, Administrator of Colonial Retirement Center, Inc.;  
Michael Long, Owner of Cedar Ridge Care Center;  
Donna Quimby-Edwards, Owner of Century Pines Assisted Living;  
JoAne Pate; Director of Nursing for Arana Manor and Silver Spur;  
Bruce Harris, Administrator/Owner/Operator of Harris Care Centers;  
Lisa Harris, President/Owner/Operator of Harris Care Centers;  
Jeanette McCamis, Administraor/Owner of Wood Oaks, Inc. and Autumn Woods, Inc.;  
Eric F. Fink, Administrator of Whispering Oaks Health Care Center, Inc.;  
Jean Summers, Vice President of Operations for Americare;  
Darren L. Redd, Vice President of Blue Castle of the Ozarks, Inc.;  
Gary Boggs, Owner of Lakeshores Residential Care Facility;  
Sandra Rutherford, Administrator of Lakeshores Residential Care Facility;  
Bruce Hillis, Vice President of RH Montgomery Properties, Inc.; and Ali Chaudhry, Owner/Operator of Sabbath Manor, Country and Fontainbleu commented that:  
COMMENT: MALA and listed associates commented that paragraph (1)(A)10. appears to be arbitrary and unnecessary. The law already requires a criminal background check and imposes certain disqualifying conditions of employment. Therefore protection for the resident is in place. Proposed regulation 19 CSR 30-82.010(1)(A)10. requires continuous paperwork when virtually any employee is hired, or terminated for any reason. The definition of "principal" is very broad that any person with any supervisory role over another would qualify under this definition. We propose removing this requirement. Any meaningful oversight of the names collected under this provision should trigger additional costs, and without costs, the information is simply that. Either the fiscal note is wrong since it does not account for this provision or the department

is going to do nothing with the information. The fiscal note does not account for this additional task in the private entity cost either.  
RESPONSE AND EXPLANATION OF CHANGE: In order for the department to determine whether an applicant or existing operator is in compliance with sections 198.022.1(5) and 198.036, RSMo Supp. 2005, the department must have the Social Security number in order to have the ability to verify whether the operator or a principal in the operation of a facility is on the Medicare/Medicaid exclusion list. The application already asks whether the operator or a principal is on the list, so the operator should already be in possession of the names and titles of the principals and their Social Security numbers since this information would be needed to check the exclusion list in order to truthfully answer the question. The fiscal note is accurate to the best of the department's ability. No change has been made in paragraph (1)(A)10. as a result of this comment. However, the department has revised MO 580-2631 (9-05), Application for License to Operate a Long-Term Care Facility due to concerns voiced regarding confidentiality of providing Social Security numbers of the list of principals. Due to the concerns, the department has revised the form so that the Social Security numbers are listed on a separate page and not on the front page of the application.

COMMENT: MALA and listed associates commented that paragraph (1)(L)5. implies that all residential care facilities shall have a licensed administrator. We hope this is an oversight since prior to assisted living facility legislation (CCS HCS SCS SB 616, 93rd General Assembly, Second Regular Session (2006)) taking effect RCF Is were not required to employ a licensed nursing home administrator. We propose changing the language to clarify that it does not refer to those RCFs that were formerly licensed as an RCF I.  
RESPONSE AND EXPLANATION OF CHANGE: Please refer to the response and explanation of changes listed above in response to Ms. Leah Dering's comment regarding 19 CSR 30-82.010(1)(L)5.

#### 19 CSR 30-82.010 General Licensure Requirements

(1) Persons wishing to operate a skilled nursing facility, intermediate care facility, assisted living facility or residential care facility shall complete form MO 580-2631 (12-06), Application for License to Operate a Long-Term Care Facility, incorporated by reference in this rule and available through the Department of Health and Senior Services' (department's) website at [www.dhss.mo.gov](http://www.dhss.mo.gov), or by mail at: Department of Health and Senior Services Warehouse, Attention General Services Warehouse, PO Box 570, Jefferson City, MO 65102-0570, telephone: (573) 526-3861. This rule does not incorporate any subsequent amendments or additions. The completed application shall contain a statement that the information submitted is true and correct to the operator's knowledge and belief and shall be signed under oath or affirmation before a notary public by a person with the express authority to sign on behalf of the operator. The completed application form shall be submitted to Fee Receipts, Section for Long Term Care, Department of Health and Senior Services, PO Box 570, 930 Wildwood, Jefferson City, MO 65109. One application may be used to license multiple facilities if located on the same premises.

(A) The applicant shall submit the following documents and information as listed in the application:

1. Financial information demonstrating that the applicant has the financial capacity to operate the facility;
2. A document disclosing the location, capacity and type of licensure and certification of any support buildings, wings or floors housing residents on the same or adjoining premises or plots of ground;
3. A document disclosing the name, address and type of license of all other long-term care facilities owned or operated by either the applicant or by the owner of the facility for which the application is being submitted;
4. A copy of any executed management contracts between the applicant and the manager of the facility;

5. A copy of any executed contract conveying the legal right to the facility premises, including, but not limited to, leases, subleases, rental agreements, contracts for deed and any amendments to those contracts;

6. A copy of any contract by which the facility's land, building, improvements, furnishings, fixtures or accounts receivable are pledged in whole or in part as security, if the value of the asset pledged is greater than five hundred dollars (\$500);

7. A nursing home surety bond or noncancelable escrow agreement, if the applicant holds or will hold facility residents' personal funds in trust;

8. A document disclosing the name, address, title and percentage of ownership of each affiliate of any general partnership, limited partnership, general business corporation, nonprofit corporation, limited liability company or governmental entity which owns or operates the facility or is an affiliate of an entity which owns or operates the facility. If an affiliate is a corporation, partnership, or LLC, a list of the affiliate's affiliates must also be submitted. As used in this rule, the word "affiliate" means:

A. With respect to a partnership, each partner thereof;

B. With respect to a limited partnership, the general partner and each limited partner with an interest of five percent (5%) or more in the limited partnership;

C. With respect to a corporation, each person who owns, holds, or has the power to vote five percent (5%) or more of any class of securities issued by the corporation, and each officer and director;

D. With respect to an LLC, the LLC managers and members with an interest of five percent (5%) or more;

9. If applicable, a document stating the name and nature of any additional businesses in operation on the facility premises and the document issued by the division giving its prior written approval for each business;

10. A list of all principals in the operation of the facility and their addresses and titles and, so that the department may verify the information disclosed pursuant to paragraphs (1)(A)11. and (1)(A)12. of this rule, the Social Security numbers or employer identification numbers of the operator and all principals in the operation of the facility. As used in this rule, "principal" means officer, director, owner, partner, key employee, or other person with primary management or supervisory responsibilities;

11. Disclosure concerning whether the operator or any principals in the operation of the facility are excluded from participation in the Title XVIII (Medicare) or Title XIX (Medicaid) program of any state or territory;

12. Disclosure concerning whether the operator or any principals in the operation of the facility have ever been convicted of a felony in any state or federal court concerning conduct involving either management of a long-term care facility or the provision or receipt of health care services; and

13. Emergency telephone, fax and email contact information for the facility administrator, director of nursing and the operator's corporate office.

(C) If, after filing an application, the operator identifies an error or if any information changes the issuance of the license, the operator shall—

1. Submit the correction or additional information to the department's Licensure and Certification Unit in a letter accompanied by a notarized statement that the information being submitted is true and correct to the best of the operator's knowledge and belief; or

2. Submit the correction or additional information to the department's Licensure and Certification Unit. Information shall be submitted using form MO 580-2623 (12-06), Corrections For Long-Term Care Facility License Application, incorporated by reference in this rule and available through the Department of Health and Senior Services' (department's) website at [www.dhss.mo.gov](http://www.dhss.mo.gov), or by mail at: Department of Health and Senior Services Warehouse, Attention General Services Warehouse, PO Box 570, Jefferson City, MO

65102-0570, telephone: (573) 526-3861. This rule does not incorporate any subsequent amendments or additions. The completed application form shall be signed by a person with express authority to sign on behalf of the operator and shall be submitted to Fee Receipts, Section for Long-Term Care, Department of Health and Senior Services, PO Box 570, 930 Wildwood, Jefferson City, MO 65109.

(D) If, as a result of an application review, the department requests a correction or additional information, the operator, within ten (10) working days of receipt of the written request shall—

1. Submit the correction or additional information to the department in a letter accompanied by a notarized statement that the information being submitted is true and correct to the best of the operator's knowledge and belief; or

2. Submit the correction or additional information using form MO 580-2623 (12-06), Corrections For Long-Term Care Facility License Application referenced in paragraph (1)(C)2. of this rule.

(L) After receiving a license application, the department shall review the application, investigate the applicant and the statements sworn to in the application for license and conduct any necessary inspections. A license shall be issued if—

1. The department has determined that the application is complete, and that all necessary documents have been filed with the application including an approved nursing home bond or noncancelable escrow agreement if personal funds of residents are held in trust;

2. The department has determined that the statements in the application are true and correct;

3. The department has determined that the facility and the operator are in substantial compliance with the provisions of sections 198.003-198.096, RSMo and the corresponding rules;

4. The department has determined that the applicant has the financial capacity to operate the facility;

5. The department has verified that the administrator of a residential care facility that was licensed as a residential care facility II on August 27, 2006 and chooses to continue to meet all laws, rules and regulations that were in place on August 27, 2006 for a residential care facility II, assisted living facility, an intermediate care facility or a skilled nursing facility is currently licensed by the Missouri Board of Nursing Home Administrators under the provisions of Chapter 344, RSMo;

6. The department has received the fee required by subsection (1)(I) of this rule;

7. The applicant meets the definition of operator as defined in 19 CSR 30-83.010;

8. The applicant has received a Certificate of Need, if required, or has received a determination from the Certificate of Need Program that no certificate is required, has completed construction, and is in substantial compliance with the licensure rules and laws;

9. The department has determined that neither the operator, owner or any principals in the operation of the facility have ever been convicted of an offense concerning the operation of a long-term care facility or other health care facility or, while acting in a management capacity, ever knowingly acted or knowingly failed to perform any duty which materially and adversely affected the health, safety, welfare or property of a resident;

10. The department has determined that neither the operator, owner or any principals in the operation of the facility are excluded from participation in the Title XVIII (Medicare) or Title XIX (Medicaid) program of any state or territory;

11. The department has determined that neither the operator, owner or any principals in the operation of the facility have ever been convicted of a felony in any state or federal court concerning conduct involving either management of a long-term care facility or the provision or receipt of health care services; and

12. The department has determined that all fees due the state have been paid.

(Q) To request issuance of an amended license or temporary operating permit currently in effect, the operator shall—

1. Submit a written request to the department containing the request for amendment, the date the operator would like the amendment to be effective, and the number of the license or temporary operating permit to be amended; and

2. Submit a fee for the issuance of the amended license or temporary operating permit as required by subsection (1)(R) of this rule.

**Title 19—DEPARTMENT OF HEALTH AND  
SENIOR SERVICES  
Division 30—Division of Regulation and Licensure  
Chapter 83—Definition of Terms**

**ORDER OF RULEMAKING**

By the authority vested in the Department of Health and Senior Services under sections 198.005, 198.006 and 198.073, RSMo Supp. 2006 and 198.009, RSMo 2000, the department amends a rule as follows:

19 CSR 30-83.010 is amended.

A notice of proposed rulemaking containing the text of the proposed amendment was published in the *Missouri Register* on October 2, 2006 (31 MoReg 1499-1502). Those sections with changes are reprinted here. This proposed amendment becomes effective thirty (30) days after publication in the *Code of State Regulations*.

**SUMMARY OF COMMENTS:** The department received two hundred seventeen (217) comments on the proposed amendment.

**EXPLANATION OF ADDITIONAL CHANGES:** Based on comments made at the January 17 and 14, 2007 meetings of the Joint Committee on Administrative Rules (JCAR) regarding the proposed amendment filed with JCAR on December 29, 2006, the department is changing the definition of "Individualized service plan" in section (22).

**COMMENT:** Denise Clemonds, CEO for Missouri Association of Homes for the Aging (MoAHA) and the following associates of MoAHA:

Marshal Cope, Executive Director for New Florence Care Center; Elliot Planells of St. Andrews Management Services; Susan McClenahan, Executive Director for The Sarah Community; Joan Devine, Clinical Services Director for St. Andrews Management Services;

Christopher Wiltse, Anna House Administrator;

Julie Klein, Mispah Manor Administrator;

Charlotte Lehmann, Executive Director for Cape Albeon; and

Tyler Troutman, Executive Director for Brooking Park commented that the definition in section (3) should include the word "care" to conform to assisted living legislation, CCS HCS SCS SB 616, 93rd General Assembly, Second Regular Session (2006).

**RESPONSE AND EXPLANATION OF CHANGE:** Section (3) will be changed to add the word "care."

**COMMENT:** Ms. Clemonds and the listed associates of MoAHA commented that the definition in section (22) requires "outcomes" to be added to the individualized service plan (ISP). Assisted living legislation, CCS HCS SCS SB 616, 93rd General Assembly, Second Regular Session (2006) outlines what should be in the ISP and requiring "outcomes" is not authorized by statute. Please remove this from the proposed rules.

**RESPONSE:** The intent of the regulation is to ensure that the ISP is a useful tool for staff and residents. Identifying expected outcomes entails a process of developing, implementing and evaluating the progress and effectiveness of the ISP. This process does not conflict with the statutory components of the ISP. No changes were made to the rule as a result of this comment.

**COMMENT:** Ms. Clemonds and the listed associates of MoAHA suggested section (25) be replaced with the following language: Keeping residents in place means maintaining residents in place during a fire in lieu of evacuation where a building's occupants are not capable of evacuation, where evacuation has a low likelihood of success or where local fire officials recommend it as having a better likelihood of success and/or lower risk of injury.

**RESPONSE AND EXPLANATION OF CHANGE:** Section (25) will be changed to add the suggested language and to clarify that the local fire official's recommendation must be written.

**COMMENT:** Carroll Rodriquez, Public Policy Director of the Missouri Coalition of Alzheimer's Association Chapters recommended adding to section (22) that the planning document be prepared by an appropriately trained and qualified individual, with the input of other direct care staff and in partnership with the resident, or legal representative of the resident.

**RESPONSE:** The assisted living legislation, section 198.006(5), RSMo, CCS HCS SCS SB 616, 93rd General Assembly, Second Regular Session (2006), requires "an appropriately trained and qualified individual," as defined by law, to complete the community based assessment. The provisions for the ISP are in section 198.073.4(6), RSMo, and do not include a requirement for the ISP to be completed by "an appropriately trained and qualified individual." Additionally, the assisted living legislation does not include required training and qualifications for the individual who prepares the ISP. No changes were made to the rule as a result of this comment.

**COMMENT:** Norma J. Collins, Associate State Director of AARP Missouri, on behalf of AARP, commented that to make the definition in section (21) more meaningful, "homelike may include" should be changed to "homelike includes." The above items, particularly a private room, private bath and place where residents can have privacy and security, are essential to a homelike environment.

**RESPONSE:** The department disagrees with this recommendation. The language suggested by the commenter is restrictive and assumes that all people identify the same things as essential to a home-like environment. The intent of the regulation is to allow residents to determine the things that are essential to each individual. No changes were made to the rule as a result of this comment.

**COMMENT:** Ms. Collins commented that to recognize the role of direct care staff and the resident, section (22) should be changed to "the planning document prepared by appropriately trained and qualified staff, with input of direct care staff, in partnership with the resident and the resident's family or other surrogate decision-makers when appropriate. . . ."

**RESPONSE:** The assisted living legislation, section 198.006(5), RSMo, CCS HCS SCS SB616, 93rd General Assembly, Second Regular Session (2006), requires "an appropriately trained and qualified individual," as defined by law, to complete the community based assessment. The provisions for the ISP are in section 198.073.4. (6), RSMo, and do not include a requirement for the ISP to be completed by "an appropriately trained and qualified individual." Additionally, the assisted living legislation does not include required training and qualifications for the individual who prepares the ISP. No changes were made to the rule as a result of this comment.

**COMMENT:** Charles Schott, Jr., Executive Director and CEO of Good Shepard Care Center District commented that the assisted living legislation, CCS HCS SCS SB 616, 93rd General Assembly, Second Regular Session (2006), outlines what should be in the ISP and the required "outcomes" in section (22) is not authorized by the statute. Requiring "outcomes" would place additional responsibilities on staff, over and above providing services in a "home-like environment." It mirrors requirements for a nursing home and medical

model. The requirement for “outcomes” from the proposed rules should be removed.

RESPONSE: The intent of the regulation is to ensure that the ISP is a useful tool for staff and residents. Identifying expected outcomes entails a process of developing, implementing and evaluating the progress and effectiveness of the ISP. This process does not conflict with the statutory components of the ISP. No changes were made to the rule as a result of this comment. However, changes have been made as indicated in the “Explanation of Additional Changes” for this section.

COMMENT: Mr. Schott commented that the definition in section (25) only refers to residents who are not capable of evacuation and residents with a low likelihood of success. In our area, the local fire officials recommend all residents remain in place, except for those in immediate danger, until the fire department personnel arrive. The purpose of this procedure is to avoid injury of residents while attempting to evacuate and giving the firemen access to hallways not congested by residents trying to evacuate. I would ask that you consider adding to the definition, the following language “. . . or where it is recommended by local fire officials as having a better likelihood of success and/or a lower risk of injury.”

RESPONSE AND EXPLANATION OF CHANGE: Section (25) will be changed to add the suggested language and to clarify that the local fire official’s recommendation must be written.

COMMENT: Stacy Tew-Lovasz, on behalf of Sunrise Communities, commented that “services to be provided and the outcomes expected for the resident” should be deleted and replaced with “and goals for the resident” in section (22).

RESPONSE: The intent of the regulation is to ensure that the ISP is a useful tool for staff and residents. Identifying expected outcomes entails a process of developing, implementing and evaluating the progress and effectiveness of the ISP. This process does not conflict with the statutory components of the ISP. No changes were made to the rule as a result of this comment.

COMMENT: Jorgen Schlemeirer, on behalf of Missouri Assisted Living Association (MALA) and the following associates of MALA: Connie McClain, Marketing/Mgmt for Lone Pine Residential Care, Ironton Residential Care, Maple Ridge Residential Care, South Haven Residential Care and Dent County Residential Care; Shelly Long, Office Secretary, Lone Pine Residential Care; Patty Anderson, Office Manger of Lone Pine Residential Care; Bridgett Madden, Assistant Manager of Lone Pine Residential Care; Sheri Pratt, Manager of Lone Pine Residential Care; Jill Moise, Manager of Ironton Residential Care; Dawn Gainer, Manager of Maple Ridge Residential Care; Lisa Hedrick, Manager of Dent County Residential Care; Wilma Davis, Administrator of South Haven Residential Care; Dave Thomas, President, Thomas Marketing, Inc.; Pam Thomas, R.N., Administrator, Thomas Management, Inc.; Sharron K. Davis-Buckner, Administrator of Loving Care Home; Cynthia Skidmore, Administrator of Autumn Place Residential Care of Joplin;

Karen Price, Owner of Dove Senior Citizen Home; Phillip O. Farley, Owner of Sunnyhills Residential Care Facility; Tom Walker, Administrator of Superior Park; Frank Mosby, Administrator of Sabbath Manor; Jill Hieronymus, Owner of Royal Oaks Residence; Roswitha Long, Administrator of Countryside Care Center; Peggy Keith, Administrator of Parkwood Meadows Assisted Living; Tammy Smith, Director of Gasconade Terrace Retirement Center; Ralene E. Davis, Owner/Manager of Guardian Angel RCF; Bob Adams, Administrator of Walnut Street Residential Care and of The Colonial Home; Joanna Mooney, Administrator of Cedars of Liberty and representing Lucy Webb, Owner;

Tricia Mosbacher, Regional Director of Operations Americare; Linda Atchley, Operator of Colonial Manor LLC; Teresa Compton, Administrator of Maple Crest Manor, Frederick Street Manors I & II; Ronald Conway, Administrator of Colonial Retirement Center, Inc.; Michael Long, Owner of Cedar Ridge Care Center; Donna Quimby-Edwards, Owner of Century Pines Assisted Living; JoAne Pate; Director of Nursing for Arana Manor and Silver Spur; Bruce Harris, Administrator/Owner/Operator of Harris Care Centers;

Lisa Harris, President/Owner/Operator of Harris Care Centers; Jeanette McCamis, Administrator/Owner of Wood Oaks, Inc. and Autumn Woods, Inc.;

Eric F. Fink, Administrator of Whispering Oaks Health Care Center, Inc.;

Jean Summers, Vice President of Operations for Americare; Darren L. Redd, Vice President of Blue Castle of the Ozarks, Inc.; Gary Boggs and Cecile Boggs, Owner of Lakeshores Residential Care Facility;

Sandra Rutherford, Administrator of Lakeshores Residential Care Facility;

Bruce Hillis, Vice President of RH Montgomery Properties, Inc.; Ali Chaudhry, Owner/Operator of Sabbath Manor, Country and Fontainbleu;

Michelle Redd, Administrator of Blue Castle of the Ozarks, Inc.; Virginia Mincks, LPN, Blue Castle of the Ozarks, Inc.;

Lanora Porterfield, Director of Nursing at Bolivar Manor House; and

Michele Vinson, Administrator of Bolivar Manor House commented that section (3) changes the definition of “assisted living facilities” from that in the assisted living legislation, CCS HCS SCS SB 616, 93rd General Assembly, Second Regular Session (2006). Section (3) states, “twenty-four (24) hour services” and it should be “twenty-four (24) hour care and services.” Jorgen Schlemeirer and the listed associates of MALA suggested changing the definition to match the statute.

RESPONSE AND EXPLANATION OF CHANGE: Section (3) will be changed to add the word “care.”

COMMENT: Jorgen Schlemeirer and the listed associates of MALA commented that the definition in section (21) is duplicated in 19 CSR 30-86.047.

RESPONSE: The department agrees. However, due to the promulgation of multiple new rules associated with the assisted living legislation, CCS HCS SCS SB 616, 93rd General Assembly, Second Regular Session (2006), the department determined the duplication of this definition was necessary. No changes were made to the rule as a result of this comment.

COMMENT: Jorgen Schlemeirer and the listed associates of MALA commented that section (22) adds “services to be provided, and the outcomes expected for the resident” to the definition of what is required in the individual service plan. This exceeds statutory authority and should be limited to the statutory language.

RESPONSE: The intent of the regulation is to ensure that the ISP is a useful tool for staff and residents. Identifying expected outcomes entails a process of developing, implementing and evaluating the progress and effectiveness of the ISP. This process does not conflict with the statutory components of the ISP. No changes were made to the rule as a result of this comment.

COMMENT: Jorgen Schlemeirer and the listed associates of MALA commented that the definition in section (25) is duplicated in 19 CSR 30-86.047.

RESPONSE: The department agrees. However, due to the promulgation of multiple new rules associated with the assisted living legislation, CCS HCS SCS SB 616, 93rd General Assembly, Second Regular Session (2006), the department determined the duplication

of this definition was necessary. No changes were made in the rule as a result of this comment.

**19 CSR 30-83.010 Definition of Terms**

(3) Assisted living facility (ALF)—Shall mean any premises, other than a residential care facility, intermediate care facility, or skilled nursing care facility, that is utilized by its owner, operator, or manager to provide twenty-four (24) hour care and services and protective oversight to three (3) or more residents who are provided with shelter, board, and who may need and are provided with the following:

(22) Individualized service plan (ISP)—Shall mean the planning document prepared by an assisted living facility which outlines a resident's needs and preferences, services to be provided, and the goals expected by the resident or the resident's legal representative in partnership with the facility.

(25) Keeping residents in place—Shall mean maintaining residents in place during a fire in lieu of evacuation where a building's occupants are not capable of evacuation, where evacuation has a low likelihood of success, or where it is recommended in writing by local fire officials as having a better likelihood of success and/or lower risk of injury.

**Title 19—DEPARTMENT OF HEALTH AND SENIOR SERVICES  
Division 30—Division of Regulation and Licensure  
Chapter 84—Training Program for Nursing Assistants**

**ORDER OF RULEMAKING**

By the authority vested in the Department of Health and Senior Services under sections 198.076, RSMo 2000, and 198.005 and 198.073, RSMo Supp. 2006, the department amends a rule as follows:

19 CSR 30-84.030 is amended.

A notice of proposed rulemaking containing the text of the proposed amendment was published in the *Missouri Register* on October 2, 2006 (31 MoReg 1502-1503). Those sections with changes are reprinted here. This proposed amendment becomes effective thirty (30) days after publication in the *Code of State Regulations*.

**SUMMARY OF COMMENTS:** The department received one hundred nine (109) comments on the proposed amendment.

**COMMENT:** Jorgen Schlemeirer, on behalf of Missouri Assisted Living Association (MALA);  
Connie McClain, Marketing/Mgmt for Lone Pine Residential Care, Ironton Residential Care, Maple Ridge Residential Care, South Haven Residential Care and Dent County Residential Care;  
Shelly Long, Office Secretary, Lone Pine Residential Care;  
Patty Anderson, Office Manger of Lone Pine Residential Care;  
Bridgett Madden, Assistant Manager of Lone Pine Residential Care;  
Sheri Pratt, Manager of Lone Pine Residential Care;  
Jill Moise, Manager of Ironton Residential Care;  
Dawn Gainer, Manager of Maple Ridge Residential Care;  
Lisa Hedrick, Manager of Dent County Residential Care;  
Wilma Davis, Administrator of South Haven Residential Care;  
Dave Thomas, President, Thomas Marketing, Inc.;  
Pam Thomas, R.N., Administrator, Thomas Management, Inc.;  
Sharron K. Davis-Buckner, Administrator of Loving Care Home;  
Cynthia Skidmore, Administrator of Autumn Place Residential Care of Joplin;  
Karen Price, Owner of Dove Senior Citizen Home;

Phillip O. Farley, Owner of Sunnyside Residential Care Facility;  
Tom Walker, Administrator of Superior Park;  
Frank Mosby, Administrator of Sabbath Manor;  
Jill Hieronymus, Owner of Royal Oaks Residence;  
Roswitha Long, Administrator of Countryside Care Center;  
Peggy Keith, Administrator of Parkwood Meadows Assisted Living;  
Tammy Smith, Director of Gasconade Terrace Retirement Center;  
Ralene E. Davis, Owner/Manager of Guardian Angel RCF;  
Bob Adams, Administrator of Walnut Street Residential Care and of The Colonial Home;  
Joanna Mooney, Administrator of Cedars of Liberty and representing Lucy Webb, Owner;  
Tricia Mosbacher, Regional Director of Operations Americare;  
Linda Atchley, Operator of Colonial Manor LLC;  
Teresa Compton, Administrator of Maple Crest Manor, Frederick Street Manors I & II;  
Ronald Conway, Administrator of Colonial Retirement Center, Inc.;  
Michael Long, Owner of Cedar Ridge Care Center;  
Donna Quimby-Edwards, Owner of Century Pines Assisted Living;  
JoAne Pate; Director of Nursing for Arana Manor and Silver Spur;  
Bruce Harris, Administrator/Owner/Operator of Harris Care Centers;  
Lisa Harris, President/Owner/Operator of Harris Care Centers;  
Jeanette McCamis, Administrator/Owner of Wood Oaks, Inc. and Autumn Woods, Inc.;  
Eric F. Fink, Administrator of Whispering Oaks Health Care Center, Inc.;  
Jean Summers, Vice President of Operations for Americare;  
Darren L. Redd, Vice President of Blue Castle of the Ozarks, Inc.;  
Gary Boggs and Cecile Boggs, Owner of Lakeshores Residential Care Facility;  
Sandra Rutherford, Administrator of Lakeshores Residential Care Facility;  
Bruce Hillis, Vice President of RH Montgomery Properties, Inc.;  
Ali Chaudhry, Owner/Operator of Sabbath Manor, Country and Fontainebleu;  
Michelle Redd, Administrator of Blue Castle of the Ozarks, Inc.;  
Virginia Mincks, LPN, Blue Castle of the Ozarks, Inc.;  
Lanora Porterfield, Director of Nursing at Bolivar Manor House; and Michele Vinson, Administrator of Bolivar Manor House commented that subsection (11)(A) appears to define the term "sponsoring agency" as a training agency and then removes long-term care (LTC) associations from that definition.  
**RESPONSE AND EXPLANATION OF CHANGE:** The department agrees and has amended subsection (11)(A) to restore LTC associations as sponsoring agencies.

**COMMENT:** Jorgen Schlemeirer and listed associates of MALA commented that section (6) changes the courses required to become Level I medication administration approved and registered with the state. The additional hours of training associated with the new manual are too excessive and arbitrary.

**RESPONSE AND EXPLANATION OF CHANGE:** The rule does not include changes in the Level I medication administration training hours necessary to receive a certificate of successful course completion. The department incorrectly identified the training manual as the 2001 edition, and this resulted in some confusion regarding the training hours. Section (6) has been amended to correct this error.

**COMMENT:** Denise Clemonds, CEO for Missouri Association of Homes for the Aging;  
Marshal Cope, Executive Director for New Florence Care Center;  
Elliot Planells of St. Andrews Management Services;  
Susan McClenahan, Executive Director for The Sarah Community;  
Joan Devine, Clinical Services Director for St. Andrews Management Services;  
Christopher Wiltse, Anna House Administrator;  
Julie Klein, Mispah Manor Administrator;  
Charlotte Lehmann, Executive Director for Cape Albeon; and



Tyler Troutman, Executive Director for Brooking Park commented that subsection (11)(A) appears to eliminate LTC associations as sponsoring agencies. It is a public benefit for LTC associations to be sponsoring agencies and this change should be deleted from the rule. LTC associations are appropriate to provide this service to facilities. RESPONSE AND EXPLANATION OF CHANGE: The department agrees and has amended subsection (11)(A) to restore LTC associations as sponsoring agencies.

COMMENT: Barbara Miltenberger of Husch & Eppenberger, LLC, on behalf of Missouri Health Care Association, commented that section (1) states that the purpose of the Level I Medication Aide Training Program is to prepare individuals for employment in residential care facilities (RCFs) and assisted living facilities (ALFs). This section does not contain any substantive changes to the course curriculum to address the increased acuity of the ALF residents. Furthermore, there is no change in the qualifications to become a Level I medication aide.

The department should require additional qualifications for staff that provide care for residents who cannot evacuate the facility with only minimal assistance. The appropriate level of training and qualifications, at a minimum, would be a certified medication technician. RESPONSE: The department disagrees with the recommendation. Assisted living facilities are required by rule to staff according to the individualized needs of the residents. Both level I medication aides and certified medication technicians are allowed to administer only those types of medications for which they have been trained in the department approved level I medication aide and certified medication technician courses respectively. Level I medication aides and certified medication aides administer medications under the license of the licensed nurse. The facility is required to staff according to residents' needs. Therefore, the facility is required to have licensed nursing staff available to administer medications for which certified staff are not appropriately trained to administer. Thus, while Level I medication aides may be employed in ALFs, an ALF will be responsible for providing care using staff with appropriate skills. No changes were made to the rule as a result of this comment.

#### **19 CSR 30-84.030 Level I Medication Aide**

(6) The course developed by the Missouri Department of Elementary and Secondary Education and the Department of Health and Senior Services as outlined in the manual entitled *Level I Medication Aide* (50-6064-S and 50-6064-I) 1993 edition, produced by the Instructional Materials Laboratory, University of Missouri-Columbia, incorporated by reference in this rule and available through the Department of Health and Senior Services, PO Box 570, Jefferson City, MO 65102-0570, shall be considered the approved course curriculum. This rule does not incorporate any subsequent amendments or additions to the materials incorporated by reference. Students and instructors each shall have a copy of this manual.

(11) Sponsoring Agencies.

(A) The following entities are eligible to apply to the department to be an approved training agency: an area vocational-technical school, a comprehensive high school, a community college, an approved four (4) year institution of higher learning or an RCF or ALF licensed by the department or an LTC association.

### **Title 19—DEPARTMENT OF HEALTH AND SENIOR SERVICES Division 30—Division of Regulation and Licensure Chapter 84—Training Program for Nursing Assistants**

#### **ORDER OF RULEMAKING**

By the authority vested in the Department of Health and Senior Services under sections 198.009 and 198.076, RSMo 2000 and

198.005 and 198.073, RSMo Supp. 2006, the department amends a rule as follows:

#### **19 CSR 30-84.040 Insulin Administration Training Program is amended.**

A notice of proposed rulemaking containing the text of the proposed amendment was published in the *Missouri Register* on October 2, 2006 (31 MoReg 1504). No changes have been made in the text of the proposed amendment, so it is not reprinted here. This proposed amendment becomes effective thirty (30) days after publication in the *Code of State Regulations*.

SUMMARY OF COMMENTS: No comments were received.

### **Title 19—DEPARTMENT OF HEALTH AND SENIOR SERVICES Division 30—Division of Regulation and Licensure Chapter 86—Residential Care Facilities and Assisted Living Facilities**

#### **ORDER OF RULEMAKING**

By the authority vested in the Department of Health and Senior Services and under sections 198.005, 198.006, 198.073, RSMo Supp. 2006, and 198.076, RSMo 2000, the department amends a rule as follows:

19 CSR 30-86.012 is amended.

A notice of proposed rulemaking containing the text of the proposed amendment was published in the *Missouri Register* on October 2, 2006 (31 MoReg 1504-1506). Those sections with changes are reprinted here. This proposed amendment becomes effective thirty (30) days after publication in the *Code of State Regulations*.

SUMMARY OF COMMENTS: The department received ninety-eight (98) comments on the proposed amendment.

COMMENT: A department staff member commented that the last sentence of section (6) eliminated minimum square footage requirements for private bedrooms in existing facilities required to comply with 19 CSR 30-86.043.

RESPONSE AND EXPLANATION OF CHANGE: The department agrees and has amended section (6) to restore the current requirement.

COMMENT: A department staff member commented that it is not clear whether the last sentence of section (6) addresses minimum square footage requirements for multiple occupancy bedrooms in existing facilities required to comply with 19 CSR 30-86.047.

RESPONSE AND EXPLANATION OF CHANGE: The department agrees and has amended section (6) to clarify that the sixty (60) square foot minimum requirement for multiple occupancy bedrooms includes all facilities licensed between November 13, 1980 and December 31, 1987.

COMMENT: A department staff member commented that it is not clear whether the third and fourth sentences of section (16) apply to assisted living facilities.

RESPONSE AND EXPLANATION OF CHANGE: The department agrees and has amended section (16) to clarify that the third sentence includes all facilities licensed prior to November 13, 1980 and the fourth sentence includes all facilities licensed between November 13, 1980 and December 31, 1987.

COMMENT: A department staff member commented that section (26) does not include summer temperature requirements for air conditioning systems and individual room air conditioning units in assisted living facilities whose plans were approved or were built on or after August 28, 2006.

RESPONSE AND EXPLANATION OF CHANGE: The department agrees and has amended section (26) to include assisted living facilities whose plans were approved or were built on or after August 28, 2006.

COMMENT: Norma J. Collins, Associate State Director—Advocacy, AARP Missouri commented that section (27) requires that, “A facility that is built or has plans approved on or after August 28, 2006, shall be more home-like than institutional with respect to construction and physical plant standards.”

The requirement is not very useful without clear standards for what “more home-like than institutional” means. AARP policy is that all newly constructed assisted living facilities should provide “private living units—with sleeping and living areas, food preparation and storage facilities, and a bathroom—shared only at the resident’s request.” A private room is essential for creating a home-like environment and should be part of the definition of “more home-like than institutional.”

RESPONSE: Issues related to a home-like environment for facilities built or with plans approved on or after August 28, 2006, have been addressed in 19 CSR 30-86.012, 19 CSR 30-86.032, 19 CSR 30-86.047, 19 CSR 30-86.052, 19 CSR 30-87.020, 19 CSR 30-87.030 and 19 CSR 30-88.010. The elements of a home-like environment have been incorporated in many of the rules because a home-like environment involves more than the construction of the facility and the physical environment. The construction requirements in 19 CSR 30-86.012 that apply to facilities built or with plans approved on or after August 28, 2006, allow individual preferences, and do not prohibit construction that is conducive to a home-like environment, unless the construction causes a health or safety issue for residents. No changes have been made to the rules as a result of this comment.

COMMENT: Barbara Miltenberger, Husch & Eppenberger, LLC, on behalf of Missouri Health Care Association, commented that section (1) states that the construction standards in the section (1) apply to residential care facilities and assisted living facilities. However, section (1) does not differentiate between residential care facilities (RCFs) and assisted living facilities. The definitions found in section 198.005(6)(c), RSMo (CCS HCS SCS SB 616, 93rd General Assembly, Second Regular Session (2006)), page 3, lines 45-46 and Section 198.005 (24), RSMo (CCS HCS SCS SB 616, 93rd General Assembly, Second Regular Session (2006)) mandate that assisted living facilities be constructed differently from RCFs. Section (1) does not do so. She suggested specific provisions be added to construction standards that relate to the social model of care.

In addition, under the assisted living facility legislation, residents who have elected to receive hospice care may remain in an assisted living facility. The prohibitions against the need for skilled nursing care or being bed-bound are lifted for hospice patients. There is no limitation on how many residents receiving hospice care may be admitted or retained in an assisted living facility. In fact, under the statute and regulations, all of an assisted living facility’s residents could elect hospice care and be bed-bound. Because the restrictions against being bed-bound or requiring skilled care are removed for hospice residents, assisted living facilities must be constructed to limit the spread of fire and so staff can evacuate a bed-bound individual in his or her bed, just as if in a skilled nursing facility. This means that corridors must be a certain width and there must be sufficient fire-resistant construction. For example, in 19 CSR 30-85.012(87) relating to intermediate care and skilled nursing facilities, “all floor construction shall be completely of noncombustible material regardless of the construction type of the building.” A similar requirement is not found in this proposed regulation. Therefore, the

Missouri Health Care Association believes that any assisted living facility that agrees to provide or allow skilled nursing care in its building must comply with the same construction requirements imposed on skilled nursing facilities in 19 CSR 30-85.012 to protect its residents in the same manner as required of a skilled nursing facility.

RESPONSE: Section 198.005, RSMo (CCS HCS SCS SB 616, 93rd General Assembly, Second Regular Session (2006)) specifically allows any assisted living facility to admit or retain individuals receiving licensed hospice care and prescribes fire safety requirements. Additionally, the department believes the standards included in the amendment to 19 CSR 30-86.022 adequately address fire safety. 19 CSR 30-86.022 includes requirements for compliance with applicable National Fire Protection Association standards and codes, and subsection (3)(B) of this rule specifically addresses fire-resistant construction. No changes have been made to the rule as a result of the comments.

COMMENT: Barbara Miltenberger, Husch & Eppenberger, LLC, on behalf of Missouri Health Care Association, commented that section (27) states, “A facility that is built or has plans approved after August 28, 2006 shall be more home-like than institutional with respect to construction and physical plant standards.” As noted above, the use of “facilities” makes this section applicable to RCFs as well, which goes beyond the department’s statutory authority.

RESPONSE AND EXPLANATION OF CHANGE: The department added subsection (A) to clarify that section (27) applies to assisted living facilities.

COMMENT: Barbara Miltenberger, Husch & Eppenberger, LLC, on behalf of Missouri Health Care Association, commented that section (27) gives no guidance as to what is “more home-like” and “less institutional.” The requirements of “home-like” found in 19 CSR 30-86.032(1) should be incorporated into the construction standards, at a minimum. A “social model of care” facility is organized generally around smaller modules or units with the separate kitchens and community areas, creating a more home-like atmosphere. At the very least, the regulation should say that any assisted living facility licensed after August 28, 2006 must be constructed in a manner that is based on a social model of care rather than a medical model. Specific construction standards addressing the social model of care should be included in the regulation. Without specific standards, there is no guidance to the department and no legal standards by which the department may approve or disapprove any plans for new or remodeled assisted living facility.

RESPONSE: The department disagrees with this comment. The department recognizes that construction is a part of being either a home-like or an institutional setting, and has defined “home-like” in 19 CSR 30-83.010 Definition of Terms to include references to how a facility is designed. This definition provides guidance to facilities, inspection staff and also to department technical staff when approving or disapproving plans for new or remodeled assisted living facilities. Assisted living facility legislation and 19 CSR 30-86.012 (27) provides the regulatory authority. No changes have been made to the rule as a result of this comment.

COMMENT: Jorgen Schlemeier, on behalf of the Missouri Assisted Living Facility Association (MALA), and the following associates of MALA:

Connie McClain, Marketing and Management for Lone Pine Residential Care, Ironton Residential Care, Maple Ridge Residential Care, South Haven Residential Care and Dent County Residential Care;

Shelly Long, Office Secretary, Lone Pine Residential Care;  
Patty Anderson, Office Manger of Lone Pine Residential Care;  
Bridgett Madden, Assistant Manager of Lone Pine Residential Care;  
Sheri Pratt, Manager of Lone Pine Residential Care;  
Jill Moise, Manager of Ironton Residential Care;

Dawn Gainer, Manager of Maple Ridge Residential Care;  
 Lisa Hedrick, Manager of Dent County Residential Care;  
 Wilma Davis, Administrator of South Haven Residential Care;  
 Dave Thomas, President, Thomas Marketing, Inc.;  
 Pam Thomas, R.N., Administrator, Thomas Management, Inc.;  
 Sharron K. Davis-Buckner, Administrator of Loving Care Home;  
 Cynthia Skidmore, Administrator of Autumn Place Residential Care of Joplin;  
 Karen Price, Owner of Dove Senior Citizen Home;  
 Phillip O. Farley, Owner of Sunnyhills Residential Care Facility;  
 Tom Walker, Administrator of Superior Park;  
 Frank Mosby, Administrator of Sabbath Manor;  
 Jill Hieronymus, Owner of Royal Oaks Residence;  
 Roswitha Long, Administrator of Countryside Care Center;  
 Peggy Keith, Administrator of Parkwood Meadows Assisted Living;  
 Tammy Smith, Director of Gasconade Terrace Retirement Center;  
 Ralene E. Davis, Owner/Manager of Guardian Angel RCF;  
 Bob Adams, Administrator of Walnut Street Residential Care and of The Colonial Home;  
 Joanna Mooney, Administrator of Cedars of Liberty and representing Lucy Webb, Owner;  
 Tricia Mosbacher, Regional Director of Operations Americare;  
 Linda Atchley, Operator of Colonial Manor LLC;  
 Teresa Compton, Administrator of Maple Crest Manor, Frederick Street Manors I & II;  
 Ronald Conway, Administrator of Colonial Retirement Center, Inc.;  
 Michael Long, Owner of Cedar Ridge Care Center;  
 Donna Quimby-Edwards, Owner of Century Pines Assisted Living;  
 JoAne Pate, Director of Nursing for Arana Manor and Silver Spur;  
 Bruce Harris, Administrator/Owner/Operator of Harris Care Centers;  
 Lisa Harris, President/Owner/Operator of Harris Care Centers;  
 Jeanette McCamis, Administrator/Owner of Wood Oaks, Inc. and Autumn Woods, Inc.;  
 Eric F. Fink, Administrator of Whispering Oaks Health Care Center, Inc.;  
 Jean Summers, Vice President of Operations for Americare;  
 Darren L. Redd, Vice President of Blue Castle of the Ozarks, Inc.;  
 Gary Boggs, Owner of Lakeshores Residential Care Facility;  
 Sandra Rutherford, Administrator of Lakeshores Residential Care Facility;  
 Bruce Hillis, Vice President of RH Montgomery Properties, Inc.; and  
 Ali Chaudhry, Owner/Operator of Sabbath Manor, Country and Fontainebleu;  
 Michelle Redd, Administrator of Blue Castle of the Ozarks, Inc.;  
 Virginia Mincks, LPN, Blue Castle of the Ozarks, Inc.;  
 Lanora Porterfield, Director of Nursing at Bolivar Manor House; and  
 Michele Vinson, Administrator of Bolivar Manor House commented that the statute states in the definition of "social model of care" that any RCF II licensed "prior to August 28, 2006, shall qualify as being more homelike than institutional with respect to construction and physical plant standards." This language, as it refers to construction standards, needs to be appropriately stated in section (27).

RESPONSE AND EXPLANATION OF CHANGE: The department added subsection (A) to clarify that section (27) applies to assisted living facilities.

COMMENT: The Missouri Assisted Living Association and listed associates suggested that the language in section (27) also reflect the language in section 198.006(24) CCS HCS SCS SB 616, 93rd General Assembly, Second Regular Session, RSMo allowing all facilities licensed as RCF IIs on August 27, 2006, be grandfathered indefinitely with respect to construction standards when such facility wants to up-license to an assisted living facility.

RESPONSE: The department believes this is adequately addressed in section (27) of this rule and in 19 CSR 30-82.010 General

Licensure Requirements. No changes have been made to the rule as a result of this comment.

### 19 CSR 30-86.012 Construction Standards for Assisted Living Facilities and Residential Care Facilities

(6) Facilities whose plans were approved after December 31, 1987, shall provide a minimum of seventy (70) square feet per resident in private and multiple occupancy bedrooms. This square footage calculation shall include the floor space used for closets and built-in furniture and equipment if these are for resident use and the closet space does not exceed five (5) square feet per resident. Private bedrooms in existing facilities that are required to comply with the requirements of 19 CSR 30-86.043 or 19 CSR 30-86.047, and multiple occupancy bedrooms in facilities licensed between November 13, 1980 and December 31, 1987, shall have a minimum of sixty (60) square feet of floor space per resident. II

(16) Facilities whose plans were approved or were initially licensed after December 31, 1987, shall have a community living and dining area separate from resident bedrooms with at least twenty-five (25) square feet per resident. The community living and dining area may be combined with footage required for another long-term care facility when the facility is on the same premises as another licensed facility. Facilities that are required to comply with the requirements of 19 CSR 30-86.043 licensed prior to November 13, 1980, must have a living room area but they are exempt from minimum size requirements. Facilities licensed between November 13, 1980 and December 31, 1987, shall have a community living area with twenty (20) square feet per resident for the first twenty (20) residents and an additional fifteen (15) square feet per resident over a census of twenty (20). II

(26) Facilities whose plans were approved or which were initially licensed after December 31, 1987, shall provide an air-conditioning system, or individual room air-conditioning units, capable of maintaining resident-use areas at eighty-five degrees Fahrenheit (85°F) (29.4°C) at the summer design temperature. II

(27) Home-Like Requirements with Respect to Construction Standards.

(A) Any assisted living facility formerly licensed as a residential care facility shall be more home-like than institutional with respect to construction and physical plant standards. II

(B) Any assisted living facility licensed as a residential care facility II prior to August 28, 2006, shall qualify as being more home-like than institutional with respect to construction and physical plant standards. II

(C) Any assisted living facility that is built or has plans approved on or after August 28, 2006, shall be more home-like than institutional with respect to construction and physical plant standards. II

## Title 19—DEPARTMENT OF HEALTH AND SENIOR SERVICES

### Division 30—Division of Regulation and Licensure

### Chapter 86—Residential Care Facilities and Assisted Living Facilities

#### ORDER OF RULEMAKING

By the authority vested in the Department of Health and Senior Services and under sections 198.005 and 198.073, RSMo Supp. 2006, and 198.076, RSMo 2000, the department amends a rule as follows:

19 CSR 30-86.022 is amended.

A notice of proposed rulemaking containing the text of the proposed amendment was published in the *Missouri Register* on October 2, 2006 (31 MoReg 1506-1509). Those sections with changes are reprinted here. This proposed amendment becomes effective thirty (30) days after publication in the *Code of State Regulations*.

**SUMMARY OF COMMENTS:** The department received ninety-eight (98) comments on this proposed amendment.

**COMMENT:** A department staff member commented that subsection (4)(A) did not need the new language that had been added in the proposed amendment.

**RESPONSE AND EXPLANATION OF CHANGE:** The department agrees and has restored subsection (4)(A).

**COMMENT:** A department staff member commented that subsection (8)(G) should include the sentence "Those facilities with plans approved on or after October 1, 2000, shall comply with the 1996 edition of NFPA 72." This sentence had been deleted in the proposed amendment.

**RESPONSE AND EXPLANATION OF CHANGE:** The department agrees that the sentence should not have been deleted from the proposed amendment and has reinserted the last sentence of subsection (8)(G).

**COMMENT:** A department staff member commented that the new language that had been added to subsection (8)(H) to differentiate between former residential care facilities I and II is not needed.

**RESPONSE AND EXPLANATION OF CHANGE:** The department agrees that it is not necessary to differentiate between former residential care facilities I and II for the purpose of this requirement and amended section (8) accordingly.

**COMMENT:** A department staff member commented that subsection (9)(A) does not need to reference residential care and assisted living facilities but simply "facilities" and the last sentence in the section is unclear.

**RESPONSE AND EXPLANATION OF CHANGE:** The department agrees that subsection (9)(A) does not need to reference "residential care and assisted living facilities" but only "facilities" and has amended the subsection accordingly. Additional changes were made to subsection (9)(A) to clarify the last sentence. This change does not change the context of the rule.

**COMMENT:** A department staff member commented that subsection (9)(E) should not use the term "assisted living" since the subsection deals only with facilities required to comply with 19 CSR 30-86.043.

**RESPONSE AND EXPLANATION OF CHANGE:** The department agrees that the term "assisted living" should be deleted since only subsection (9)(E) contains requirements for facilities required to comply with 19 CSR 30-86.043 and has amended subsection (9)(E) deleting the term "assisted living."

**COMMENT:** A department staff member commented that subsection (9)(F) should be amended to include the language "licensed prior to November 13, 1980, and multi-storied residential care facilities formerly licensed as residential care facilities I licensed on or after November 13, 1980."

**RESPONSE AND EXPLANATION OF CHANGE:** The department agrees and has amended subsection (9)(F) accordingly.

**COMMENT:** Jorgen Schlemeyer, Missouri Assisted Living Association (MALA) and the following associates of MALA: Connie McClain, Marketing and Management for Lone Pine Residential Care, Ironton Residential Care, Maple Ridge Residential Care, South Haven Residential Care and Dent County Residential Care; Shelly Long, Office Secretary, Lone Pine Residential Care;

Patty Anderson, Office Manger of Lone Pine Residential Care; Bridgett Madden, Assistant Manager of Lone Pine Residential Care; Sheri Pratt, Manager of Lone Pine Residential Care; Jill Moise, Manager of Ironton Residential Care; Dawn Gainer, Manager of Maple Ridge Residential Care; Lisa Hedrick, Manager of Dent County Residential Care; Wilma Davis, Administrator of South Haven Residential Care; Dave Thomas, President, Thomas Marketing, Inc.; Pam Thomas, R.N., Administrator, Thomas Management, Inc.; Sharron K. Davis-Buckner, Administrator of Loving Care Home; Cynthia Skidmore, Administrator of Autumn Place Residential Care of Joplin; Karen Price, Owner of Dove Senior Citizen Home; Phillip O. Farley, Owner of Sunnyhills Residential Care Facility; Tom Walker, Administrator of Superior Park; Frank Mosby, Administrator of Sabbath Manor; Jill Hieronymus, Owner of Royal Oaks Residence; Roswitha Long, Administrator of Countryside Care Center; Peggy Keith, Administrator of Parkwood Meadows Assisted Living; Tammy Smith, Director of Gasconade Terrace Retirement Center; Ralene E. Davis, Owner/Manager of Guardian Angel RCF; Bob Adams, Administrator of Walnut Street Residential Care and of The Colonial Home; Joanna Mooney, Administrator of Cedars of Liberty and representing Lucy Webb, Owner; Tricia Mosbacher, Regional Director of Operations Americare; Linda Atchley, Operator of Colonial Manor LLC; Teresa Compton, Administrator of Maple Crest Manor, Frederick Street Manors I & II; Ronald Conway, Administrator of Colonial Retirement Center, Inc.; Michael Long, Owner of Cedar Ridge Care Center; Donna Quimby-Edwards, Owner of Century Pines Assisted Living; JoAne Pate, Director of Nursing for Arana Manor and Silver Spur; Bruce Harris, Administrator/Owner/Operator of Harris Care Centers; Lisa Harris, President/Owner/Operator of Harris Care Centers; Jeanette McCamis, Administrator/Owner of Wood Oaks, Inc. and Autumn Woods, Inc.; Eric F. Fink, Administrator of Whispering Oaks Health Care Center, Inc.; Jean Summers, Vice President of Operations for Americare; Darren L. Redd, Vice President of Blue Castle of the Ozarks, Inc.; Gary Boggs, Owner of Lakeshores Residential Care Facility; Sandra Rutherford, Administrator of Lakeshores Residential Care Facility; Bruce Hillis, Vice President of RH Montgomery Properties, Inc.; and Ali Chaudhry, Owner/Operator of Sabbath Manor, Country and Fontainebleu; Michelle Redd, Administrator of Blue Castle of the Ozarks, Inc.; Virginia Mincks, LPN, Blue Castle of the Ozarks, Inc.; Lenora Portefield, Director of Nursing at Bolivar Manor House; and Michele Vinson, Administrator of Bolivar Manor House commented that subsection (15)(D), "Every facility shall use a personal electronic monitoring device for any resident..." should apply only to those facilities licensed pursuant to 19 CSR 30-86.045. Section 198.073.6(5) (CCS HCS SCS SB 616, 93rd General Assembly, Second Regular Session (2006)) clearly limits this type of monitoring to those facilities who care for residents who need more than minimal assistance.

**RESPONSE AND EXPLANATION OF CHANGE:** The department agrees that the requirement, "Every facility shall use a personal electronic monitoring device for any resident whose physician recommends the use of such device." should not be included in the requirements for section (15) and has deleted subsection (15)(D).

**COMMENT:** Norma J. Collins, Associate State Director—Advocacy, AARP Missouri commented that subsection (15)(D) requires that "Every facility shall use a personal electronic monitoring device for any resident whose physician recommends the use of such device."

At the end of this sentence should be added, "and the resident agrees." Residents should have the right to accept or refuse services and supportive equipment, including electronic monitoring devices. RESPONSE: As stated above, 19 CSR 30-86.022(15)(D) has been deleted from the proposed amendment 19 CSR 30-86.022. The comment more appropriately addresses proposed amendment 19 CSR 30-86.045(3)(A)3. Therefore, the department considered the comment as it relates to the proposed amendment 19 CSR 30-86.045(3)(A)3., which is written in accordance with assisted living legislation, section 198.073.6(5) (CCS HCS SCS SB 616, 93rd General Assembly, Second Regular Session (2006)). Specific resident rights are set forth in 19 CSR 30-88.010(13). This regulation includes requirements that all Missouri long-term care facilities ensure each resident has the right to accept or refuse treatment. The department considers electronic monitoring recommended by a physician to be a treatment that a resident or his/her legal representative could choose not to accept. No changes to this proposed amendment were made as the result of this comment.

#### **19 CSR 30-86.022 Fire Safety Standards for Residential Care Facilities and Assisted Living Facilities**

##### (4) Range Hood Extinguishing Systems.

(A) In facilities licensed on or before July 11, 1980, or in any facility with fewer than twenty-one (21) beds, the kitchen shall provide either:

1. An approved automatic range hood extinguishing system properly installed and maintained in accordance with the 1994 NFPA 96, *Standard on Ventilation Control and Fire Protection of Commercial Cooking Operations*; or

2. A portable fire extinguisher of at least ten (10) pounds, or the equivalent, in the kitchen area in accordance with the 1994 NFPA 10. II/III

##### (8) Fire Alarm Systems.

(G) The fire alarm system shall be an electrically supervised system with standby emergency power installed and maintained in accordance with the 1996 NFPA 72. Those facilities that are required to comply with the requirements of 19 CSR 30-86.042 and 19 CSR 30-86.043, with plans approved prior to October 1, 2000, shall comply with the provision of the 1975 edition of NFPA 72A, *Local Protective Signaling Systems*. Those facilities with plans approved on or after October 1, 2000, shall comply with the 1996 edition of NFPA 72. I/II

(H) At a minimum, the fire alarm system shall consist of a manual pull station at or near each attendant's station and each required exit, smoke detectors located no more than thirty feet (30') apart in the corridors or passageways with no point in the corridor or passageway more than fifteen feet (15') from a detector and no point in the building more than thirty feet (30') from a detector. In facilities licensed prior to November 13, 1980, smoke detectors located every fifty feet (50') will be acceptable. The smoke detectors will not be required in facilities licensed prior to November 13, 1980, if a complete heat detector system, interconnected to the fire alarm system, is provided in every space throughout the facility. It must include audible signal(s) which can be heard throughout the building and a main panel that interconnects all alarm-activating devices and audible signals. I/II

##### (9) Protection from Hazards.

(A) In facilities licensed on or after November 13, 1980, for more than twelve (12) residents, hazardous areas shall be separated by construction of at least a one (1)-hour fire-resistant rating. In facilities equipped with a complete automatic fire alarm system, not individual residential-type detectors, the one (1)-hour fire separation is required only for furnace or boiler rooms. Hazardous areas equipped with a complete sprinkler system are not required to have this one (1)-hour fire separation. Doors to hazardous areas shall be self-clos-

ing and shall be kept closed unless an electromagnetic hold-open device is used which is interconnected with the fire alarm system. Facilities formerly licensed as residential care facility I or II, and existing prior to November 13, 1980, shall be exempt from this requirement. II

(E) In facilities that are required to comply with the requirements of 19 CSR 30-86.043 and were formerly licensed as residential care facilities II on or after November 13, 1980, each floor shall be separated by construction of at least a one (1)-hour fire resistant rating. Buildings equipped with a complete sprinkler system may have a nonrated smoke separation barrier between floors. Doors between floors must be a minimum of one and three-fourths inches (1 3/4") thick and be solid core wood doors or metal doors with an equivalent fire rating. II

(F) In facilities licensed prior to November 13, 1980, and multi-storied residential care facilities formerly licensed as residential care facilities I licensed on or after November 13, 1980, there shall be a smoke separation barrier between the floors of resident-use areas and any floor below the resident-use area. This shall consist of a solid core wood door or metal door with an equivalent fire rating at the top or the bottom of the stairs. There shall not be a transom above the door that would permit the passage of smoke. II

##### (15) Standards for Designated Separated Areas.

(D) The facility may provide a designated, separated area where residents, who are mentally incapable of negotiating a pathway to safety, reside and receive services and which is secured by limited access if the following conditions are met:

1. Dining rooms, living rooms, activity rooms, and other such common areas shall be provided within the designated, separated area. The total area for common areas within the designated, separated area shall be equal to at least forty (40) square feet per resident; II/III

2. Doors separating the designated, separated area from the remainder of the facility or building shall not be equipped with locks that require a key to open; I/II

3. If locking devices are used on exit doors egressing the facility or on doors accessing the designated, separated area, delayed egress magnetic locks shall be used. These delayed egress devices shall comply with the following:

A. The lock must unlock when the fire alarm is activated;

B. The lock must unlock when the power fails;

C. The lock must unlock within thirty (30) seconds after the release device has been pushed for at least three (3) seconds, and an alarm must sound adjacent to the door;

D. The lock must be manually reset and cannot automatically reset; and

E. A sign shall be posted on the door that reads: PUSH UNTIL ALARM SOUNDS, DOOR CAN BE OPENED IN 30 SECONDS. I/II

4. The delayed egress magnetic locks may also be released by a key pad located adjacent to the door for routine use by staff. I/II

### **Title 19—DEPARTMENT OF HEALTH AND SENIOR SERVICES Division 30—Division of Regulation and Licensure Chapter 86—Residential Care Facilities and Assisted Living Facilities**

#### **ORDER OF RULEMAKING**

By the authority vested in the Department of Health and Senior Services and under sections 198.005 and 198.073, RSMo Supp. 2006, and 198.076, RSMo 2000, the department amends a rule as follows:

19 CSR 30-86.032 is amended.

A notice of proposed rulemaking containing the text of the proposed amendment was published in the *Missouri Register* on October 2, 2006 (31 MoReg 1509-1513). Those sections with changes are reprinted here. This proposed amendment becomes effective thirty (30) days after publication in the *Code of State Regulations*.

**SUMMARY OF COMMENTS:** The department received fifty-four (54) comments on the proposed amendment.

**COMMENT:** Denise Clemonds, CEO for Missouri Association of Homes for the Aging (MoAHA); Marshal Cope, Executive Director for New Florence Care Center; Elliot Planells of St. Andrews Management Services; Susan McClenahan, Executive Director for The Sarah Community; Joan Devine, Clinical Services Director for St. Andrews Management Services; Christopher Wiltse, Anna House Administrator; Julie Klein, Mispah Manor Administrator; Charlotte Lehmann, Executive Director for Cape Albeon; and Tyler Troutman, Executive Director for Brooking Park commented that the department's intent in deleting respite care provisions from section (3) is unclear. If the intent is to eliminate respite care in assisted living facilities, Ms. Clemonds and listed associates of MoAHA disagree strongly. Ms. Clemonds and listed associates of MoAHA see no reason to change the current regulations for a program that is working very well. They currently provide this wonderful service, making it possible for some frail Missourians to remain in their own home longer while giving family members or other in-home caregivers the help they need.

**RESPONSE:** The department realizes respite care programs provide needed services to communities. It is not the intent of the department to prohibit facilities from providing respite care. Respite care is a short-term admission to the facility and not an additional business. Section (3) provides requirements regarding operating additional businesses within a long-term care facility and should not include respite care. No changes have been made to the rule as a result of this comment.

**COMMENT:** Ms. Clemonds and listed associates of MoAHA commented that the requirement of section (7) that requires all toilet and bathing areas, without regard to resident need, have grab bars and handrails would create an unnecessary expense. This expense would either be passed on to residents or would take from available resources that promote a better quality of life for residents. While many residents of assisted living facilities will need these safety features, other residents may be quite capable physically but have mental limitations or illnesses that cause them to reside in an assisted living facility. Ms. Clemonds and listed associates of MoAHA suggested this requirement be more targeted to those who need this type of assistance.

**RESPONSE:** Residents in assisted living facilities have varying degrees of functional abilities and may receive a range of services throughout their life span, including licensed hospice end-of-life care. While some residents may not need the assistance of handrails and grab bars at the time of admission, due to health status changes they may need this type of assistance at a later date. All residential care facilities I and II that were licensed after November 13, 1980 were already required to install handrails in all toilet areas and grab bars in all bathing areas. The vast majority of assisted living facilities were licensed after November 13, 1980 and therefore were already required to have handrails in all toilet areas and grab bars in all bathing areas. The department recognizes that there will be some expense for retrofitting some facilities, but feels it is important to have handrails and grab bars for support, safety and increased independence available to all residents who live in assisted living facilities. No changes have been made to the rule as a result of this comment.

**COMMENT:** Jorgen Schlemeirer, on behalf of Missouri Assisted Living Association (MALA); Connie McClain, Marketing and Management for Lone Pine Residential Care, Ironton Residential Care, Maple Ridge Residential Care, South Haven Residential Care and Dent County Residential Care;

Shelly Long, Office Secretary, Lone Pine Residential Care; Patty Anderson, Office Manager of Lone Pine Residential Care; Bridgett Madden, Assistant Manager of Lone Pine Residential Care; Sheri Pratt, Manager of Lone Pine Residential Care; Jill Moise, Manager of Ironton Residential Care; Dawn Gainer, Manager of Maple Ridge Residential Care; Lisa Hedrick, Manager of Dent County Residential Care; Wilma Davis, Administrator of South Haven Residential Care; Dave Thomas, President, Thomas Marketing, Inc.; Pam Thomas, R.N., Administrator, Thomas Management, Inc.; Sharron K. Davis-Buckner, Administrator of Loving Care Home; Cynthia Skidmore, Administrator of Autumn Place Residential Care of Joplin; Karen Price, Owner of Dove Senior Citizen Home; Phillip O. Farley, Owner of Sunnyside Residential Care Facility; Tom Walker, Administrator of Superior Park; Frank Mosby, Administrator of Sabbath Manor; Jill Hieronymus, Owner of Royal Oaks Residence; Roswitha Long, Administrator of Countryside Care Center; Peggy Keith, Administrator of Parkwood Meadows Assisted Living; Tammy Smith, Director of Gasconade Terrace Retirement Center; Ralene E. Davis, Owner/Manager of Guardian Angel RCF; Bob Adams, Administrator of Walnut Street Residential Care and of The Colonial Home; Joanna Mooney, Administrator of Cedars of Liberty and representing Lucy Webb, Owner; Tricia Mosbacher, Regional Director of Operations Americare; Linda Atchley, Operator of Colonial Manor LLC; Teresa Compton, Administrator of Maple Crest Manor, Frederick Street Manors I & II; Ronald Conway, Administrator of Colonial Retirement Center, Inc.; Michael Long, Owner of Cedar Ridge Care Center; Donna Quimby-Edwards, Owner of Century Pines Assisted Living; JoAne Pate; Director of Nursing for Arana Manor and Silver Spur; Bruce Harris, Administrator/Owner/Operator of Harris Care Centers; Lisa Harris, President/Owner/Operator of Harris Care Centers; Jeanette McCamis, Administrator/Owner of Wood Oaks, Inc. and Autumn Woods, Inc.; Eric F. Fink, Administrator of Whispering Oaks Health Care Center, Inc.; Jean Summers, Vice President of Operations for Americare; Darren L. Redd, Vice President of Blue Castle of the Ozarks, Inc.; Gary Boggs, Owner of Lakeshores Residential Care Facility; Sandra Rutherford, Administrator of Lakeshores Residential Care Facility; and Ali Chaudhry, Owner/Operator of Sabbath Manor, Country and Fontainebleau commented that the provisions of section (7) require all assisted living facilities to have handrails and grab bars affixed in all toilet and bathing areas. This is not required by statute and in fact is opposite of the intent of the assisted living facility legislation for a non-institutional setting for residents and individualized plans of care. All residents in facilities licensed under 19 CSR 30-86.047, need no more than minimal assistance, and many need no physical assistance. Assisted living facilities care for many mentally impaired residents who do not need the assistance of handrails and grab bars. Handrails and grab bars are very expensive to install and "one size fits all" policy is arbitrary in and of itself and is contrary to the goal of assisted living facility legislation. Mr. Schlemeirer and the listed associates of MALA suggested leaving the requirement to only those residents who require use of handrails and grab bars.

**RESPONSE:** Please refer to the previous response. No changes have been made to the rule as a result of this comment.

COMMENT: Bruce Hillis, Vice President of RH Montgomery Properties, Inc. commented that section (7) should read: "Newly licensed facilities with toilets and bathing areas serving residents, whose written contracts specify mechanical assistance for their toilet and bath area, shall provide such mechanical assistance." The requirement of the rule as proposed assumes a need not in evidence and its requirement is unrelated to the actual needs or wishes of any resident that may be admitted or retained by an assisted living facility.

RESPONSE: Please refer to the above response. No changes have been made to the rule as a result of this comment.

COMMENT: Charlie Schott, Executive Director of Good Shepard Nursing Home District commented that he is not certain of the intent in deleting respite care provisions from section (3). He hopes it was an oversight and will be added back to the regulations for assisted living and residential care facilities.

RESPONSE: Please refer to the previous response to the same comment. No changes have been made to the rule as a result of this comment.

COMMENT: A department staff member commented that paragraph (1)(C)7. is unclear and grammatically incorrect.

RESPONSE: The "; and" at the end of paragraph 7. is used to link subsections (A)-(D). No changes have been made to this rule as a result of this comment.

COMMENT: A department staff member commented that section (35) does not address a facility that elects to have its license upgraded from a residential care facility to an assisted living facility.

RESPONSE AND EXPLANATION OF CHANGE: The department agrees and has revised section (35) to clarify that assisted living facilities formerly licensed as residential care facilities shall be more home-like than institutional with respect to construction and physical plant standards.

### **19 CSR 30-86.032 Physical Plant Requirements for Residential Care Facilities and Assisted Living Facilities**

(35) Home-Like Requirements with Respect to Construction and Physical Plant Standards.

(A) Any assisted living facility formerly licensed as a residential care facility shall be more home-like than institutional with respect to construction and physical plant standards. II

(B) Any assisted living facility licensed as a residential care facility II prior to August 28, 2006, shall qualify as being more home-like than institutional with respect to construction and physical plant standards. II

(C) Any assisted living facility that is built or has plans approved on or after August 28, 2006, shall be more home-like than institutional with respect to construction and physical plant standards. II

## **Title 19—DEPARTMENT OF HEALTH AND SENIOR SERVICES**

### **Division 30—Division of Regulation and Licensure Chapter 86—Residential Care Facilities and Assisted Living Facilities**

#### **ORDER OF RULEMAKING**

By the authority vested in the Department of Health and Senior Services and under sections 198.005, 198.006 and 198.076, RSMo Supp. 2006, the department amends a rule as follows:

19 CSR 30-86.042 is amended.

A notice of proposed rulemaking containing the text of the proposed amendment was published in the *Missouri Register* on October 2, 2006 (31 MoReg 1514-1525). Those sections with changes are reprinted here. This proposed amendment becomes effective thirty (30) days after publication in the *Code of State Regulations*.

SUMMARY OF COMMENTS: The department received four hundred forty-two (442) comments on the proposed amendment.

EXPLANATION OF ADDITIONAL CHANGES: Based on comments made at the January 17, 2007 and January 24, 2007, meetings of the Joint Committee on Administrative Rules (JCAR) regarding the proposed amendment filed with JCAR on December 29, 2006, the department is changing subsection (11)(D) to modify the requirements for ensuring that individuals contracted for professional services are monitored by facility staff while in the facility to ensure the safety of all residents when the facility cannot conduct a criminal background check.

EXPLANATION OF ADDITIONAL CHANGES: Based on comments made at the January 17, 2007 and January 24, 2007, meetings of the Joint Committee on Administrative Rules (JCAR) regarding the proposed amendment filed with JCAR on December 29, 2006, the department is changing subsection (34)(A) to modify the requirements related to services and treatments for residents who exhibit mental and psychosocial adjustment difficulty(ies).

EXPLANATION OF ADDITIONAL CHANGES: Based on comments made at the January 17, 2007 and January 24, 2007, meetings of the Joint Committee on Administrative Rules (JCAR) regarding the proposed amendment filed with JCAR on December 29, 2006, the department is changing subsection (34)(B) to modify the requirements for specialized rehabilitative services for residents with mental illness or mental retardation.

EXPLANATION OF ADDITIONAL CHANGES: Based on comments made at the January 17, 2007 and January 24, 2007, meetings of the Joint Committee on Administrative Rules (JCAR) regarding the proposed amendment, the department is changing the requirements in section (41) to mirror the statutory language.

EXPLANATION OF ADDITIONAL CHANGES: Based on comments made at the January 17, 2007 and January 24, 2007, meetings of the Joint Committee on Administrative Rules (JCAR) regarding the proposed amendment filed with JCAR on December 29, 2006, the department is changing the requirements in subsection (62)(B) to mirror the statutory language.

COMMENT: Jorgen Schlemeyer, on behalf of Missouri Assisted Living Association (MALA);

Connie McClain, Marketing and Management for Lone Pine Residential Care, Ironton Residential Care, Maple Ridge Residential Care, South Haven Residential Care and Dent County Residential Care;

Shelly Long, Office Secretary, Lone Pine Residential Care;  
Patty Anderson, Office Manager of Lone Pine Residential Care;  
Bridgett Madden, Assistant Manager of Lone Pine Residential Care;  
Sheri Pratt, Manager of Lone Pine Residential Care;  
Jill Moise, Manager of Ironton Residential Care;  
Dawn Gainer, Manager of Maple Ridge Residential Care;  
Lisa Hedrick, Manager of Dent County Residential Care;  
Wilma Davis, Administrator of South Haven Residential Care;  
Dave Thomas, President, Thomas Marketing, Inc.;

Pam Thomas, R.N., Administrator, Thomas Management, Inc. ;  
Sharron K. Davis-Buckner, Administrator of Loving Care Home;  
Cynthia Skidmore, Administrator of Autumn Place Residential Care of Joplin;

Karen Price, Owner of Dove Senior Citizen Home;

Phillip O. Farley, Owner of Sunnyhills Residential Care Facility;  
Tom Walker, Administrator of Superior Park;  
Frank Mosby, Administrator of Sabbath Manor;  
Jill Hieronymus, Owner of Royal Oaks Residence;  
Roswitha Long, Administrator of Countryside Care Center;  
Peggy Keith, Administrator of Parkwood Meadows Assisted Living;  
Tammy Smith, Director of Gasconade Terrace Retirement Center;  
Ralene E. Davis, Owner/Manager of Guardian Angel RCF;  
Bob Adams, Administrator of Walnut Street Residential Care and of The Colonial Home;  
Joanna Mooney, Administrator of Cedars of Liberty and representing Lucy Webb, Owner;  
Tricia Mosbacher, Regional Director of Operations Americare;  
Linda Atchley, Operator of Colonial Manor LLC;  
Teresa Compton, Administrator of Maple Crest Manor, Frederick Street Manors I & II;  
Ronald Conway, Administrator of Colonial Retirement Center, Inc.;  
Michael Long, Owner of Cedar Ridge Care Center;  
Donna Quimby-Edwards, Owner of Century Pines Assisted Living;  
JoAne Pate; Director of Nursing for Arana Manor and Silver Spur;  
Bruce Harris, Administrator/Owner/Operator of Harris Care Centers;  
Lisa Harris, President/Owner/Operator of Harris Care Centers;  
Jeanette McCamis, Administrator/Owner of Wood Oaks, Inc. and Autumn Woods, Inc.;  
Eric F. Fink, Administrator of Whispering Oaks Health Care Center, Inc.;  
Jean Summers, Vice President of Operations for Americare;  
Darren L. Redd, Vice President of Blue Castle of the Ozarks, Inc.;  
Gary Boggs, Owner of Lakeshores Residential Care Facility;  
Sandra Rutherford, Administrator of Lakeshores Residential Care Facility;  
Bruce Hillis, Vice President of RH Montgomery Properties, Inc.;  
Ali Chaudhry, Owner/Operator of Sabbath Manor, Country and Fontainbleu;  
Michelle Redd, Administrator of Blue Castle of the Ozarks, Inc.;  
Virginia Mincks, LPN, Blue Castle of the Ozarks, Inc.;  
Lanora Portefield, Director of Nursing at Bolivar Manor House; and  
Michele Vinson, Administrator of Bolivar Manor House, recommend the second sentence of section (10) be revised to say “. . . shall [work] be employed by or volunteer in the facility.” Eliminate the remainder of that sentence and paragraph. This is based on 660.315, RSMo subsection (12), which states, “No person, corporation, or association who received the employee disqualification list under subsection (11) of this section shall knowingly employ any person who is on the Employee Disqualification List (EDL).” MALA and listed associates commented that adding the term, “work” goes beyond the statutory authority of the department.  
RESPONSE: The department interprets the term “employ” in section 660.315, RSMo to include paid and unpaid persons who perform work in the facility. Checking the EDL is a screening process that identifies individuals who are a potential danger to the health and welfare of residents. The intent of section (10) is to ensure that persons who are on the EDL are not allowed to have contact with residents. Therefore, the provisions do not limit the screening process to employees, and include volunteers who may have contact with residents. No changes have been made to the rule as a result of this comment.

COMMENT: MALA and listed associates commented that subsection (11)(D) should be removed as it is unrealistic with which to comply. If workers, such as plumbers and HVAC workers, need to be screened, then require their employer to be responsible for whom they dispatch to long-term care (LTC) facilities.

RESPONSE: The department interprets the statutory term “employed” to include persons who perform work, paid or unpaid, in the facility. Additionally, this regulation allows the facility the option of accompanying the repair person in lieu of requiring a crim-

inal background check in order to ensure the safety of residents. No changes have been made to the rule as a result of this comment.

COMMENT: MALA and listed associates commented that section (17) refers to 19 CSR 20-20.010 through 19 CSR 20-20.100. The regulations are not applicable to long-term care facilities because those regulations apply to hospitals and other non-long-term care facilities and it is impossible to individually determine which the department deems applicable. We believe the department should delete this section and promulgate a rule applicable to long-term care facilities so we can then comment on applicable rules.

RESPONSE: Sections 192.138 and 192.139, RSMo require medical facilities and nursing homes to report contagious or infectious diseases. 19 CSR 20-20.010(22) defines “institution” to include nursing homes. Facilities should already be reporting pursuant to these regulations. No change has been made to the rule as a result of this comment.

COMMENT: MALA and listed associates commented that section (32) should be a Department of Mental Health (DMH) rule, as they have the legal responsibility to provide this information. We do not have the ability to force them to “provide” or “update” their individual habilitation plans. Suggest “on file as prepared and provided by. . . .” Leave as a Class III.

RESPONSE: The requirement addresses the facility’s responsibility to have the current individual treatment plan (ITP) and individual habilitation plan (IHP) on file. This means that facilities will be responsible for requesting the ITP and IHP from DMH and following up on their requests. However, this provision does not require the facility to provide an ITP or IHP if the plan has not been prepared by DMH. The department believes the ITP and IHP are an integral part of providing healthcare oversight for all residents and their care. The classification has been upgraded due to the direct impact the ITP or IHP has on care. No changes have been made to the rule as a result of this comment.

COMMENT: MALA and listed associates commented that subsections (34)(A) and (B) are two (2) of many examples where the department has designated that facility as either the caregiver, which is in conflict with state statute as the facility does not have within its scope of requirements to provide medical/diagnostic services, nor case management services. Furthermore with respect to (A) and (B) above, the Department of Mental Health (DMH) contracts separately with DMH administrative agents to provide these services to residents in our facilities. The statute (198.073.4) specifically states what an assisted living facility (ALF) is required to provide, one of which states, “. . . services to meet the need of the resident as documented in a written contract signed by the resident, or legal representative of the resident.”

RESPONSE: The rule does not conflict with section 198.073.4, RSMo, as amended by CCS HCS SCS SB 616, 93rd General Assembly, Second Regular Session (2006), because the requirements described by the commenter apply to assisted living facilities and the requirements of 19 CSR 30-86.042 apply to residential care facilities. The rule clearly states, “the facility must ensure the required services are provided.” This does not require the facility to provide medical, diagnostic or case management services. The intent of the regulation is for the facility to actively participate and oversee all aspects of the resident’s care, including the services provided through DMH contracts with administrative agents. The requirements in 19 CSR 30-86.042(34)(A) and (B) are within the facility’s scope of providing healthcare oversight for residents. No changes have been made to the rule as a result of this comment. However, changes have been made as indicated in the “Explanation of Additional Changes” for this section.

COMMENT: MALA and listed associates commented that section (41) is arbitrary and capricious as to create such substantial inequity



as to be unreasonably burdensome on persons affected. What is a “may potentially pose a threat of significant in condition?” We know what “significant change” means and it is too broad, now anything that may potentially pose a threat of a significant change will require the facility to initiate a string of administrative procedures. This rule was filed over a year ago and when commenting on this section during the comment period, the response provided by the department to our comments stated, this section “requires that the facility use reasonable judgment as to behaviors, which may potentially pose a threat. If a resident displays threatening behavior(s) toward him/herself or others, the facility should not wait until someone is harmed to notify a guardian or placement authority (which may be a parole officer, court or mental health provider, etc.)” By the fact that the department uses “displays threatening,” to describe “may potentially pose” demonstrates our point. The department by their response believes “displays threatening,” is synonymous with “may potentially pose a threat.”

RESPONSE: Section (41) requires facilities to use reasonable judgment as to behaviors that may potentially pose a threat. If a resident displays threatening behavior(s) toward him/herself or others, the facility should not wait until someone is harmed to notify a guardian or placement authority (which may be a parole officer, court or mental health provider, etc.). It is the department’s position that exercising such judgment is part of providing protective oversight and that section 198.076, RSMo gives the department the authority to establish such a rule concerning the “health and welfare of residents” in residential care facilities. No change has been made to the rule as a result of this comment. However, changes have been made as indicated in the “Explanation of Additional Changes” for this section.

COMMENT: MALA and listed associates commented that subsection (62)(B) requires the facility to record behavior that poses or has posed or could potentially result in injuries. The required documentation for every scenario outlined in this rule is substantial. Documenting accidents that potentially could result in injury, but do not result in injury, would include circumstances such as a resident bumping into another resident, a chair, or a wall. Each of these could have resulted in an injury, but did not. This happens frequently. This is a rule with which facilities cannot comply.

RESPONSE: This provision requires the facility to use reasonable judgment in determining the events and scenarios that should be documented. Documentation is an important part of the process of identifying contributing factors and implementing an effective approach. For example, documentation that shows recurrent incidents of a resident bumping into another resident, chair or wall is an indication that staff should assess and monitor the resident’s environment, health status and evaluate the need for assistive devices. No changes have been made to the rule as a result of this comment. However, changes have been made as indicated in the “Explanation of Additional Changes” for this section.

COMMENT: A department staff member commented that the word “or” should be “unless” in paragraph (53)(A)2. the class violation should be added to subsection (B) and the sentence in subsection (C) is unclear.

RESPONSE AND EXPLANATION OF CHANGE: The department has amended section (53) by replacing the word “or” with “unless,” adding the omitted class violation and clarifying that the facility may access screenings and immunizations through outside sources with the physician’s approval.

COMMENT: Denise Clemonds, CEO for Missouri Association of Homes for the Aging (MoAHA); Marshal Cope, Executive Director for New Florence Care Center; Elliot Planells of St. Andrews Management Services; Susan McClenahan, Executive Director for The Sarah Community; Joan Devine, Clinical Services Director for St. Andrews Management Services;

Christopher Wiltse, Anna House Administrator; Julie Klein, Mispah Manor Administrator; Charlotte Lehmann, Executive Director for Cape Albeon; and Tyler Troutman, Executive Director for Brooking Park, commented that the term, “work” in section (10) is so broad as to be unenforceable, and we ask that you only apply it to employees and volunteers as defined by the proposed rule. “Work” is not defined, and could include supply salespersons coming to the facility and any other number of people who may have occasion to enter a facility for a brief time in the course of their employment. Furthermore, the statutory authority of the department only applies to employees under 660.315 RSMo, subsection (12).

RESPONSE: The department interprets the term “employ” in section 660.315, RSMo to include paid and unpaid persons who perform work in the facility. Checking the EDL is a screening process that identifies individuals who are a potential danger to the health and welfare of residents. The intent of section (10) is to ensure that persons who are on the EDL are not allowed to have contact with residents. Therefore, the provisions do not limit the screening process to employees, and include volunteers who may have contact with residents. No changes have been made to the rule as a result of this comment.

COMMENT: MoAHA and listed associates commented that subsection (11)(D) requiring criminal background checks for plumbers, etc. is unworkable. When the sewer is backed up, the air conditioning breaks down in the middle of July, or freezers and coolers break down in the dietary section, the health, safety and comfort of our residents requires immediate action. The risk to their health and safety is far greater if repairs wait until background checks are made or until staff can take time from caring for residents to simply watch repair contractors do their work. This provision needs to be deleted.

RESPONSE: The rule allows the facility the option of accompanying the repair person in lieu of requiring a criminal background check in order to ensure the safety of residents. Thus, facilities are not required to delay repairs until background checks are completed. No changes have been made to the rule as a result of this comment. However, changes have been made as indicated in the “Explanation of Additional Changes” for this section.

COMMENT: MoAHA and listed associates commented that section (17) requires clarification and recommended that immediately after the word “known” in this portion of the rule the department add the words “to the administrator or manager.” It obviously would be impossible for the administrator or manager to be responsible for preventing exposure to residents of communicable diseases of employees unless the administrator/manager is made aware of the disease.

RESPONSE: The suggested change emphasizes the importance of whether or not the administrator or manager is aware of the communicable disease. This is not the intent of the regulation. The intent of the regulation is to prevent an employee known to be diagnosed with a communicable disease from exposing residents to the disease. No changes have been made to the rule as a result of this comment.

COMMENT: MoAHA and listed associates commented that the hours of training required by section (20) should be revised, with one (1) hour of dementia training required during orientation and an additional two (2) hours training required at any time during the first year of employment. The orientation training could be limited to the basic issues of communicating with dementia resident and behavior management. After working with dementia residents for a time, the training in dealing with families and promoting independence will have more meaning to the employee. With high staff turnover rates, this will also be more efficient, as less hours will be spent training new hires that may be gone in a few days or weeks.

RESPONSE: Thorough orientation is needed upon hiring to ensure

employees have the necessary skills to provide care for residents with dementia. The suggested amount of time is not sufficient to learn the various complex issues involved in providing this care. No changes have been made to the rule as a result of this comment.

COMMENT: MoAHA and listed associates commented that section (28) should be clarified to include going from one smoke section to another within the facility, going to an area of refuge in the facility, or going out of the facility. The five (5)-minute time allotted is brief, but with those clarifications it would strike a good balance for resident safety and resident choice in where to make their home.

RESPONSE AND EXPLANATION OF CHANGE: The department agrees that the clarification is necessary to have a more clear understanding of the meaning of “evacuate the facility.” Therefore, the definition was added as subsection (1)(C), and section (28) has been revised to include the reference to the definition.

COMMENT: MoAHA and listed associates commented that the requirements for subsection (53)(A) for a facility to offer residents flu shots seem to be overdone when seniors are encouraged by public health agencies to just drop by and get a shot. MoAHA and listed associates suggest deleting the proposed language and inserting: The facility may develop a policy for ensuring residents have the opportunity for assessment for receiving influenza and pneumococcal immunizations.

RESPONSE AND EXPLANATION OF CHANGE: The word “may” means the policy development is optional. This is not the intent of the regulation. However, the department revised section (53) for clarity.

COMMENT: MoAHA and listed associates commented that the fiscal note on this rule doesn’t include the costs of paying employees for hours spent in training. If employees are in classroom training, they are not available to assist residents. That is a significant cost factor.

RESPONSE AND EXPLANATION OF CHANGE: The department has revised the private entity fiscal note to address the situation. The department has added the revised private cost to this order and has revised the private entity fiscal note filed with this order of rulemaking.

COMMENT: Barbara Miltenberger of Husch & Eppenberger, LLC, on behalf of Missouri Health Care Association (MHCA): Ms. Miltenberger commented that section (10) defines volunteers and excludes certain volunteers from criminal background checks. A volunteer is defined as an unpaid individual who is providing a direct care service to the facility and must have a criminal background check unless excluded. Family members are not excluded from the criminal background checks although they frequently provide services, which would meet the definition of a volunteer, like feeding their loved ones. The MHCA believes the proposed regulation should specifically exclude family members.

RESPONSE: This paragraph refers to Employee Disqualification (EDL) checks, not criminal background checks. Any person meeting the definition of a volunteer is required to be checked against the EDL. No changes have been made to the rule as a result of this comment.

COMMENT: Norma J. Collins, Associate State Director of AARP, on behalf of AARP commented that because many assisted living residents have or may develop dementia, understanding of dementia should be added to the topics included in section (19) in orientation for all new employees in all assisted living facilities. This could be done by changing subsection (19)(I) from “instruction regarding working with residents with mental illness” to “instruction regarding working with residents with mental illness and dementia.”

RESPONSE: The requirements for instructions regarding working with residents with dementia are already addressed in section (20). No changes have been made to the rule as a result of this comment.

COMMENT: Susan McCann, DHSS, Bureau of Narcotics and Dangerous Drugs (BNDD) commented that in section (44) the word “unsealed” was not used in previous drafts and should be deleted from section (44). All medications brought to the facility by the resident should be examined and approved before use. Even sealed medication packages could be expired, damaged, unsanitary or have other evidence of being unsuitable (e.g., faded labels or faded color on tablets could indicate excess exposure to sunlight and degradation, liquids could have particulate matter etc.).

RESPONSE: The department believes requiring every medication brought in to the facility to be examined would be potentially invasive to the individual. The department will leave section (44) as written but will be open to more feedback in the future. No changes have been made to the rule as a result of this comment.

COMMENT: Ms. McCann commented that the word “or” should be “unless” in paragraph (53)(A)2.

RESPONSE AND EXPLANATION OF CHANGE: The department agrees with this comment and has revised paragraph (53)(A)2. inserting the word, “unless” and further clarified the paragraph.

COMMENT: Ms. McCann commented regarding subsection (53)(C), should the word “with” be deleted from the phrase “. . . with access.”

RESPONSE AND EXPLANATION OF CHANGE: The department agrees that the word “with” was out of place and has revised subsection (53)(C) for clarity.

COMMENT: Ms. McCann commented in section (54) “No stock supply of prescription medication may be kept in the facility” from previously deleted section (52) should be retained.

RESPONSE AND EXPLANATION OF CHANGE: The department has revised section (54) to replace the deleted text.

COMMENT: Ms. McCann commented subsection (57)(C) should be deleted as no stock supplies are maintained and no registration will be issued.

RESPONSE AND EXPLANATION OF CHANGE: The department has deleted subsection (57)(C) since the reference to stock supplies of prescription medications is not applicable to facilities that must comply with 19 CSR 30-86.042.

COMMENT: Ms. McCann commented that in subsection (60)(C) the reference to discharge medications should be in section (49) rather than (48).

RESPONSE AND EXPLANATION OF CHANGE: The department has corrected the technical error by revising subsection (60)(C) to reference section (49).

COMMENT: Ms. McCann commented that in subsection (62)(C) the phrase “except as allowed by section (51) of this rule” should be deleted, consistent with the change made for proposed rule 19 CSR 30-86.047(59)(C). Section (51) does not address orders. Section (52) addresses orders but makes no exception for signing. Section (53) allows administration based on physician approved facility policy (comparable to a standing order/protocol concept), and does not require an individual order. The physician authorizes administration to any applicable residents in this special circumstance through approval of the policy.

RESPONSE AND EXPLANATION OF CHANGE: The rule is unclear because the reference to section (51) is incorrect. The department has amended section (62)(C) to correct this problem.

COMMENT: Charles Schott, Jr., Executive Director and CEO of Good Shepard Care Center District commented that in section (10) the term, “Work” is not clearly defined, and could be interpreted to be salespersons, delivery personnel and other contracted individuals that come to the facility, for a brief time in the course of providing

services. Furthermore it would be nearly impossible for us to ensure that all individuals coming into our facility have had background checks and, if not impossible, extremely costly. Mr. Schott recommended that the term “work” should be defined to apply only to individuals employed by or volunteers at the facility.

RESPONSE: The department interprets the term “employ” in section 660.315, RSMo to include paid and unpaid persons who perform work in the facility. Checking the EDL is a screening process that identifies individuals who are a potential danger to the health and welfare of residents. The intent of section (10) is to ensure that persons who are on the EDL are not allowed to have contact with residents. Therefore, the provisions do not limit the screening process to employees, and include volunteers who may have contact with residents. No changes have been made to the rule as a result of this comment.

COMMENT: Mr. Schott commented paragraph (11)(D)2. is impractical. In a rural area when facilities have a major facility problem, facilities cannot be required to ensure that every person that enters our facility has had a criminal background check. Please remove this requirement from the proposed amendment.

RESPONSE: The rule allows the facility the option of accompanying the repairperson in lieu of requiring a criminal background check in order to ensure the safety of residents. Thus, facilities are not required to delay repairs until background checks are completed. No changes have been made to the rule as a result of this comment. However, changes have been made as indicated in the “Explanation of Additional Changes.”

COMMENT: Mr. Schott commented in section (20) that the hours of training be revised, with one (1) hour of dementia training required during orientation and an additional two (2) hours training required during the first year of employment. Limit the orientation training to the basic issues of communicating with dementia residents and behavior management. After staff members have worked with dementia residents for a time, the training in dealing with families and promoting independence will have more meaning to the employee. Finally, it would prevent facilities from spending money and time on staff, which do not make it past the first ninety (90) days of employment, which is when facilities have their highest staff turnover rates.

RESPONSE: Thorough orientation is needed upon hiring to ensure employees have the necessary skills to provide care for residents with dementia. The suggested amount of time is not sufficient to learn the various complex issues involved in providing this care. No changes have been made to the rule as a result of this comment.

COMMENT: Mr. Schott commented section (28) requires clarification of, “negotiating a normal path to safety” to include going from one (1) smoke section to another within the facility, going to an area of refuge in the facility, or going out of the facility. The five (5)-minute time allotted is brief; however, with the requested clarifications it would be a good balance for resident safety and resident choice in where to make their home.

RESPONSE AND EXPLANATION OF CHANGE: The department agrees that the clarification is necessary to have a more clear understanding of the meaning of “evacuate the facility.” Therefore, the definition was added as subsection (1)(C), and section (28) has been revised to include the reference to the definition.

COMMENT Mr. Schott commented regarding section (53) that the local health department provides flu shots to our residents, why do facilities need to have a laborious paper requirement for our facilities. Please delete the proposed language and insert: “The facility may develop a policy for ensuring residents receiving influenza and pneumococcal immunizations” in section (53).

RESPONSE AND EXPLANATION OF CHANGE: The word “may” means the policy development is optional. This is not the

intent of the regulation. However, the department revised section (53) for clarity.

COMMENT: Stacy Tew-Lovasz, Area Director of Community Relations for Sunrise Communities commented that section (20) should be changed to two (2) hours of training on Alzheimer’s care within the first ninety (90) days of employment for direct care staff and one (1) hour for all non-direct care staff in section (20).

RESPONSE: The requirements for instructions regarding working with residents with dementia are already addressed in section (20). No changes have been made to the rule as a result of this comment.

COMMENT: Bruce Hillis, Vice President for RH Montgomery Properties, Inc. commented that subparagraph (11)(A)2.D. is overly burdensome and sets a requirement far above any reasonable standard. This provision should be eliminated from the proposed rules.

RESPONSE: The rule allows the facility the option of accompanying the repairperson in lieu of requiring a criminal background check in order to ensure the safety of residents. Thus, facilities are not required to delay repairs until background checks are completed. No changes have been made to the rule as a result of this comment. However, changes have been made as indicated in the “Explanation of Additional Changes” for this section.

COMMENT: Mary Taylor Beck, a resident’s family member commented regarding section (28) that the assisted living legislation did not include the five (5) minutes in the bill. This five (5)-minute rule is too restrictive. Instead of using this five (5)-minute rule to prematurely discharge assisted living residents and prematurely separating married couples, it could be revised to a reasonable amount of time based on the fire precautions available in the community. The department should require all assisted living facilities to make their steps half the size so that seniors can easily negotiate the stairs in case of an emergency.

RESPONSE: The department believes that allowing more than five (5) minutes does not provide needed safety in a fire emergency. No changes have been made to the rule as a result of this comment.

COMMENT: Carroll Rodriquez, Public Policy Director of the Missouri Coalition of Alzheimer’s Association Chapters commented that section (19) outlines a list of important topics to be covered during orientation training. The rules however only require a minimum of one (1) hour of training to cover the comprehensive list of topics. Additionally, no annual in-service training is required for this level of care.

The following changes should be made to the rule addressing, dementia training:

- Strike the required hour(s) for orientation training and replace with language that facilities shall have a written plan for providing orientation training to new employees that includes at a minimum, training on the topics outlined in this rule. Orientation training should be completed prior to the first of direct client contact.

- Add language that requires all annual in-service training that includes specific topics, including those outlined for orientation training. Consideration should be given to requiring ten (10) hours of annual in-service training, the same amount currently required in in-home service providers.

- Add to the list of training topics, understanding the common characteristics and conditions of the resident population served and understanding dementia.

RESPONSE: The department has added specific training requirements for dementia specific training. The department has recognized the need to require a specific number of orientation hours. This required number is a minimum requirement. Additionally, this training includes on-the-job training hours related to the special needs, care and safety of residents with dementia. No changes have been made to the rule as the result of this comment.

COMMENT: Ms. Rodriguez commented that subsection (20)8 of section 660.050, RSMo and assisted living facility legislation, (CCS HCS SCS SB 616, 93rd General Assembly, Second Regular Session (2006)), requires dementia specific training for employees involved in the care of persons with Alzheimer's disease or related dementias. The statute specifies that training be incorporated into both new employee orientation and annual in-service curricula. The proposed rule does not require annual in-service training.

The following changes should be made to the rule addressing, dementia training:

- Add language requiring annual in-service training. Consideration should be given to using the following language: Any facility that provides care to any resident having Alzheimer's disease or related dementias shall provide orientation and annual in-service training regarding the needs, care and safety of individuals with Alzheimer's disease and related dementias.

- Add language that requires all annual in-service and orientation training relating to the special needs, care and safety of residents with Alzheimer's disease and other dementias shall be conducted, presented or provided by an individual who is qualified by education, experience or knowledge in the care of individuals with Alzheimer's disease or other dementias.

RESPONSE AND EXPLANATION OF CHANGE: The department has added the statutory requirement for ongoing in-service training to subsection (20)(C).

EXPLANATION OF ADDITIONAL CHANGES: At the January 17, 2007 meeting, JCAR noted the department failed to respond to one (1) comment. In accordance with the committee's direction, the department has added this comment and responded accordingly. Jorgen Schlemeier commented that the responsibility for signing physician orders should be the physician's responsibility and not the facility's responsibility as stated in subsection (62)(C). In response to this comment and the comments at the JCAR meetings, the department has revised subsection (62)(C) to address this issue.

### 19 CSR 30-86.042 Administrative, Personnel and Resident Care Requirements for New and Existing Residential Care Facilities

(1) Definitions. For the purpose of this rule, the following definitions shall apply:

(A) Department—Department of Health and Senior Services;

(B) Outbreak—an occurrence in a community or region of an illness(es) similar in nature, clearly in excess of normal expectancy and derived from a common or a propagated source; and

(C) Evacuate the facility—moving to an area of refuge or from one (1) smoke section to another or exiting the facility.

(11) Prior to allowing any person who has been hired in a full-time, part-time or temporary position to have contact with any residents the facility shall, or in the case of temporary employees hired through or contracted for an employment agency, the employment agency shall prior to sending a temporary employee to a provider:

(D) For persons for whom the facility has contracted for professional services (e.g., plumbing or air conditioning repair) that will have contact with any resident, the facility must either require a criminal background check or ensure that the individual is sufficiently monitored by facility staff while in the facility to reasonably ensure the safety of all residents. I/II

(20) In addition to the orientation training required in section (19) of this rule any facility that provides care to any resident having Alzheimer's disease or related dementia shall provide orientation training regarding mentally confused residents such as those with Alzheimer's disease and related dementias as follows:

(A) For employees providing direct care to such persons, the orientation training shall include at least three (3) hours of training including at a minimum an overview of mentally confused residents

such as those having Alzheimer's disease and related dementias, communicating with persons with dementia, behavior management, promoting independence in activities of daily living, and understanding and dealing with family issues; II/III

(B) For other employees who do not provide direct care for, but may have daily contact with, such persons, the orientation training shall include at least one (1) hour of training including at a minimum an overview of mentally confused residents such as those having dementias as well as communicating with persons with dementia; and II/III

(C) For all employees involved in the care of persons with dementia, dementia-specific training shall be incorporated into ongoing in-service curricula. II/III

(28) All residents shall be physically and mentally capable of negotiating a normal path to safety unassisted or with the use of assistive devices within five (5) minutes of being alerted of the need to evacuate the facility as defined in subsection (1)(C) of this rule. I/II

(34) Requirements for facilities which admit or retain residents with mental illness or mental retardation diagnosis and residents with assaultive or disruptive behaviors:

(A) Each resident who exhibits mental and psychosocial adjustment difficulty(ies) shall receive treatment and services to address the resident's needs and behaviors as stated in the individual service plan; I/II

(B) If specialized rehabilitative services for mental illness or mental retardation are required to enable a resident to reach and to comply with the individualized service plan, the facility must ensure the required services are provided; and II

(41) In case of behaviors that present a reasonable likelihood of serious harm to himself or herself or others, serious illness, significant change in condition, injury or death, staff shall take appropriate action and shall promptly attempt to contact the individual listed in the resident's record as the legally authorized representative, designee or placement authority. The facility shall contact the attending physician or designee and notify the local coroner or medical examiner immediately upon the death of any resident of the facility prior to transferring the deceased resident to a funeral home. II/III

(53) Influenza and pneumococcal polysaccharide immunizations may be administered per physician-approved facility policy after assessment for contraindications.

(A) The facility shall develop a policy that provides recommendations and assessment parameters for the administration of such immunizations. The policy shall be approved by the facility medical director for facilities having a medical director, or by each resident's attending physician for facilities that do not have a medical director, and shall include the requirements to:

1. Provide education regarding the potential benefits and side effects of the immunization to each resident or the resident's designee or legally authorized representative; II/III

2. Offer the immunization to the resident or obtain permission from the resident's designee or legally authorized representative when it is medically indicated, unless the resident has already been immunized as recommended by the policy; II/III

3. Provide the opportunity to refuse the immunization; and II/III

4. Perform an assessment for contraindications. II/III

(B) The assessment for contraindications and documentation of the education and opportunity to refuse the immunization shall be dated and signed by the nurse performing the assessment and placed in the medical record. II/III

(C) The facility shall with the approval of each resident's physician, access screening and immunization through outside sources, such as county or city health departments, and the facility shall document in the medical record that the requirements in subsection (53)(B) were performed by outside sources. II/III

(54) Stock supplies of nonprescription medication may be kept when specific medications are approved in writing by a consulting physician, a registered nurse or a pharmacist. No stock supply of prescription medication may be kept in the facility. II/III

(57) At least every three (3) months in a residential care facility, a pharmacist or registered nurse shall review the controlled substance record keeping including reconciling the inventories of controlled substances. This shall be done at the time of the drug regimen review of each resident. All discrepancies in controlled substance records shall be reported to the administrator or manager for review and investigation. The theft or loss of controlled substances shall be reported as follows: II/III

(B) If an insignificant amount of such controlled substance is lost during lawful activities, which includes but are not limited to receiving, record keeping, access auditing, administration, destruction and returning to the pharmacy, a description of the occurrence shall be documented in writing and maintained with the facility's controlled substance records. The documentation shall include the reason for determining that the loss was insignificant. II/III

(60) Medications that are not in current use shall be disposed of as follows:

(C) Medications may be released to the resident or family upon discharge according to section (49) of this rule;

(62) The facility shall maintain a record in the facility for each resident, which shall include the following:

(B) A review monthly or more frequently, if indicated, of the resident's general condition and needs; a monthly review of medication consumption of any resident controlling his or her own medication, noting if prescription medications are being used in appropriate quantities; a daily record of administration of medication; a logging of the medication regimen review process; a monthly weight; a record of each referral of a resident for services from an outside service; and a record of any resident incidents including behaviors that present a reasonable likelihood of serious harm to himself or herself or others and accidents that potentially could result in injury or did result in injuries involving the resident; and III

(C) Any Physician's Orders. Except as allowed by section (52) of this rule, the facility shall submit to the physician written versions of any oral or telephone orders within four (4) days of the giving of the oral or telephone order. III

*REVISED PRIVATE COST: The total yearly cost in the aggregate to facilities formerly licensed as Residential Care Facility I is five hundred sixty-nine thousand five hundred seventy dollars (\$569,570) rather than four hundred forty-one thousand sixty-two dollars (\$441,062). The total yearly cost in the aggregate is twenty-two thousand fifty-two dollars (\$22,052) rather than seventeen thousand seventy-seven dollars (\$17,077) for the ten (10) known facilities plus an indeterminate amount for the unknown residential care facilities, which we submitted with the original estimate.*

**FISCAL NOTE  
PRIVATE COST**

**I. RULE NUMBER**

Rule Number and Name:	19 CSR 30-86.042 Administrative, Personnel and Resident Care Requirements for New and Existing Residential Care Facilities
Type of Rulemaking:	Order of Rulemaking Amendment

**II. SUMMARY OF FISCAL IMPACT**

Estimate of the number of entities by class which would likely be affected by the adoption of the proposed amendment:	Classification by types of the business entities which would likely be affected:	Estimate in the aggregate as to the cost of compliance with the amendment by the affected entities:
266	Facilities formerly Licensed as Residential Care Facility I	Total Yearly Cost in the Aggregate \$569, 570** One-Time Cost in the Aggregate - \$7,979
Ten (10) facilities that have received Residential Care Facility I CON approval plus an unknown number of new Residential Care Facilities that will be constructed	Newly Constructed Residential Care Facilities	Total Yearly Cost in the Aggregate \$22.052 for the 10 known plus an indeterminate amount for the unknown One-Time Cost in the Aggregate \$618 for the 10 known plus an indeterminate amount for the unknown

**III and IV. WORKSHEET AND ASSUMPTIONS**

**Staff Training** – This proposed amendment requires residential care facilities that provide care to residents with Alzheimer’s disease or related dementia to provide three (3) hours of dementia specific training to staff providing the care. According to the Alzheimer’s Association, the cost for such a class is approximately \$225. Because of staff turnover, DHSS estimates each residential care facility will need three training sessions per year. DHSS estimates the total yearly training session cost for residential care facilities in the aggregate to be \$179,550 (\$225 cost per training x three (3) training sessions per year) x (266 facilities) with five employees attending the training. In addition to the training costs, DHSS estimates five employees will attend each three-hour training session. Facilities must compensate these employees while in training. According to a study of level I medication aide salaries conducted in 2000 by Keller & Company, LLC, the average salary of a level I medication aide was \$14,289. To reach a current salary of \$15,718, this number was increased by 10% to account for increases in salary the past six years (\$14,289 x 1.1 =

\$15,718). DHSS has added an additional amount for fringe benefits which is based on current fringe benefit rates for state employees.\* DHSS estimates the total yearly staff cost for residential care facilities in the aggregate to be \$128,508 ( $\$15,718 \times .4207$  fringe rate) +  $(\$15,718) / (2080 \text{ work hours per year}) \times (\text{three (3) hours}) \times (15 \text{ staff}) \times (266 \text{ facilities})$ . DHSS estimates the total yearly cost for the training sessions and staff wages while attending the training sessions in the aggregate to be \$308,058 ( $\$179,550 + \$128,508$ ).

Information obtained from the Certificate of Need Program reveals 10 facilities that have CON approval for residential care facility I. In determining the cost in the aggregate for these 10 facilities, DHSS utilized its estimate of the yearly cost of compliance for each existing facility which is \$2,141 ( $\$569,570$  total yearly cost in the aggregate for existing facilities / 266 number of facilities choosing to comply with this proposed rule) plus three percent adjustment for inflation. DHSS estimates the actual costs for the 10 facilities with current CON approval for residential care facility I to be \$22,052 ( $\$2,141$  cost to each facility)  $\times (10 \text{ number of facilities with current CON approval for Residential Care Facility}) \times (.03 \text{ inflation adjustment}) + (\$21,410)$ .

**Examination of Medications** – If a resident brings medications to the facility, the medications shall not be used unless a pharmacist, physician or nurse examines, identifies and determines the contents to be suitable for use. DHSS estimates each facility will require the services of a nurse, pharmacist or physician one hour per week. Based on the Office of Administration, Division of Personnel, Uniform Classification and Pay System (Revised October 1, 2005) average annual market salary for a licenses practical nurse I (\$24,984). DHSS estimates the actual true new yearly cost for residential care facilities in the aggregate to be \$236,021 ( $\$24,982 \times .4207$  fringe rate\* +  $\$24,982 / 2080$  hours in a work year  $\times 1$  hour  $\times 52$  weeks per year  $\times 266$  facilities).

**Locked Compartment for Controlled Substances** – Residential care facilities must store schedule II controlled substances in a locked compartment separate from other medications. Based on inspections conducted by DHSS, DHSS estimates fifty percent of facilities already meet this proposed requirement, therefore approximately 133 facilities would incur a cost. According to MMF Industries, a lock-down security box costs \$59.99. DHSS estimates the actual true new yearly cost for residential care facilities in the aggregate to be - \$7,979 ( $\$59.99$  cost for locked compartment  $\times 133$  facilities).

\*The state of Missouri fringe benefit rate for fiscal year 2007 is 42.07 percent which includes retirement contribution, medical insurance, basic life insurance, long-term disability and Missouri deferred compensation. This rate was used throughout the fiscal note. Facilities can use this formula revised with their own figures to determine the cost to their facility.

\*\* On July 20, 2006, DHSS asked the associations representing long term care facilities to provide information regarding this fiscal note. On August 7, 2006, in a meeting discussing the proposed assisted living facility rules, DHSS again asked the long term care industry for information regarding this fiscal note. As of the date DHSS prepared the fiscal note, the long-term care industry provided no information.

**Title 19—DEPARTMENT OF HEALTH AND SENIOR SERVICES**  
**Division 30—Division of Regulation and Licensure**  
**Chapter 86—Residential Care Facilities and Assisted Living Facilities**

**ORDER OF RULEMAKING**

By the authority vested in the Department of Health and Senior Services under sections 198.005, 198.006 and 198.073, Supp. 2006 and 198.076, RSMo 2000, the department adopts a rule as follows:

19 CSR 30-86.043 is adopted.

A notice of proposed rulemaking containing the text of the proposed rule was published in the *Missouri Register* on October 2, 2006 (31 MoReg 1526-1535). Those sections with changes are reprinted here. This proposed rule becomes effective thirty (30) days after publication in the *Code of State Regulations*.

**SUMMARY OF COMMENTS:** The department received four (4) comments on the proposed rule.

**COMMENT:** Carroll Rodriquez, Public Policy Director of the Missouri Coalition of Alzheimer's Association Chapters, commented that subsection 8 of section 660.050, RSMo and assisted living facility legislation, (CCS HCS SCS SB 616, 93rd General Assembly, Second Regular Session (2006)), requires dementia specific training for employees involved in the care of persons with Alzheimer's disease or related dementias. The statute specifies that training be incorporated into both new employee orientation and annual in-service curricula. The proposed rule does not require annual in-service training.

The Coalition recommended that the following changes be made to the rule addressing, dementia training:

- Add dementia training language for facilities complying with residential care facility II standards. The language should be the same as that found in either 19 CSR 30-86.042(20) or 19 CSR 30-86.047 (64).
- Add language requiring annual in-service training. Consideration should be given to using the following language: Any facility that provides care to any resident having Alzheimer's disease or related dementias shall provide orientation and annual in-service training regarding the needs, care and safety of individuals with Alzheimer's disease and related dementias.
- Add language that requires all annual in-service and orientation training relating to the special needs, care and safety of residents with Alzheimer's disease and other dementias shall be conducted, presented or provided by an individual who is qualified by education, experience or knowledge in the care of individuals with Alzheimer's disease or other dementias.

**RESPONSE:** This rule contains the administrative, personnel and resident care requirements that were in effect as of August 27, 2006 for facilities licensed as a residential care facility II. Section 198.073.3, RSMo (CCS HCS SCS SB 616, 93rd General Assembly, Second Regular Session (2006)) prohibits the department from amending the administrative, personnel and resident care requirements for facilities licensed as a residential care facility II on August 27, 2006. However, these facilities must also comply with the statutory requirements for dementia-specific training requirements of section 660.050.8, RSMo. No changes have been made to the rule as the result of this comment.

**COMMENT:** Carroll Rodriquez, Public Policy Director of the Missouri Coalition of Alzheimer's Association Chapters commented that the clear intent of assisted living facility (ALF) legislation is to do away with classifying facilities as residential care facility I and II,

as called for in the revised section 198.006(22) (CCS HCS SCS SB 616, 93rd General Assembly, Second Regular Session (2006)) and the deletion of old section 198.006(17), RSMo. However, some interim provisions remain.

Revised section 198.006(22) (CCS HCS SCS SB 616, 93rd General Assembly, Second Regular Session (2006)) provides that a care facility, which was licensed as an RCF II immediately prior to the effective date of sections 198.073 and 198.076, RSMo 2000 and that continues to meet such requirements shall continue to receive supplemental welfare assistance payments under section 208.030, RSMo but it shall be considered an RCF II facility only for such purposes. Additionally, revised section 198.073.3 (CCS HCS SCS SB 616, 93rd General Assembly, Second Regular Session (2006)) provides that licensed RCF II facilities, which continue to meet those licensing standards shall nonetheless be licensed as an assisted living facility until such time as the average total Medicaid reimbursement for an ALF equals or exceeds forty-one dollars (\$41) per day. At such time, the RCF II facilities must meet the new ALF standards.

According to information provided by the department, the Medicaid reimbursement rates now exceed forty-one dollars (\$41) per day. Therefore, the Coalition believes the correct interpretation in order to reconcile those provisions would be that the former RCF II facilities must now meet the ALF requirements, but that the former RCF II facilities now meeting ALF requirements would qualify to receive section 208.030, RSMo welfare assistance payments previously given to RCF II facilities.

Although it is the Coalition's belief that former RCF II facilities must now meet ALF requirements, the Coalition also recognizes that these facilities need a realistic time frame in which to comply with the new requirements.

The Coalition recommends the following:

- 19 CSR 30-86.043(1): At the end of the sentence add: The standards published at 19 CSR 30-86.047 shall apply to facilities licensed under this section at such time as the department shall determine that the daily average total reimbursement for the care of persons mentioned in section 198.073.3 (CCS HCS SCS SB 616, 93rd General Assembly, Second Regular Session (2006)), RSMo meets or exceeds the amount mentioned in such section.
- Add language that would allow RCF II facilities a grace period, to be determined by the department, that would enable a realistic time frame in which to comply with the standards outlined in 19 CSR 30-86.047.

**RESPONSE:** This rule contains the administrative, personnel and resident care requirements that were in effect as of August 27, 2006 for facilities licensed as a residential care facility II. It does not apply to facilities licensed as assisted living facilities. Assisted living facility legislation, section 198.073.3, RSMo (CCS HCS SCS SB 616, 93rd General Assembly, Second Regular Session (2006)) prohibits the department from amending the administrative, personnel and resident care requirements for facilities licensed as a residential care facility II on August 27, 2006. No changes have been made to the rule as the result of this comment.

**COMMENT:** A department staff member commented that subsection (24)(D) of this proposed rule incorrectly referred to "assisted living facility."

**RESPONSE AND EXPLANATION OF CHANGE:** Subsection (24)(D) will be changed to refer to "facility" rather than "assisted living facility."

**COMMENT:** A department staff member commented that subsection (50)(C) incorrectly stated in part "Verbal and telephone orders shall be taken only to a licensed nurse" rather than "by a licensed nurse."

**RESPONSE AND EXPLANATION OF CHANGE:** Subsection (50)(C) will be changed to state that verbal and telephone orders shall be taken only by a licensed nurse.



**19 CSR 30-86.043 Administrative, Personnel and Resident Care Requirements for Facilities Licensed as a Residential Care Facility II on August 27, 2006 that Will Comply with Residential Care Facility II Standards**

(24) Staffing.

(D) If the facility is operated in conjunction with and is immediately adjacent to and contiguous to another licensed long-term care facility and if the resident bedrooms of the facility are on the same floor as at least a portion of a licensed intermediate care or skilled nursing facility; there is an approved call system in each resident's bedroom and bathroom or a patient-controlled call system; and there is a complete fire alarm system in the facility tied into the complete fire alarm system in the other licensed facility, then the following minimum staffing for oversight and care of residents, for upkeep of the facility and for fire safety shall be one (1) staff person for every eighteen (18) residents or major fraction of residents during the day shift, one (1) person for every twenty-five (25) residents or major fraction of residents during the evening shift and one (1) person for every thirty (30) residents or major fraction of residents during the night shift. I/II

Time	Personnel	Residents
7 a.m. to 3 p.m. (Day)*	1	3-18
3 p.m. to 9 p.m. (Evening)*	1	3-25
9 p.m. to 7 a.m. (Night)*	1	3-30

\*If the shift hours vary from those indicated, the hours of the shifts shall show on the work schedules of the facility and shall not be less than six (6) hours. III

(50) Medication Orders.

(C) Verbal and telephone orders shall be taken only by a licensed nurse, medication technician, level I medication aide or pharmacist and shall be immediately reduced to writing and signed by that individual. If a telephone order is given to a medication technician or level I medication aide, an initial dosage of a new prescription shall not be initiated until the order has been reviewed by telephone or in person by a licensed nurse or pharmacist. II

**Title 19—DEPARTMENT OF HEALTH AND SENIOR SERVICES  
Division 30—Division of Regulation and Licensure  
Chapter 86—Residential Care Facilities and Assisted Living Facilities**

**ORDER OF RULEMAKING**

By the authority vested in the Department of Health and Senior Services and under sections 198.005 and 198.073, RSMo Supp. 2006, and 198.076, RSMo 2000, the department amends a rule as follows:

19 CSR 30-86.045 is amended.

A notice of proposed rulemaking containing the text of the proposed amendment was published in the *Missouri Register* on October 2, 2006 (31 MoReg 1536-1540). Those sections with changes are reprinted here. This proposed amendment becomes effective thirty (30) days after publication in the *Code of State Regulations*.

SUMMARY OF COMMENTS: The department received eighty-six (86) comments on the proposed amendment.

EXPLANATION OF ADDITIONAL CHANGES: Based on comments made at the January 17, 2007 and January 24, 2007, meetings of Joint Committee on Administrative Rules (JCAR) regarding the proposed amendment filed with the JCAR on December 29, 2006, the department is changing the employee instruction requirements in paragraph 3(A)8.

EXPLANATION OF ADDITIONAL CHANGES: Based on comments made at the January 17, 2007 and January 24, 2007, meetings of Joint Committee on Administrative Rules (JCAR) regarding the proposed amendment filed with the JCAR on December 29, 2006, the department is changing the staffing requirements in section (5).

COMMENT: A department staff member commented that paragraph (2)(D)5. requires clarification. Currently, paragraph (2)(D)5. reads, "The following actions required of staff are not considered to be minimal assistance." For clarity, this sentence should read, "The following actions of staff are considered to be more than minimal assistance."

RESPONSE AND EXPLANATION OF CHANGE: The department agrees that this recommended change more accurately describes the intent of this definition and has amended paragraph 19 CSR 30-86.045(2)(D)5. as recommended for clarity.

COMMENT: Denise Clemonds, CEO for Missouri Association of Homes for the Aging (MoAHA) and the following associates of MoAHA:

- Marshal Cope, Executive Director for New Florence Care Center;
  - Elliot Planells of St. Andrews Management Services;
  - Susan McClenahan, Executive Director for The Sarah Community;
  - Joan Devine, Clinical Services Director for St. Andrews Management Services;
  - Christopher Wiltse, Anna House Administrator;
  - Julie Klein, Mispah Manor Administrator;
  - Charlotte Lehmann, Executive Director for Cape Albeon;
  - Tyler Troutman, Executive Director for Brooking Park; and
  - Charlie Schott, Executive Director for Good Shepherd Care Center
- District commented that subsection (2)(D) should recognize family members that regularly stay overnight to assist in evacuations and should not consider the family member's assistance as an intervention. Secondly, having a staff member open a door should not be considered more than minimal assistance.

RESPONSE: Subsection (2)(D) of this rule defines what minimal assistance is and does not make a distinction based on who provides the interventions. Facilities must have twenty-four (24) hour staff appropriate in skills and have an adequate number of personnel for the proper care of residents and protective oversight of residents. See 19 CSR 30-86.047(29) and (62). Family members cannot be used to exempt facilities from these requirements. No changes have been made to this rule as the result of these comments.

COMMENT: Denise Clemonds and listed associates of MoAHA commented that the language in subparagraph (3)(A)6.A. regarding the staff emergency responsibilities appears to require the individual names of staff rather than staff positions. This needs to be clarified to the position not the individual. Paragraph (3)(A)8. needs to be changed to clarify that this requirement applies only to those employees with specific responsibilities.

RESPONSE AND EXPLANATION OF CHANGE: The department agrees that the requirements for subparagraph (3)(A)6.A. need to be clarified to refer to the responsibilities of specific staff positions in an emergency specific to the individual. Additionally, paragraph (3)(A)8. requires clarification in order to show that those employees with specific responsibilities shall be instructed and informed regarding their duties and responsibilities under the resident's evacuation plan at least every two (2) months and upon any significant change in the plan. Subparagraph (3)(A)6.A. and paragraph (3)(A)8. have been revised accordingly.

COMMENT: Denise Clemonds and listed associates of MoAHA commented additionally, that reinstruction needs to occur only semi-annually or when there is a change in evacuation duties and responsibilities.

RESPONSE: The reinstruction must occur at least every two (2) months due to staff turnover and upon any significant change in the plan. No changes have been to the rule as a result of this comment.

COMMENT: Stacy Tew-Lovasz, Area Director of Community Relations for Sunrise Communities commented that subparagraph (3)(A)6.C. is an incredible expansion of the intent and regulating at too great of detail. While there should be an assessment and a plan created to meet the evacuation needs of the residents, too much detail is being dictated that may or may not be applicable. Recommend eliminating the entire section and adding: Need a clear and executable plan based on the residents' needs.

RESPONSE: In order to ensure appropriate individual evacuation of residents, the plan must evaluate the resident for his or her location within the facility and the proximity to exits and areas of refuge. Additionally, the plan must evaluate the resident, as applicable, for his or her risk of resistance, mobility, the need for additional staff support, consciousness, response to instructions, response to alarms, and fire drills. No changes have been made to the rule as a result of this comment.

COMMENT: Ms. Tew-Lovasz commented that paragraph (3)(A)8. dictating that the plan is retrained every two (2) months is incredibly onerous and will become purely a paper trail.

RESPONSE: The reinstruction must occur at least every two (2) months due to staff turnover and upon any significant change in the plan. No changes have been made to the rule as a result of this comment.

COMMENT: Ms. Tew-Lovasz commented that paragraph (3)(A)8. should require the outcome desired and not every step in the process. The facility should be able to demonstrate that staff have been trained on the evacuation plan. Change to the following: All employees shall be instructed and informed regarding their duties and responsibilities under the residents' evacuation plan.

RESPONSE AND EXPLANATION OF CHANGE: The department has clarified paragraph (3)(A)8.

COMMENT: Norma J. Collins, Associate State Director—Advocacy, AARP Missouri, commented that the proposed amendment establishes additional standards for evacuation plans for residents who need more than minimal assistance to evacuate the building. To ensure residents' safety, the rules could be strengthened by adding as recommended in AARP policy, that evacuation plans must include procedures for transporting medical records, emergency medicines and other supplies; and providing needed care outside of the facility.

RESPONSE: General requirements for evacuation plans are addressed at 19 CSR 30-86.047(29)(C), which requires assisted living facilities to have "a written plan for the protection of all residents in the event of a disaster. . . ." The department would expect such written plans to include the procedures listed by the commenter. No changes have been made to the rule as a result of this comment.

COMMENT: AARP Missouri recommended that emergency plans be on file with the state.

RESPONSE: The department does not have statutory authority to make this change. No change has been made to the rule as a result of this comment.

COMMENT: AARP Missouri recommended that emergency plans should be given to family members upon admission to the facility as well as annually following state approval.

RESPONSE: Emergency plans are required to be posted. No

change has been made to the rule as a result of this comment.

COMMENT: AARP Missouri recommended language that facility administrators be held accountable for properly following these plans and any emergency orders issued by the federal or state government or local authorities.

RESPONSE: The department does not agree this change is necessary. This is covered under the duties of the administrator in 19 CSR 30-86.047. No change has been made to the rule as a result of this comment.

COMMENT: Jorgen Schlemeirer, on behalf of Missouri Assisted Living Association (MALA) and the following associates of MALA; Connie McClain, Marketing/Mgmt for Lone Pine Residential Care, Ironton Residential Care, Maple Ridge Residential Care, South Haven Residential Care and Dent County Residential Care; Shelly Long, Office Secretary, Lone Pine Residential Care; Patty Anderson, Office Manger of Lone Pine Residential Care; Bridgett Madden, Assistant Manager of Lone Pine Residential Care; Sheri Pratt, Manager of Lone Pine Residential Care; Jill Moise, Manager of Ironton Residential Care; Dawn Gainer, Manager of Maple Ridge Residential Care; Lisa Hedrick, Manager of Dent County Residential Care; Wilma Davis, Administrator of South Haven Residential Care; Dave Thomas, President, Thomas Marketing, Inc.;

Pam Thomas, R.N., Administrator, Thomas Management, Inc.; Sharron K. Davis-Buckner, Administrator of Loving Care Home; Cynthia Skidmore, Administrator of Autumn Place Residential Care of Joplin; Karen Price, Owner of Dove Senior Citizen Home; Phillip O. Farley, Owner of Sunnyhills Residential Care Facility; Tom Walker, Administrator of Superior Park; Frank Mosby, Administrator of Sabbath Manor; Jill Hieronymus, Owner of Royal Oaks Residence; Roswitha Long, Administrator of Countryside Care Center; Peggy Keith, Administrator of Parkwood Meadows Assisted Living; Tammy Smith, Director of Gasconade Terrace Retirement Center; Ralene E. Davis, Owner/Manager of Guardian Angel RCF; Bob Adams, Administrator of Walnut Street Residential Care and of The Colonial Home; Joanna Mooney, Administrator of Cedars of Liberty and representing Lucy Webb, Owner; Tricia Mosbacher, Regional Director of Operations Americare; Linda Atchley, Operator of Colonial Manor LLC; Teresa Compton, Administrator of Maple Crest Manor, Frederick Street Manors I & II; Ronald Conway, Administrator of Colonial Retirement Center, Inc.; Michael Long, Owner of Cedar Ridge Care Center; Donna Quimby-Edwards, Owner of Century Pines Assisted Living; JoAne Pate; Director of Nursing for Arana Manor and Silver Spur; Bruce Harris, Administrator/Owner/Operator of Harris Care Centers; Lisa Harris, President/Owner/Operator of Harris Care Centers; Jeanette McCamis, Administrator/Owner of Wood Oaks, Inc. and Autumn Woods, Inc.; Eric F. Fink, Administrator of Whispering Oaks Health Care Center, Inc.; Jean Summers, Vice President of Operations for Americare; Darren L. Redd, Vice President of Blue Castle of the Ozarks, Inc.; Gary Boggs and Cecile Boggs, Owner of Lakeshores Residential Care Facility; Sandra Rutherford, Administrator of Lakeshores Residential Care Facility; Bruce Hillis, Vice President of RH Montgomery Properties, Inc.; and Ali Chaudhry, Owner/Operator of Sabbath Manor, Country and Fontainbleu; Michelle Redd, Administrator of Blue Castle of the Ozarks, Inc.; Virginia Mincks, LPN, Blue Castle of the Ozarks, Inc.;

Lanora Porterfield, Director of Nursing at Bolivar Manor House; Michele Vinson, Administrator of Bolivar Manor House commented that they do not agree with paragraph (4)(A)2. MALA and listed associates believe the statute limits the two (2) smoke section partitioning only to multi-level facilities and not the first floor of a facility section 198.073.6(3).

RESPONSE: The department does not agree that assisted living facility legislation limits the two (2) smoke section partitioning only to multi-level facilities and not to the first floor of a facility. Section 198.073.6(3), RSMo (CCS HCS SCS SB 616, 93rd General Assembly, Second Regular Session (2006)) requires an automated fire door system for all facilities. An automated fire door system requires smoke sections. No changes have been made to the rule as a result of this comment.

COMMENT: Barbara L. Miltenberger of Husch & Eppenberger, LLC., on behalf of Missouri Health Care Association (MHCA), commented that section (1) of this proposed rule states this regulation contains the additional standards for assisted living facilities that choose to admit or retain any individual having a physical, cognitive, or other impairment that prevents the individual from safely evacuating the facility. The regulation omits specific requirements for training and staffing needed to care for cognitively impaired residents. None of the proposed regulations address the care needs of those who cannot exit with minimal assistance. Those issues should be addressed to protect assisted living facility residents. The additional training needs for assisted living facilities with residents requiring more than minimal assistance should be included in this regulation rather than in 19 CSR 30-86.047.

RESPONSE: The department does not agree that the additional training needs for assisted living facilities with residents requiring more than minimal assistance should be included in this regulation rather than in 19 CSR 30-86.047. The requirements in this rule are in addition to those in 19 CSR 30-86.047. The training requirements have been placed in 19 CSR 30-86.047, since those assisted living facilities may have residents having some cognitive impairment and/or needing some assistance with transfers. Additionally, 19 CSR 30-86.047(29)(B) requires the facility to have twenty-four (24) hour staff appropriate in numbers and with appropriate skills to provide such services. No changes have been made to the rule as the result of this comment.

COMMENT: MHCA commented that paragraph (2)(D)5. should also include "assistance to transfer from a bed or chair."

RESPONSE: The department does not have statutory authority to include "assistance to transfer from a bed or chair" as more than minimal assistance. Assisted living facility legislation, section 198.073.4(8)(e), RSMo (CCS HCS SCS SB 616, 93rd General Assembly, Second Regular Session (2006)) prohibits such. No changes have been made to the rule as a result of this comment.

COMMENT: MHCA commented that paragraph (3)(A)4. leaves it to the assisted living facility to determine what appropriate staffing is and that places residents at risk. The current law that is being deleted addressed the heightened need for staffing to assist cognitively impaired residents from the building. The department has offered no valid explanation why this was removed decreasing the safety requirements of the cognitively impaired. The rule fails to address minimal staffing requirements for hospice residents who are bed-bound and require skilled services. (Note: only residents receiving hospice care can receive skilled nursing care in an assisted living facility, yet there is no limit to how many hospice residents an assisted living facility can retain). The department must provide at least minimal direction to staffing requirements.

RESPONSE: Assisted living facility legislation, (CCS HCS SCS SB 616, 93rd General Assembly, Second Regular Session (2006)), specifically removed from law the requirement to count an impaired resident as three (3) when determining staffing. The department

does not have statutory authority to require such staffing. The department has provided for minimum staffing requirements in 19 CSR 30-86.047(62), which requires the facility to have an adequate number and type of personnel for the proper care of residents, the residents' social well being, protective oversight of residents and upkeep of the facility. Additionally, 19 CSR 30-86.047(29)(B) requires, "Has 24 hour staff appropriate in numbers and with appropriate skills to provide such services." No changes have been made to the rule as a result of this comment.

COMMENT: Norma J. Collins, Associate State Director—Advocacy, AARP Missouri, commented that the proposed amendment to 19 CSR 30-86.022(15)(D) that was more appropriately directed to the proposed amendment to 19 CSR 30-86.045(3)(A)3. AARP Missouri commented that: the proposed regulations require that "Every facility shall use a personal electronic monitoring device for any resident whose physician recommends the use of such device." At the end of this sentence should be added, "and the resident agrees." Residents should have the right to accept or refuse services and supportive equipment, including electronic monitoring devices.

RESPONSE: The department does not agree that the language concerning personal monitoring devices should include "and the resident agrees" because resident choice is addressed in 19 CSR 30-88.010(13). 19 CSR 30-86.045(3)(A)3. is written in accordance with assisted living legislation, section 198.073.6(5) (CCS HCS SCS SB 616, 93rd General Assembly, Second Regular Session (2006)). Specific resident rights are set forth in 19 CSR 30-88.010(13). This regulation includes requirements that all Missouri long-term care facilities ensure each resident has the right to accept or refuse treatment. The department considers electronic monitoring recommended by a physician to be a treatment that a resident or his/her legal representative could choose not to accept. No changes have been made to the rule as a result of this comment.

**19 CSR 30-86.045 Standards and Requirements for Assisted Living Facilities Which Provide Services to Residents with a Physical, Cognitive, or Other Impairment that Prevents the Individual from Safely Evacuating the Facility with Minimal Assistance**

(2) Definitions. For the purposes of this rule, the following definitions shall apply:

(D) Minimal assistance—

1. Is the criterion which determines whether or not staff must develop and include an individualized evacuation plan as part of the resident's service plan;

2. Minimal assistance may be the verbal intervention that staff must provide for a resident to initiate evacuating the facility;

3. Minimal assistance may be the physical intervention that staff must provide, such as turning a resident in the correct direction, for a resident to initiate evacuating the facility;

4. A resident needing minimal assistance is one who is able to prepare to leave and then evacuate the facility within five (5) minutes of being alerted of the need to evacuate and requires no more than one (1) physical intervention and no more than three (3) verbal interventions of staff to complete evacuation from the facility;

5. The following actions required of staff are considered to be more than minimal assistance:

A. Assistance to traverse down stairways;

B. Assistance to open a door; and

C. Assistance to propel a wheelchair;

(3) General Requirements. I/II

(A) If the facility admits or retains any individual needing more than minimal assistance due to having a physical, cognitive or other impairment that prevents the individual from safely evacuating the facility, the facility shall:

1. Meet the fire safety requirements of 19 CSR 30-86.022 (16); I/II
2. Take necessary measures to provide residents with the opportunity to explore the facility and, if appropriate, its grounds; II
3. Use a personal electronic monitoring device for any resident whose physician recommends the use of such device; II
4. Have sufficient staff present and awake twenty-four (24) hours a day to assist in the evacuation of all residents; I/II
5. Include an individualized evacuation plan in the resident's individual service plan; II
6. At a minimum the evacuation plan shall include the following components:
  - A. The responsibilities of specific staff positions in an emergency specific to the individual; II
  - B. The fire protection interventions needed to ensure the safety of the resident; and II
  - C. The plan shall evaluate the resident for his or her location within the facility and the proximity to exits and areas of refuge. The plan shall evaluate the resident, as applicable, for his or her risk of resistance, mobility, the need for additional staff support, consciousness, response to instructions, response to alarms, and fire drills; II
7. The resident's evacuation plan shall be amended or revised based on the ongoing assessment of the needs of the resident; II
8. Those employees with specific responsibilities shall be instructed and informed regarding their duties and responsibilities under the resident's evacuation plan at least every six (6) months and upon any significant change in the plan; II
9. A copy of the resident's evacuation plan shall be readily available to all staff; and II
10. Comply with all requirements of this rule. I/II

(5) Staffing Requirements.

(A) The facility shall have an adequate number and type of personnel for the proper care of residents and upkeep of the facility. At a minimum, the staffing pattern for fire safety and care of residents shall be one (1) staff person for every fifteen (15) residents or major fraction of fifteen (15) during the day shift, one (1) person for every fifteen (15) residents or major fraction of fifteen (15) during the evening shift and one (1) person for every twenty (20) residents or major fraction of twenty (20) during the night shift. I/II

Time	Personnel	Residents
7 a.m. to 3 p.m. (Day)*	1	3-15
3 p.m. to 9 p.m. (Evening)*	1	3-15
9 p.m. to 7 a.m. (Night)*	1	3-20

\*If the shift hours vary from those indicated, the hours of the shifts shall show on the work schedules of the facility and shall not be less than six (6) hours. III

(B) The required staff shall be in the facility awake, dressed and prepared to assist residents in case of emergency. I/II

(C) The administrator shall count toward staffing when physically present at the facility. II

(D) These staffing requirements are applicable only when the facility actually has in residence one (1) or more residents who require more than minimal assistance in evacuating the facility. II

(E) At a minimum there shall be a licensed nurse employed by the facility to work at least the following hours per week:

- 3-30 Residents—8 hours
- 31-60 Residents—16 hours
- 61-90 Residents—24 hours
- 91 or more Residents—40 hours. II

(F) The licensed nurse shall be available to assess residents for pain and significant and acute changes in condition. The nurse's duties shall include, but shall not be limited to, review of residents'

records, medications and special diets or other orders, review of each resident's adjustment to the facility and observation of each individual resident's general physical, psychosocial and mental status. The nurse shall inform the administrator of any problems noted and these shall be brought to the attention of the resident's physician and legally authorized representative or designee. II/III

**Title 19—DEPARTMENT OF HEALTH AND SENIOR SERVICES**  
**Division 30—Division of Regulation and Licensure**  
**Chapter 86—Residential Care Facilities and Assisted Living Facilities**

**ORDER OF RULEMAKING**

By the authority vested in the Department of Health and Senior Services under sections 198.005, 198.006, 198.073, 198.076, RSMo Supp. 2006, the department adopts a rule as follows:

19 CSR 30-86.047 is adopted.

A notice of proposed rulemaking containing the text of the proposed rule was published in the *Missouri Register* on October 2, 2006 (31 MoReg 1540-1558). Those sections with changes are reprinted here. This proposed rule becomes effective thirty (30) days after publication in the *Code of State Regulations*.

**SUMMARY OF COMMENTS:** The department received one thousand three hundred forty-seven (1,347) comments on the proposed rule.

**EXPLANATION OF ADDITIONAL CHANGES:** Based on comments made at the January 17 and 24, 2007, meetings of the Joint Committee on Administrative Rules (JCAR) regarding the proposed rule filed with JCAR on December 29, 2006, the department is changing subsection (4)(H) to define an individualized service plan (ISP).

**EXPLANATION OF ADDITIONAL CHANGES:** Based on comments made at the January 17 and 24, 2007, meetings of the Joint Committee on Administrative Rules (JCAR) regarding the proposed rule filed with JCAR on December 29, 2006, the department is changing section (6) to modify the operator and administrator's duties.

**EXPLANATION OF ADDITIONAL CHANGES:** Based on comments made at the January 17 and 24, 2007, meetings of the Joint Committee on Administrative Rules (JCAR) regarding the proposed rule filed with JCAR on December 29, 2006, the department is deleting section (7) and renumbering following sections.

**EXPLANATION OF ADDITIONAL CHANGES:** Based on comments made at the January 17 and 24, 2007, meetings of the Joint Committee on Administrative Rules (JCAR) regarding the proposed rule filed with JCAR on December 29, 2006, the department is renumbering section (29) as (28) and changing subsection (G) regarding development of individualized service plans.

**EXPLANATION OF ADDITIONAL CHANGES:** Based on comments made at the January 17 and 24, 2007, meetings of the Joint Committee on Administrative Rules (JCAR) regarding the proposed rule filed with JCAR on December 29, 2006, the department is renumbering and changing section (33) regarding the requirements for facilities providing care to residents with a mental illness or mental retardation diagnosis.

**EXPLANATION OF ADDITIONAL CHANGES:** Based on comments made at the January 17 and 24, 2007, meetings of the Joint Committee on Administrative Rules (JCAR) regarding the proposed rule filed with JCAR on December 29, 2006, the department is renumbering and changing section (37) to require that resident care must be provided as directed by the individualized service plan.

**EXPLANATION OF ADDITIONAL CHANGES:** Based on comments made at the January 17 and 24, 2007, meetings of the Joint Committee on Administrative Rules (JCAR) regarding the proposed rule filed with JCAR on December 29, 2006, the department is renumbering and changing section (38) to mirror the language in the assisted facility legislation 198.073, RSMo (CCS HCS SCS SB 616, 93rd General Assembly Second Regular Session (2006)).

**EXPLANATION OF ADDITIONAL CHANGES:** Based on comments made at the January 17 and 24, 2007, meetings of the Joint Committee on Administrative Rules (JCAR) regarding the proposed rule filed with JCAR on December 29, 2006, the department is renumbering and changing section (48) regarding oral or telephone prescriptions for residents.

**EXPLANATION OF ADDITIONAL CHANGES:** Based on comments made at the January 17 and 24, 2007, meetings of the Joint Committee on Administrative Rules (JCAR) regarding the proposed rule filed with JCAR on December 29, 2006, the department is renumbering and changing section (55) regarding resident medication reviews.

**EXPLANATION OF ADDITIONAL CHANGES:** Based on comments made at the January 17 and 24, 2007, meetings of the Joint Committee on Administrative Rules (JCAR) regarding the proposed rule filed with JCAR on December 29, 2006, the department is renumbering and changing section (59) regarding signing of telephone and other verbal orders.

**EXPLANATION OF ADDITIONAL CHANGES:** Based on comments made at the January 17 and 24, 2007, meetings of the Joint Committee on Administrative Rules (JCAR) regarding the proposed rule filed with JCAR on December 29, 2006, the department is renumbering and changing section (62) regarding staffing requirements.

**COMMENT:** Thomas R. Vaeth, Administrator of Marian Cliff Manor, commented that subsection (4)(A) defines the qualifications regarding the appropriately trained and qualified individual to perform the community based assessment. The argument can be made that level I medication aides are indeed registered with the state and are taught by a licensed individual via a state approved course. A certificate with a registered number is given upon course completion and final exam. In addition, how many RCFS employ a staff RN or LPN as opposed to consultant only basis? There are a lot of small RCFS that would have to outsource the assessor position due to budget constraints.

**RESPONSE:** The department does not agree that a level I medication aide could be considered, "registered with the state." The level I medication aide certificate simply documents proof of successful completion of the department's sixteen (16)-hour level I medication aide course, which is limited to medication administration. No changes have been made to this rule as a result of this comment.

**COMMENT:** A department staff member commented that subsection (4)(H) Individualized service plan definition in subsection (4)(H) needs to be revised in order to be identical to the definition of this term in the Definitions Chapter 19 CSR 30-83.010.

**RESPONSE AND EXPLANATION OF CHANGE:** The department agrees and has revised subsection (4)(H) in order to be identical

to the definition in the Definitions Chapter, 19 CSR 30-83.010.

**COMMENT:** A department staff member commented that the Minimal assistance definition in subsection (4)(J) needs to be revised to be identical to the definition of the term in 19 CSR 30-86.045(2)(D).

**RESPONSE AND EXPLANATION OF CHANGE:** The department agrees and has revised subsection (4)(J) in order to be identical to the definition of the term in 19 CSR 30-86.045(2)(D).

**COMMENT:** Sharon King, Administrator for Richmond Terrace, Lutheran Senior Services;

Terry Etling, Administrator of Breeze Park;

Linda Detring, Vice President Operations for Lutheran Senior Services; and

Valerie Cooper, Administrator, Laclede Commons, Lutheran Senior Services, commented that section (40) would allow a nurse to identify unsealed medications that are brought into the facility. They had concerns that a nurse has adequate qualifications to examine, identify and make the determination the medication contents would be suitable for use.

**RESPONSE:** This is a minimum requirement and does not prevent the facility from developing an in-house policy requiring that unsealed medications brought to a facility must be examined by a pharmacist or physician. No change has been made in the rule as a result of this comment.

**COMMENT:** Sharon King, Administrator for Richmond Terrace, Lutheran Senior Services;

Terry Etling, Administrator of Breeze Park;

Linda Detring, Vice President Operations for Lutheran Senior Services; and

Valerie Cooper, Administrator, Laclede Commons, Lutheran Senior Services, commented that subsection (62)(A) proposes a higher staffing pattern for all assisted living facilities regardless of whether the facility admits or retains residents who require more than minimal assistance to exit the building or seek an area of refuge. The staffing requirements outlined in 19 CSR 30-86.043 are adequate for those facilities that do no plan to admit or retain residents requiring more than minimal assistance. In some facilities, based on licensed occupancy of 99, the facilities would have to increase the evening staffing by .6 FTE and the night shift staffing by 2.80 FTEs. The total increase to operational costs would be in excess of \$80,000.00. This calculation is based on a wage of \$9.00 per hour and our present benefit package. There are no plans to change admission criteria allowing facilities to admit or retain residents needing more than minimal assistance. This additional operational cost would ultimately be passed on to residents, but they would receive no added value for the increase. As these facilities presently give over \$36,000.00 per year in benevolent care dollars for Medicaid residents, this increased operational cost could severely limit their ability to help those residents. And private pay residents would have to pay the increase, which would in turn reduce their assets, potentially driving them toward Medicaid eligibility prematurely. The increased staffing should be directed at those facilities that will follow the proposed 19 CSR 30-86.045. Those facilities should have a higher staffing pattern in order to meet the needs of those residents.

**RESPONSE:** The department disagrees that the staffing requirements outlined in 19 CSR 30-86.043 (which contain the requirements for residential care facilities that were formerly licensed as residential care facilities II and choose to continue to meet those standards) are adequate for assisted living facilities. These minimum standards reflect the higher acuity of residents, including hospice patients, allowed in an assisted living facility and also reflect the statutorily required social model of care setting, which requires the facility to provide care and services based on abilities, desires, and functional needs of each resident delivered in a setting that promotes the dignity, individuality, privacy, independence, and autonomy of the

individual. More staff will be required. 19 CSR 30-86.047(29)(B) requires, "Has 24 hour staff appropriate in numbers and with appropriate skills to provide such services." No change has been made to this rule as a result of this comment. However, changes have been made as indicated in the "Explanation of Additional Changes" for this section.

COMMENT: Valerie Cooper, Administrator, Laclede Commons; and Terry Etling, Administrator of Breeze Park commented that subsection (4)(J) defines minimal assistance for evacuation as a resident who can evacuate the facility within five (5) minutes with no more than three (3) verbal interventions and one (1) physical intervention from staff. This definition will be confusing for staff, residents and legal representatives.

RESPONSE: The intent of the department is to provide specific criteria regarding what minimal assistance is and does not agree that providing limits on the verbal and physical interventions will be confusing to staff, residents and their legal representative. The department believes such specific limits will decrease confusion. Adding additional text regarding the limits would increase confusion. No change has been made to the rule as a result of this comment.

COMMENT: A department staff member commented that paragraph (29)(F)2. references the Assessment for Admission To Assisted Living Facilities form, (8-06) but fails to provide the specific MO form number for the referenced form. This paragraph must be amended in order to provide the form number. Secondly, the form was designed to meet the needs of both the emergency and proposed rule and required revision as a result of the emergency rule being withdrawn.

RESPONSE AND EXPLANATION OF CHANGES: The department agrees that the Assessment for Admission To Assisted Living Facilities form required revision and that the incorporated form number must be referenced in this paragraph. Paragraph (29)(F)2. has been revised to include the form number and the (9-06) form revision date.

COMMENT: Linda Detring RN MSN, VP Operations, Lutheran Senior Services; Sharon King, Administrator for Richmond Terrace, Lutheran Senior Services;

Terry Etling, Administrator of Breeze Park; and

Valerie Cooper, Administrator of Laclede Commons, commented that subsection (57)(D) would allow the facility to release an expired resident's medication to the resident's legal representative. Since the medication is prescribed for the resident, why would the legal representative want the medication unless for his/her personal use, which is against medical advice? What if one of the medications was Oxycodone, a powerful narcotic and often abused drug wanted on the street for illicit use? They do not believe that the department should condone this practice. These medications should be destroyed by the facility.

RESPONSE: This requirement is written as a resident rights issue since the medications actually are the property of the resident. However, subsection (57)(D) specifically excludes controlled substances. No change has been made to the rule as a result of this comment.

COMMENT: Stacy Tew-Lovasz, on behalf of Sunrise Communities commented that the definition of significant change in subsection (4)(L) can be interpreted to include changes that are not significant because a relatively minor change can require an adjustment in the resident service or treatment plan. This has far reaching impact.

RESPONSE AND EXPLANATION OF CHANGE: The department agrees that the definition requires clarification and has revised the definition in subsection (4)(L) in order to further clarify what a significant change is.

COMMENT: Ms. Tew-Lovasz commented that the community based assessment time frame in subparagraph (29)(F)1.A. should be changed from five (5) days to ten (10) days.

RESPONSE: Assisted living facility legislation, section 198.073.4.(5)(a), RSMo (CCS HCS SCS SB 616, 93rd General Assembly, Second Regular Session (2006)), requires the assisted living facility to complete a community based assessment, "Upon admission." The department believes that completion within five (5) days of admission is a reasonable standard and satisfies the statutory requirement for "upon admission." Allowing ten (10) days for completion is not a reasonable standard for satisfying the statutory requirement, since the community based assessment identifies the resident's needs and must be used to develop the individualized service plan. No change has been made to the rule as a result of this comment.

COMMENT: Ms. Tew-Lovasz commented that in paragraphs (29)(F)2. and 4. the department is going beyond the assisted facility legislation, which does not require an assessment tool to be created by the department July 1, 2009.

RESPONSE AND EXPLANATION OF CHANGE: The department agrees and has amended paragraph (29)(F)2., deleting the July 1, 2009 date. Secondly, the department has deleted paragraph (29)(F)4.

COMMENT: Ms. Tew-Lovasz commented the requirement in subsection (29)(G) contains too much detail for a regulation that should be focused on the outcome desired. Recommend deleting the detailed requirements and replacing with the wording "outlining the needs and preferences of the residents."

RESPONSE: This regulation is focused on outcome desired, person-centered care. The department believes the specific requirements are necessary in order to meet the intent of the person-centered care. No changes have been made to the rule as a result of this comment.

COMMENT: Ms. Tew-Lovasz commented regarding section (62) that onerous staffing requirements are already today a disincentive to current operators who could qualify to become an assisted living facility but will not choose to do so. The assisted living legislation has added extensive fire safety precautions to address fire safety and additional staffing is not needed. The fiscal note does not reflect the magnitude of the impact. Recommend retaining current residential care facility II staffing requirements.

RESPONSE: The department disagrees that additional staff is not needed for assisted living facilities. These minimal standards reflect the higher acuity of residents allowed in an assisted living facility and also reflect the statutorily required social model of care setting, which requires the facility to provide care services based on abilities, desires, and functional needs of each resident delivered in a setting that promotes the dignity, individuality, privacy, independence, and autonomy of the individual. More staff will be required to provide person-centered care required of a social model of care. The fiscal note is accurate to the best of the department's ability. No change has been made to the rule as a result of this comment. However, changes have been made as indicated in the "Explanation of Additional Changes" for this section.

COMMENT: Ms. Tew-Lovasz commented recommend decreasing the initial two (2)-hour transfer training minimum to one and one-half (1 1/2) hours and the ongoing transfer training from one (1) hour to one-half (1/2) hour in subsections (66)(A) and (B).

RESPONSE: The department disagrees with this recommendation. Assisted living facilities are allowed to employ level I medication aides as care staff. The level I medication course does not include any training regarding transfer skills. The department believes the required two (2) hour initial and one (1) hour ongoing training to be only minimum requirements in order to prepare level I medication aides and other staff to provide safe transfers. No changes have been

made to the rule as a result of this comment.

**COMMENT:** John Munch, Dolan Residential Care Centers, commented that the requirement in subsection (14)(D) is not practicable and would negatively impact upon his service offering. This needs to be deleted or significantly modified to permit a more reasoned approach.

**RESPONSE:** Section 660.317, RSMo provides the statutory authority for this requirement. The department interprets the statutory term “employed” to include persons who perform work, paid or unpaid, in the facility. This regulation allows the facility the option of accompanying the repair person to ensure the safety of residents. No change has been made to the rule as a result of this comment.

**COMMENT:** Mr. Munch commented that assessment time frames in paragraph (29)(F)1. should increase to seven (7) to ten (10) days. As a social model of care behavior is a significant component of assessment and seven (7) to ten (10) days would permit a much better time frame to observe for behaviors as well as a resident’s acceptance of the new surroundings.

**RESPONSE:** Assisted living facility legislation, section 198.073.4(5)(a), RSMo, (CCS HCS SCS SB 616, 93rd General Assembly, Second Regular Session (2006)), requires the assisted living facility to complete a community based assessment “Upon admission.” The community based assessment identifies the resident’s needs and must be used to develop the individualized service plan. The department believes that completion within five (5) days of admission is a reasonable standard, which satisfies the statutory requirement for “upon admission.” The department agrees that a resident’s behaviors may change for several days or weeks following admission, but allowing additional time for completion is not a reasonable standard for satisfying the statutory “upon admission” requirement. No change has been made to the rule as a result of this comment.

**COMMENT:** Mr. Munch commented why is the department making the short period of recuperation requirement a Class I in section (58)? This is unnecessary and should be a Class II.

**RESPONSE AND EXPLANTION OF CHANGE:** The department agrees that this statutory requirement should be classified as a Class II and has changed the classification to a Class II in section (58).

**COMMENT:** Mr. Munch commented that staffing requirements in section (62)(A) need to be returned to the previous residential care facility II levels. Minimum staffing requirements do not equate to quality care.

**RESPONSE:** The department disagrees that staffing for assisted living facilities should be the same as those required in the former residential care facility II requirements. The minimal standards of (62)(A) reflect the higher acuity of residents allowed in an assisted living facility and also reflect the statutorily required social model of care setting, which requires the facility to provide care services based on abilities, desires, and functional needs of each resident delivered in a setting that promotes the dignity, individuality, privacy, independence, and autonomy of the individual. More staff will be required. 19 CSR 30-86.047(29)(B) requires, “Has 24 hour staff appropriate in numbers and with appropriate skills to provide such services.” No change has been made to the rule as a result of this comment. However, changes have been made as indicated in the “Explanation of Additional Changes” for this section.

**COMMENT:** Mr. Munch commented that section (66) should include a requirement for transfer training to be completed by licensed nurses or physical or occupational therapists. One (1)-hour classroom with return demonstration would be more than ample training for this topic. A review annually of thirty (30) minutes would be ample time to keep staff current in their skills.

**RESPONSE AND EXPLANATION OF CHANGE:** The department agrees that physical therapists and occupation therapists should be included as individuals qualified to instruct transfer training and has amended section (66) to reflect this change.

**COMMENT:** The Honorable Jeanette Mott Oxford, District 5, Missouri House of Representatives commented that the five (5)-minute evacuation rule in paragraph (4)(J)4. is unrealistic, even with careful planning some will require more than five (5) minutes to exit the building. Fire monitoring and sprinkler systems should be sufficient to prevent tragedies.

**RESPONSE:** The department disagrees that five (5) minutes is unrealistic. Please refer to 19 CSR 30-86.047(4)(F), which defines the term “Evacuating the facility”—For the purpose of this rule, evacuating the facility shall mean moving to an area of refuge or from one (1) smoke section to another smoke section or exiting the facility. Allowing more than five (5) minutes does not provide needed safety in a fire emergency. No change has been made to the rule as a result of this comment.

**COMMENT:** The Honorable Jeanette Mott Oxford commented that the staffing requirements in subsection (62)(A) should be the same as the current residential care facility II.

**RESPONSE:** The department disagrees that staffing for assisted living facilities should be the same as those required in the former residential care facility II requirements. The minimum standards of (62)(A) reflect the higher acuity of residents allowed in an assisted living facility and also reflect the statutorily required social model of care setting, which requires the facility to provide care services based on abilities, desires, and functional needs of each resident delivered in a setting that promotes the dignity, individuality, privacy, independence, and autonomy of the individual. More staff will be required to provide these services. No change has been made to the rule as a result of this comment. However, changes have been made as indicated in the “Explanation of Additional Changes” for this section.

**COMMENT:** Mary Beck, resident’s family member, commented that the assisted living facility legislation did not include five (5) minutes in the law. The five (5)-minute requirement is outside the scope of authority of the department.

**RESPONSE:** The department disagrees that setting a five (5)-minute time frame is outside the scope of the authority of the department. Please refer to 19 CSR 30-86.047(4)(F), which defines the term “Evacuating the facility”—For the purpose of this rule, evacuating the facility shall mean moving to an area of refuge or from one (1) smoke section to another or exiting the facility. Allowing more than five (5) minutes does not provide needed safety in a fire emergency. No change has been made to the rule as a result of this comment.

**COMMENT:** Susan McCann, Department of Health and Senior Services, Bureau of Narcotics and Dangerous Drugs, commented that the word “unsealed” in section (40) was not used in previous drafts and should be deleted. All medications brought to the facility by the residents should be examined and approved before use. Even sealed medication packages could be expired, damaged, unsanitary or have other evidence of being unsuitable (faded labels or faded color on tablets could indicate excess exposure to sunlight and degradation, liquids could have particulate matter, etc.).

**RESPONSE:** The department disagrees that the word, “unsealed” should be deleted from section (40). The department believes requiring every medication brought in to the facility would be potentially invasive to the individual. The department will leave section (40) as written but will be open to more feedback in the future. No change was made to the rule as a result of the comment.

COMMENT: Ms. McCann commented that the reference to repackaging by facility staff in section (43) should be to section (44) rather than (45).

RESPONSE AND EXPLANATION OF CHANGE: The department agrees with this comment and has revised section (43) to refer to section (44) rather than section (45).

COMMENT: Ms. McCann commented that in subparagraph (48)(F)1.B. the word "or" should be "unless."

RESPONSE AND EXPLANATION OF CHANGE: The department agrees and has amended subparagraph (48)(F)1.B.

COMMENT: Ms. McCann commented that the word "with" should be deleted from the phrase ". . . with access. . ." in paragraph (48)(F)3.

RESPONSE AND EXPLANATION OF CHANGE: The department agrees the word "with" should be deleted and has amended paragraph (48)(F)3.

COMMENT: A department staff member commented that the word "and" was omitted from the second sentence of section (43). The sentence should read, "All medications, including over-the-counter medications, shall be packaged and labeled in accordance with applicable professional pharmacy standards, and state and federal drug laws."

RESPONSE AND EXPLANATION OF CHANGE: The department agrees and has inserted the word, "and" as recommended in section (43).

COMMENT: Charlie Schott, Executive Director for Good Shepherd Care Center District, why is there a need for laborious paper requirements for our families in subsection (48)(F) when the local health department provides flu shots to residents?

RESPONSE AND EXPLANATION OF CHANGE: The department agrees that accessing screening and immunization through outside resources such as the local health department is an acceptable manner in which to provide this service for your residents and intended that the section (48) requirement clearly state such. The department has revised the sentence structure of subsection (48)(F) for clarification.

COMMENT: A department staff member commented that subparagraph (48)(F)1. A. also requires a sentence structure change for clarification and should read, "Provide education to each resident or the resident's designee or legally authorized representative regarding the potential benefits and side effects of the immunization;"

RESPONSE AND EXPLANATION OF CHANGE: The department agrees and has restructured the sentence in paragraph (48)(F)1. as recommended.

COMMENT: Susan McCann, DHSS, Bureau of Narcotics and Dangerous Drugs, commented that the reference to discharge medications in subsection (57)(C) should be section (45) rather than (44).

RESPONSE AND EXPLANATION OF CHANGE: The department agrees and has revised subsection (57)(C) to reference section (45) rather than section (44).

COMMENT: A department staff member commented that a portion of the first sentence in subsection (57)(A) had been omitted from the proposed rule.

RESPONSE AND EXPLANATION OF CHANGE: The department agrees and has revised section (57) to include the omitted text.

COMMENT: Bruce Hillis, Vice President, RH Montgomery Properties, Inc. commented that subsection (62)(A) is contrary to the intent of the assisted living facility legislation. This rule establishes an arbitrary minimum staffing requirement that is in no way related to a measurement of the staff required to provide the "oversight and

services" as documented in the written contract. This rule establishes a minimum staffing pattern merely to secure the assisted living license and to use the name but is not at all related to the appropriate staffing for the services and care of residents.

RESPONSE: The department disagrees that staffing for assisted living facilities should be the same as those required in the former residential care facility II requirements. The minimal standards of (62)(A) reflect the higher acuity of residents allowed in an assisted living facility and also reflect the statutorily required social model of care setting, which requires the facility to provide care services based on abilities, desires, and functional needs of each resident delivered in a setting that promotes the dignity, individuality, privacy, independence, and autonomy of the individual. More staff will be required. 19 CSR 30-86.047(29)(B) requires, "Has 24 hour staff appropriate in numbers and with appropriate skills to provide such services." No change has been made to the rule as a result of this comment. However, changes have been made as indicated in the "Explanation of Additional Changes" for this section.

COMMENT: Charlie Schott, Executive Director for Good Shepherd Care Center District, commented that subsection (4)(J) should take into consideration if a resident has family members that regularly spend the day or stay overnight with the resident to assist in evacuations. Having staff open a door for a resident should not be considered more than minimal assistance.

RESPONSE: This definition explains what "minimal assistance" means in terms of the type of assistance that is needed or provided rather than who is providing the assistance. The facility has overall responsibility for the safety of its residents and cannot place that responsibility on a family member since it cannot ensure that the family member would be at the facility in the event an evacuation is necessary. No change has been made to the rule as a result of this comment.

COMMENT: Mr. Schott commented please change subsection (4)(L) to say: "A major change in the resident's physical, emotional, or psychosocial condition or behavior that continues unabated for fourteen days and is of such a degree that it would require a substantive adjustment or modification in the resident's treatment or services."

RESPONSE AND EXPLANATION OF CHANGE: The department agrees that the definition requires clarification and has revised the definition in subsection (4)(L) in order to further clarify what a significant change is.

COMMENT: Mr. Schott commented the time frame for completion of the assessment should be changed to ten (10) days in paragraph (29)(F)1.

RESPONSE: Assisted living facility legislation, section 198.073.4.(5)(a), RSMo, (CCS HCS SCS SB 616, 93rd General Assembly, Second Regular Session (2006)), requires the assisted living facility to complete a community based assessment "Upon admission." The community based assessment identifies the resident's needs and must be used to develop the individualized service plan. Please refer to the department's previous response to this same comment. No change has been made to the rule as a result of this comment.

COMMENT: Mr. Schott commented please authorize a licensed nurse rather than a registered nurse to review residents' medication regimen in section (55).

RESPONSE: The department does not agree that a licensed practical nurse is qualified to make the assessment required for reviewing residents' medication regimen. Additionally, the requirement for review of residents' medication regimens by a nurse or pharmacist is consistent with the department's regulations relating to residential care facilities, intermediate care facilities and skilled nursing facilities. There is nothing inherently different about assisted living facilities that would make the requested change necessary or advisable.



No change has been made to the rule as a result of this comment.

COMMENT: Mr. Schott questioned the rationale for enhancing the penalty for section (58) of the rule?

RESPONSE AND EXPLANATION OF CHANGE: The department agrees that section (58) should be classified as a Class II and has changed the classification in section (58).

COMMENT: Mr. Schott requested the department reconsider to keep staffing ratios which were previously in place for residential care facilities II rather than adding the requirement in subsection (62)(A).

RESPONSE: The department does not agree that staffing ratios previously in place for residential care facilities II are adequate for assisted living facilities. The minimum staffing standards required at subsection (62)(A) reflect the higher acuity of residents allowed in an assisted living facility and also reflect the statutorily required social model of care setting, which requires the facility to provide care services based on abilities, desires, and functional needs of each resident delivered in a setting that promotes the dignity, individuality, privacy, independence, and autonomy of the individual. More staff will be required. No change has been made to the rule as a result of this comment. However, changes have been made as indicated in the "Explanation of Additional Changes" for this section.

COMMENT: Mr. Schott commented some facilities use both physical and occupational therapists to teach transfer training because they have greater knowledge of body mechanics and the appropriate way to lift. The department should add these therapists to those individuals who can provide transfer training listed in section (66).

RESPONSE AND EXPLANATION OF CHANGE: The department agrees that physical therapists and occupation therapists should be included as individuals qualified to instruct transfer training and has revised section (66) to reflect this change.

COMMENT: Denise Clemonds, CEO for Missouri Association of Homes for the Aging;

Marshal Cope, Executive Director for New Florence Care Center;

Elliot Planells of St. Andrews Management Services;

Susan McClenahan, Executive Director for The Sarah Community;

Joan Devine, Clinical Services Director for St. Andrews Management Services;

Christopher Wiltse, Anna House Administrator;

Julie Klein, Mispah Manor Administrator;

Charlotte Lehmann, Executive Director for Cape Albeon; and

Tyler Troutman, Executive Director for Brooking Park, commented that the definition in subsection (4)(H) requires "outcomes" be added to the individualized service plan (ISP). Assisted facility legislation does not require outcomes in the ISP, and we believe this is both unnecessary and outside statutory authority.

RESPONSE AND EXPLANATION OF CHANGE: The department does not agree with this comment. The intent of the regulation is to ensure that the ISP is a useful tool for staff and residents. Identifying expected outcomes entails a process of developing, implementing and evaluating the progress and effectiveness of the ISP. This process does not conflict with the statutory components of the ISP. No changes have been made to the rule as a result of this comment. However, the department has revised the definition in subsection (4)(H) in order to make it consistent with the term as defined in 19 CSR 30-83.010.

COMMENT: Ms. Clemonds, Ms. Cope, Mr. Planells, Ms. McClenahan, Ms. Devine, Mr. Wiltse, Ms. Klein, Ms. Lehmann and Mr. Troutman commented that the definition in subsection (4)(H) should not just pertain to the two (2) types of residents stated in the draft rules (not capable of evacuation and low likelihood of success). In some areas of the state, the local fire officials recommend "all" residents remain in place. The following language should be used:

"Keeping residents in place—means maintaining residents in place during a fire in lieu of evacuation where a building's occupants are not capable of evacuation, where evacuation has a low likelihood of success or where local fire officials recommend it as having a better likelihood of success and/or lower risk of injury."

RESPONSE AND EXPLANATION OF CHANGE: The department agrees and has revised subsection (4)(I) to include, "or where it is recommended in writing by local fire officials as having a better likelihood of success and/or a lower risk of injury."

COMMENT: Ms. Clemonds, Ms. Cope, Mr. Planells, Ms. McClenahan, Ms. Devine, Mr. Wiltse, Ms. Klein, Ms. Lehmann and Mr. Troutman commented subsection (4)(J) should allow residents with family members that regularly stay overnight with the resident to assist in evacuations and not to consider their assistance to be an intervention. This would allow more individuals to age in place. Second, having a staff member open the door for a resident should not be considered more than minimal assistance. Delete this from the requirement.

RESPONSE: The department does not agree with this comment. Subsection (4)(J) of this rule defines what minimal assistance is and not who is providing the intervention. Please refer to the department's response above to Mr. Schott regarding the same comment. Secondly, requiring a staff member to open a door must be considered an intervention since the resident would be unable to evacuate the facility without that intervention. Such intervention for the individual would require the staff member to go to the door with the resident, which would take a staff member away from assisting another resident. The department has amended subsection (4)(J) in order to be identical to the definition of the term in 19 CSR 30-86.045(2)(D). However, no changes have been made to 19 CSR 30-86.047(4)(J) as the result of this comment.

COMMENT: Ms. Clemonds, Ms. Cope, Mr. Planells, Ms. McClenahan, Ms. Devine, Mr. Wiltse, Ms. Klein, Ms. Lehmann and Mr. Troutman commented the definition of significant change in subsection (4)(L) can be interpreted to include changes that are not truly significant because relatively minor changes can "require an adjustment or modification in the resident's treatment or services." The following language should be used instead: "Significant change—Means a major change in the resident's physical, emotional or psychosocial condition or behavior that continues unabated for fourteen days and is of such a degree that it would require a substantive adjustment or modification in the resident's treatment or services."

RESPONSE: The department does not agree with this definition. A life threatening significant change could result in death long before fourteen (14) days had expired. No changes have been made to the rule as a result of this comment.

COMMENT: Ms. Clemonds, Ms. Cope, Mr. Planells, Ms. McClenahan, Ms. Devine, Mr. Wiltse, Ms. Klein, Ms. Lehmann and Mr. Troutman commented section (6) should be clarified to include "oversight of residents to assure that they receive care appropriate to their needs" after that phrase "as documented in their ISP." in the administrator's responsibilities.

RESPONSE: The department does not agree with this recommendation. While the department agrees the administrator should ensure the individual service plan is specific to each resident's needs, the facility has healthcare oversight for all residents and their care. The fact that the facility fails to include the need and appropriate care in the individual service plan should not relieve the administrator of the responsibility for oversight. No change has been made to the rule as a result of this comment.

COMMENT: Ms. Clemonds, Ms. Cope, Mr. Planells, Ms. McClenahan, Ms. Devine, Mr. Wiltse, Ms. Klein, Ms. Lehmann and Mr. Troutman commented that in section (13) "Work" should be replaced by "employed by." The term "work" is so broad as to be

unenforceable. "Work" is not defined, and could include supply salespersons coming to the facility and any other number of people who may have occasion to enter a facility for a brief time in the course of their employment. Furthermore, the statutory authority of the department only applies to employees under section 660.315, RSMo, subsection (12).

RESPONSE: The department does not agree with this comment. Section 198.076, RSMo (CCS HCS SCS SB 616, 93rd General Assembly, Second Regular Session (2006)) requires the department to promulgate reasonable standards and regulations to ensure the safety and welfare of residents. No changes have been made to the rule as a result of this comment.

COMMENT: Ms. Clemonds, Ms. Cope, Mr. Planells, Ms. McClenahan, Ms. Devine, Mr. Wiltse, Ms. Klein, Ms. Lehmann and Mr. Troutman commented subsection (14)(D) is unworkable. When the sewer is backed up, the air conditioning breaks down in the middle of July, or freezers and coolers break down in the dietary section, the health, safety and comfort of our residents requires immediate action. The risk to their health and safety is far greater if repairs wait until background checks are made or until staff can take time from caring for residents to simply watch repair contractors do their work. The provision in subsection (14)(D) needs to be deleted.

RESPONSE: The department does not agree. This regulation allows the facility the option of accompanying the repair person in lieu of requiring a criminal background check in order to ensure the safety of residents. No change has been made to the rule as a result of this comment.

COMMENT: Ms. Clemonds, Ms. Cope, Mr. Planells, Ms. McClenahan, Ms. Devine, Mr. Wiltse, Ms. Klein, Ms. Lehmann and Mr. Troutman commented After the words "The administrator shall" in section (21) insert the phrase "be responsible for," clarifying that the administrator may delegate actual record keeping but not responsibility for the records.

RESPONSE: The department does not agree that this word change is necessary in order to clarify the intent of the requirement. No change has been made to the rule as a result of this comment.

COMMENT: Ms. Clemonds, Ms. Cope, Mr. Planells, Ms. McClenahan, Ms. Devine, Mr. Wiltse, Ms. Klein, Ms. Lehmann and Mr. Troutman commented subsection (29)(D) should be clarified that the premove-in screening tool supplied by the DHSS does not have to be used by an assisted living facility (ALF).

RESPONSE AND EXPLANATION OF CHANGE: The department agrees and has revised subsection (29)(D) in order to clarify that assisted living facilities are not required to use the pre-screening tool developed by the department.

COMMENT: Ms. Clemonds, Ms. Cope, Mr. Planells, Ms. McClenahan, Ms. Devine, Mr. Wiltse, Ms. Klein, Ms. Lehmann and Mr. Troutman commented that the five (5)-day requirement to complete the assessment in subparagraph (29)(F)1.A. should be changed to ten (10) days. Sometimes a better assessment can be completed after a resident has "settled in" for a few days. Ten (10) days would also match the allowance in SB 616 for receipt of documentation of the physician's admission physical examination. Both are required to draft an individualized service plan for the resident, so it is logical that they have the same time frames for completion.

RESPONSE: Assisted living facility legislation, section 198.073.4.(5)(a), RSMo (CCS HCS SCS SB 616, 93rd General Assembly, Second Regular Session (2006)), requires the assisted living facility to complete a community based assessment, "Upon admission." The department believes that completion within five (5) days of admission is a reasonable standard and satisfies the statutory requirement for "upon admission." Allowing ten (10) days for completion is not a reasonable standard for satisfying the statutory requirement, since the community based assessment identifies the

resident's needs and must be used to develop the individualized service plan. No change has been made to the rule as a result of this comment.

COMMENT: New Florence Care Center commented that paragraph (29)(F)4., which mandates a specific community based assessment tool appears to exceed statutory authority under 198.006(7), RSMo which only gives your department the authority to "approve" the tool. Some facilities have spent time and money in developing assessment tools and many providers have already purchased assessment instruments from vendors.

This requirement is in preparation for a single point of entry (SPE) system. While New Florence Care Center supports this concept, the authority to impose a mandated assessment tool should be discussed at the time the SPE system is developed. Remove this language from the proposed rule.

RESPONSE AND EXPLANATION OF CHANGE: The department agrees and has deleted paragraph (29)(F)4.

COMMENT: Ms. Clemonds, Ms. Cope, Mr. Planells, Ms. McClenahan, Ms. Devine, Mr. Wiltse, Ms. Klein, Ms. Lehmann and Mr. Troutman commented delete the current language in subsection (48)(F) and replace with, "The facility may develop a policy for ensuring residents have the opportunity for assessment for receiving influenza and pneumococcal immunizations."

RESPONSE AND EXPLANATION OF CHANGE: While the department does not agree with the specific language recommended, the department has amended section (48) to clarify that the facility has the option of accessing screening and immunization through outside resources.

COMMENT: Ms. Clemonds, Ms. Cope, Mr. Planells, Ms. McClenahan, Ms. Devine, Mr. Wiltse, Ms. Klein, Ms. Lehmann and Mr. Troutman commented that section (55) should authorize a "licensed" nurse rather than a "registered" nurse to review medication regimens. This is consistent with allowing licensed nurses or pharmacists to review medication orders under 19 CSR 30-86.047(48)(D).

RESPONSE: The department disagrees with this recommendation. Review of residents' medication regimens requires assessment by a registered professional nurse or a pharmacist. No change has been made to the rule as a result of this comment.

COMMENT: Ms. Clemonds, Ms. Cope, Mr. Planells, Ms. McClenahan, Ms. Devine, Mr. Wiltse, Ms. Klein, Ms. Lehmann and Mr. Troutman commented that there is no rationale for enhancing the penalty for section (58) of the rule, particularly in light of the statutory requirement to create a home-like environment and social model of care. There is no indication that this rule has been abused to the point of making it a Class I violation. Also, this provision is statutory and a Class II violation is all that is required.

RESPONSE AND EXPLANATION OF CHANGE: The department agrees and has changed the classification to a Class II in section (58).

COMMENT: Ms. Clemonds, Ms. Cope, Mr. Planells, Ms. McClenahan, Ms. Devine, Mr. Wiltse, Ms. Klein, Ms. Lehmann and Mr. Troutman commented the department should reconsider subsection (62)(A) and keep the staffing ratios previously in place for residential care facilities II (RCFs II) as a minimum requirement, including the separate staffing ratios for facilities attached to a nursing facility. Staffing is the biggest expense of an assisted living facility (ALF). The fiscal note reflects a twenty percent (20%) increase in the cost of staffing for those facilities expected to move from RCF II to an ALF, all to be paid for by residents, their family members, or the public. The increase in staffing ratios in the evening and at night compared to staffing ratios required previously of an RCF II is the biggest portion of that expense. For a previous RCF II attached to a nursing facility, the proposed staffing ratios are higher for all shifts.

The increase for attached facilities is quite onerous, especially considering that they have staff nearby to help in emergencies.

The proposed rule deals with the issue in an outmoded, one-size-fits-all fashion without recognizing that facilities will vary significantly in the type of residents they serve. It also does not recognize that facilities will vary widely in safety features such as sprinklers and other fire resistant features.

A number of facilities previously licensed as residential care facilities II will serve residents in the future that have the same service needs as those they previously served. They will not choose to meet the regulations in 19 CSR 30-86.045. Many facilities with large capacities may only have one (1) or two (2) residents at a time that need minimal assistance in an emergency, but the regimented proposal would require a twenty percent (20%) staffing increase even in that situation. To require them to have higher staffing will make costs increase for their residents. Future potential residents of modest means will be more likely to seek the more restrictive environment of a skilled nursing facility because of the availability of Medicaid funding. Also, this requirement would hinder the development of ALFs because of the huge cost increase. Many rural communities may only have one (1) RCF or ALF, serving a fairly wide variety of needs. This mandated cost, no matter if needed in an individual facility, would inhibit those homes from seeking an ALF license and providing that choice in care to residents of their service area. Surely your department doesn't want to deny this choice to Missouri seniors in rural areas.

The staffing requirement proposed for evening and night shifts is, in some circumstances, the same as that required for a skilled nursing facility. In summary on staffing requirements:

- It is very difficult to see the logic in ever requiring the same staffing as skilled nursing facilities.
- It is quite likely that a number of ALFs will choose to serve the same type of residents as they served in the past as RCF IIs, but the proposed rule mandates a minimum twenty percent (20%) staffing cost increase passed through to residents.
- There is no objection to the portion of 19 CSR 30-86.047(64)(A) that requires adequate staff to give proper care, which gives your surveyors leeway to require more than minimum staffing if needed for resident services.
- ALFs who choose to meet the requirements of both 19 CSR 30-86.045 and 19 CSR 30-86.047 may have residents with higher service needs than those served in the past by RCF IIs. Their service needs will be reflected in the service plans along with the resident preference and will allow the department to monitor service/preference requirements and thereby the amount and type of staff needed at any time.
- Adequate staffing should be determined by the assessment in conjunction with the required physician physical examination of each individual resident and will be reflected in the service plan.
- Surveyors should look at results, not numbers in a rulebook. Quality of staff is often more important than numbers, and staff work ethic and attention to resident services may well mean more than simply numbers of workers who clock in.

RESPONSE: The department disagrees that staffing requirements for assisted living facilities should be the same as those required in the former residential care facility II requirements. The minimal standards of (62)(A) reflect the higher acuity of residents allowed to be cared for in an assisted living facility and also reflect the statutorily required social model of care setting, which requires the facility to provide care services based on abilities, desires, and functional needs of each resident delivered in a setting that promotes the dignity, individuality, privacy, independence, and autonomy of the individual. More staff will be required. Regulation 19 CSR 30-86.047(29)(B) requires, "Has 24 hour staff appropriate in numbers and with appropriate skills to provide such services." Additionally, the fiscal note is accurate to the best of the department's ability. No change has been made to the rule as a result of this comment. However, changes have been made as indicated in the "Explanation

of Additional Changes" for this section.

COMMENT: Ms. Clemonds, Ms. Cope, Mr. Planells, Ms. McClenahan, Ms. Devine, Mr. Wiltse, Ms. Klein, Ms. Lehmann and Mr. Troutman commented that disallowing the counting of the administrator in staffing requirements for facilities of more than sixty (60) residents in section (62)(C) is quite arbitrary. This provision should be returned to the original standard of one hundred (100) residents or, at the least, allow a major fraction over sixty (60) before requiring an additional staff to replace an administrator in calculating ratios.

RESPONSE: The department does not agree that the administrator should be counted into the staffing ratio. Assisted living legislation allows for a higher acuity of care than does residential care. No changes have been made to the rule as a result of this comment. However, changes have been made as indicated in the "Explanation of Additional Changes" for this section.

COMMENT: Ms. Clemonds, Ms. Cope, Mr. Planells, Ms. McClenahan, Ms. Devine, Mr. Wiltse, Ms. Klein, Ms. Lehmann and Mr. Troutman commented that section (64) appears to have a typographical error, citing section (65), which should be changed to section (63).

RESPONSE AND EXPLANATION OF CHANGE: The department agrees and has changed the reference to section (63) in section (64).

COMMENT: Ms. Clemonds, Ms. Cope, Mr. Planells, Ms. McClenahan, Ms. Devine, Mr. Wiltse, Ms. Klein, Ms. Lehmann and Mr. Troutman commented that the hours should be revised with one (1) hour of dementia training required during orientation and an additional two (2) hours training required at any time during the first year of employment in section (64). The training could be limited to the basic issues of communicating with dementia residents and behavior management.

RESPONSE: The department disagrees with the recommendation. Thorough orientation is needed upon hiring in order to ensure employees have the necessary skills to work with residents having dementia. No change has been made to the rule as a result of this comment.

COMMENT: Ms. Clemonds, Ms. Cope, Mr. Planells, Ms. McClenahan, Ms. Devine, Mr. Wiltse, Ms. Klein, Ms. Lehmann and Mr. Troutman commented that in addition to licensed nurse, those authorized to provide transfer training in section (66) be expanded to include physical therapists, physical therapy assistants, occupational therapists, certified occupational therapy assistants and restorative aides.

RESPONSE AND EXPLANATION OF CHANGE: The department agrees that (66)(A) should be expanded and has changed the rule to include physical therapists, physical therapy assistants, occupational therapists and certified occupational therapy assistants as professionals authorized to provide transfer training. This expanded list does not include restorative aides.

COMMENT: The training time be reduced to one (1) hour of classroom time with one-half (1/2) hour of additional training annually. Based on consultation with a professional therapist in the field of long-term care, one (1) good hour of classroom training in addition to the on-the-job-training required by your proposed rule is both sufficient and efficient.

RESPONSE: The department disagrees with this recommendation. Assisted living facilities are allowed to employ level I medication aides as direct care staff. The level I medication course does not include any training regarding transfer skills. The department believes the required two (2) hour initial training and one (1) hour ongoing training to be only minimum requirements in order to prepare level I medication aides and other staff to provide safe transfers.

No changes have been made to the rule as a result of this comment.

COMMENT: Jorgen Schlemeirer, on behalf of Missouri Assisted Living Association (MALA) and the following associates of MALA: Connie McClain, Marketing/Mgmt for Lone Pine Residential Care, Ironton Residential Care, Maple Ridge Residential Care, South Haven Residential Care and Dent County Residential Care; Shelly Long, Office Secretary, Lone Pine Residential Care; Patty Anderson, Office Manger of Lone Pine Residential Care; Bridgett Madden, Assistant Manager of Lone Pine Residential Care; Sheri Pratt, Manager of Lone Pine Residential Care; Jill Moise, Manager of Ironton Residential Care; Dawn Gainer, Manager of Maple Ridge Residential Care; Lisa Hedrick, Manager of Dent County Residential Care; Wilma Davis, Administrator of South Haven Residential Care; Dave Thomas, President, Thomas Marketing, Inc.; Pam Thomas, R.N., Administrator, Thomas Management, Inc.; Sharron K. Davis-Buckner, Administrator of Loving Care Home; Cynthia Skidmore, Administrator of Autumn Place Residential Care of Joplin; Karen Price, Owner of Dove Senior Citizen Home; Phillip O. Farley, Owner of Sunnyhills Residential Care Facility; Tom Walker, Administrator of Superior Park; Frank Mosby, Administrator of Sabbath Manor; Jill Hieronymus, Owner of Royal Oaks Residence; Roswitha Long, Administrator of Countryside Care Center; Peggy Keith, Administrator of Parkwood Meadows Assisted Living; Tammy Smith, Director of Gasconade Terrace Retirement Center; Ralene E. Davis, Owner/Manager of Guardian Angel RCF; Bob Adams, Administrator of Walnut Street Residential Care and of The Colonial Home; Joanna Mooney, Administrator of Cedars of Liberty and representing Lucy Webb, Owner; Tricia Mosbacher, Regional Director of Operations Americare; Linda Atchley, Operator of Colonial Manor LLC; Teresa Compton, Administrator of Maple Crest Manor, Frederick Street Manors I & II; Ronald Conway, Administrator of Colonial Retirement Center, Inc.; Michael Long, Owner of Cedar Ridge Care Center; Donna Quimby-Edwards, Owner of Century Pines Assisted Living; JoAne Pate; Director of Nursing for Arana Manor and Silver Spur; Bruce Harris, Administrator/Owner/Operator of Harris Care Centers; Lisa Harris, President/Owner/Operator of Harris Care Centers; Jeanette McCamis, Administrator/Owner of Wood Oaks, Inc. and Autumn Woods, Inc.; Eric F. Fink, Administrator of Whispering Oaks Health Care Center, Inc.; Jean Summers, Vice President of Operations for Americare; Darren L. Redd, Vice President of Blue Castle of the Ozarks, Inc.; Gary Boggs and Cecile Boggs, Owner of Lakeshores Residential Care Facility; Sandra Rutherford, Administrator of Lakeshores Residential Care Facility; Bruce Hillis, Vice President of RH Montgomery Properties, Inc.; Ali Chaudhry, Owner/Operator of Sabbath Manor, Country and Fontainebleu; Michelle Redd, Administrator of Blue Castle of the Ozarks, Inc.; Virginia Mincks, LPN, Blue Castle of the Ozarks, Inc.; Lanora Porterfield, Director of Nursing at Bolivar Manor House; and Michele Vinson, Administrator of Bolivar Manor House commented that subsection (4)(H) adds "services to be provided, and the outcomes expected for the resident" to the definition of what is required in the individual service plan. This exceeds statutory authority and should be limited to the statutory language. In addition, this definition is different than how the department defined this term in 19 CSR 30-83.010(22).

RESPONSE AND EXPLANATION OF CHANGE: The department

agrees in part with this comment. The department has revised subsection (4)(H) to include the word "care" in order to make (4)(H) consistent with the definition of the term in 19 CSR 30-83.010. The department disagrees in part with this comment. The intent of the regulation is to ensure that the ISP is a useful tool for staff and residents. Identifying expected outcomes entails a process of developing, implementing and evaluating the progress and effectiveness of the ISP. This process does not conflict with the statutory components of the ISP. No changes have been made to the rule as a result of this part of the comment. However, changes have been made as indicated in the "Explanation of Additional Changes" for this section.

COMMENT: The definition of significant change in subsection (4)(L) is too broad. A change includes giving an aspirin for a headache. "Significant change" is defined as "a change" and hence is no longer "significant." A change requiring an adjustment or modification in residents' services encompasses even the slightest change in services which occurs daily. Use the definition consistently throughout long-term care continuum, thereby defining the term as it is defined in the Minimum Data Set (MDS)

RESPONSE AND EXPLANATION OF CHANGE: The department agrees that the definition of significant change in subsection (4)(L) requires clarification and has revised the definition in order to clarify that significant change means any change in the resident's physical, emotional or psychosocial condition or behavior that will not normally resolve itself without further intervention by staff or by implementing standard disease-related clinical interventions, that has an impact on more than one (1) area of the resident's health status, and requires interdisciplinary review or revision of the individualized service plan, or both.

COMMENT: Keep the current wording in RCF II rules in section (6) ". . . assure that they receive appropriate care." Facilities cannot guarantee that residents receive "care appropriate to their needs." Residents, being citizens and individuals may refuse to receive appropriate care. Facilities do not have control of their finances, and cannot ensure that they accept or pay for the care that would be appropriate "to their needs." Reword the last sentence of this section to reflect the wording contained in assisted living legislation section 198.073.4(1) provides for or coordinates oversight and services to meet the need of residents as documented in a written contract signed by the resident, or legal representative of the resident.

RESPONSE: The department does not agree. The facility has healthcare oversight for all residents and their care. Assisted living facility legislation, (CCS HCS SCS SB 616, 93rd General Assembly, Second Regular Session (2006)) requires the assisted living facilities to provide a social model of care. Section 198.006(24), RSMo (CCS HCS SCS SB 616, 93rd General Assembly, Second Regular Session (2006)) defines social model of care as "long-term care services based on the abilities, desires, and functional needs of the individual delivered in a setting that is more home-like than institutional and promotes the dignity, individuality, privacy, independence, and autonomy of the individual. . . ." Thus, the statutory language serves as authority for requiring assisted living facilities to provide care appropriate to residents' needs. No change has been made to the rule as a result of this comment. However, changes have been made as indicated in the "Explanation of Additional Changes" for this section.

COMMENT: Limiting the number of consecutive thirty (30)-day absences violates the Family Medical Leave Act (FMLA).

RESPONSE: The department does not agree with this comment. The rule does not prevent an administrator from taking leave as provided in the FMLA. Rather, facilities would need to substitute a temporary licensed administrator during periods when the administrator is on FMLA leave. No change has been made to the rule as a result of this comment.

COMMENT: Revise “. . . No person who is listed on the department Employee Disqualifications List (EDL) shall be employed by [work or volunteer in] the facility in any capacity [whether or not employed]. . .” in section (13). This is based on section 660.315, RSMo, which states “No person, corporation, or association who received the employee disqualification list under subsection 11 of this section shall knowingly employ any person who is on the employee disqualification list.” Adding “work” goes beyond the statutory authority of the department.

RESPONSE: The department does not agree with making this word change. The department interprets the term “employ” in section 660.315, RSMo to include both paid and unpaid persons who perform work in a facility. No change has been made to the rule as a result of this comment. However, changes have been made as indicated in the “Explanation of Additional Changes” for this section.

COMMENT: Insert the following “Personnel who are known to have been diagnosed. . .” in section (18). We are only able to respond to information disclosed by our employees, or make the requirement that the employee inform the employer, otherwise we have no ability to access their health care records.

RESPONSE: The department disagrees that this word change is necessary. No change has been made to the rule as a result of this comment.

COMMENT: In subsection (29)(A) “. . . the social and recreational preferences in accordance with the individualized service plan . . .” is not in the statute. Section 198.073.4(1), RSMo (CCS HCS SCS SB 616, 93rd General Assembly, Second Regular Session (2006)) specifically states what the facility must provide and coordinate. The words underlined above do not appear in the statute. We propose removing that provision.

RESPONSE: The department disagrees. Individualized care, including providing or coordinating services to meet the social and recreational preferences of a resident, is part of a social model of care, which is required by the assisted living facility legislation, section 198.006(24), RSMo (CCS HCS SCS SB 616, 93rd General Assembly, Second Regular Session (2006)). No change has been made to the rule as a result of this comment.

COMMENT: Referencing a future form in subsection (29)(D) does not allow the industry to have input on the form itself. The form will dictate the amount of staff time needed to complete and the relevancy to the individuals needs. The statute requires a pre-move in screening to be conducted, but the form should be promulgated separately giving the industry a chance to comment. This gives authorization to an unknown.

RESPONSE AND EXPLANATION OF CHANGE: The department agrees and has revised subsection (29)(D) deleting reference to this form.

COMMENT: Referencing a future form in paragraph (29)(F)2. does not allow the industry to have input on the form itself. The form will dictate the amount of staff time needed to complete and the relevancy to the individuals needs. The statute requires a Resident Assessment Form, but the form should be promulgated separately giving the industry a chance to comment. This gives authorization to an unknown. Also, it uses the term “Resident Assessment Form” in lieu of the “community based assessment” term used by the statute. Change the term, or clarifying that the “resident assessment form” is for the community based assessment.

RESPONSE AND EXPLANATION OF CHANGE: The department agrees and has revised paragraph (29)(F)2. deleting the July 1, 2009 date.

COMMENT: The individualized service plan by statute is required to outline the “needs and preferences of the resident.” We suggest

placing a period after the word “resident” and deleting the remainder of that sentence in subsection (29)(G).

RESPONSE: The department does not agree. Individualized care is part of a social model of care. No change has been made to the rule as a result of this comment. However, changes have been made as indicated in the “Explanation of Additional Changes” for this section.

COMMENT: Remove subsections (33)(A), (B) and (C) entirely. As related to this section, the statute requires one (1) of two (2) requirements. If the person has been placed in the facility by the Department of Mental Health (DMH), the services to be provided are included in the contract. Other services are already provided by other DMH contractors and serve the residents by coming to the facility. The second type of resident is one (1) who is not placed by DMH and therefore the assisted living facility legislation makes it clear that the facility (section 198.073.4(1), RSMo) shall provide “services to meet the needs of the resident as documented in a written contract.”

RESPONSE: The department disagrees with this comment. The facility has healthcare oversight for all residents and their care. No changes have been made to the rule as a result of this comment.

COMMENT: Eliminate subsection (B) of section (33). This goes beyond the scope of statute. Furthermore, subsections (33)(A) and (B) are case worker services for which DMH already pays Citizens Memorial Health Care facility (CMHC) to come to our facilities and coordinate this care. Assisted living facilities do not have the ability nor the license to perform and make those assessments required in subsection (33)(A) since we are not licensed to practice medicine, nor diagnose mental health illnesses.

RESPONSE: The department disagrees with this comment. The facility has healthcare oversight for all residents and their care. No changes have been made to the rule as a result of this comment.

COMMENT: Eliminate section (35) and replace with current RCF II rules. These changes go beyond the intent of statute. This references hospital rules. The current long-term care rules have worked successfully and no bad outcomes have resulted from that rule.

RESPONSE: The department disagrees. Sections 192.138 and 192.139, RSMo require medical facilities and nursing homes to report contagious or infectious diseases. Section 192.139, RSMo requires that reporting requirements be in accordance with recommendations established by the federal Centers for Disease Control. No change has been made to the rule as a result of this comment.

COMMENT: Section (38) is overly broad and vague. The existing rule requires appropriate action and notification in situations where something actually happens: “a serious illness, accident, or death.” The proposed amendment actually requires the facility to act not only when such incidents actually occur, but also when there is the mere potential that they might occur. Requiring a facility to act when a behavior “may potentially pose a threat” would require the facility to respond, literally, to everything. All behavior may have the potential to pose a threat of injury or illness; this rule requires facilities to respond to behavior that is three (3) or four (4) steps away from actually causing a problem. Facilities cannot predict the future and should not be held responsible for divining the merely possible consequences of behavior. The imposition of such a standard would be unconstitutional. In the response provided by the department to our comments on this issue when first proposed last year, they stated, “Section (44) requires that the facility use reasonable judgment as to behaviors, which may potentially pose a threat. If a resident displays threatening behavior(s) toward him/herself or others, the facility should not wait until someone is harmed to notify a guardian or placement authority (which may be a parole officer, court or mental health provider, etc.).” The fact that the department used “display threatening” to describe “may potentially pose” demonstrates our

point. Based upon the department's response, it appears the department believes "Displays threatening" is synonymous with "may potentially pose a threat." They have different meanings.

RESPONSE: The department disagrees with this comment. Section (38) requires that the facility use reasonable judgment as to behaviors, which may potentially pose a threat. If a resident displays threatening behavior(s) toward him/herself or others, the facility should not wait until someone is harmed to notify a guardian or placement authority (which may be a parole officer, court or mental health provider, etc.) It is the department's position that such judgment constitutes protective oversight and that section 198.076, RSMo gives the department the authority to establish such a rule concerning the "health and welfare of residents" in assisted living facilities. No change has been made to the rule as a result of this comment. However, changes have been made as indicated in the "Explanation of Additional Changes" for this section.

COMMENT: Section (40) should be revised as ". . . medications shall not be administered by facility staff [used] unless a pharmacist..." In addition we believe including class II violation when previous rules were only a class II violation is arbitrary.

RESPONSE: The department disagrees with the recommended text changes. Additionally, the department disagrees with the recommended classification changes. The department believes that section (40) should be classified as a II/III rather than simply a III, since failure to examine unsealed medications could result in injury to a resident. No changes have been made to the rule as a result of this recommendation.

COMMENT: In subsection (44)(D) replace "licensed nurse" with "authorized facility medication staff member," as utilized in (44)(C) and (E) of this rule. we also believe this is in violation of state statute since the law allows many health care practitioners to provide the service in (44)(D).

RESPONSE: The department disagrees with this recommendation. Subsection (44)(D) relates to multiple doses and therefore requires at least a licensed nurse. No changes have been made to the rule as a result of this recommendation.

COMMENT: Conclude section (45) with first sentence following ". . . for the resident." Withholding personal property would be in violation of resident's rights.

RESPONSE: The department disagrees with this recommendation. Since this includes controlled substance medications, the authorization of a physician is required for release. No changes have been made to the rule as a result of this comment.

COMMENT: Add "or level I medication aide," after the word "technician" in subsection (48)(C).

RESPONSE AND EXPLANATION OF CHANGE: The department agrees and has amended subsection (48)(C) to include level I medication aides.

COMMENT: The Board of Nursing and Board of Healing Arts regulate the procedures these health care practitioners shall follow when administering medication and interacting with each other. The department has no authority to restrict a physician or nurse in administering medications based on a facility's "policy that provided recommendations and assessment parameters for the administration of such immunizations" as stated in paragraph (48)(F)1.

RESPONSE AND EXPLANATION OF CHANGE: The department has revised section (48) in order to clarify requirements for immunization screening requirements.

COMMENT: Why do immunizations have to be offered to the resident's designee or legally authorized representative as required by subparagraph (48)(F)1.B.?

RESPONSE AND EXPLANATION OF CHANGE: The depart-

ment agrees that subparagraph (48)(F)1.B. is unclear as worded. The department has revised this subparagraph for clarity.

COMMENT: The meaning of paragraph (48)(F)3. is not understood which makes it impossible to comply.

RESPONSE AND EXPLANATION OF CHANGE: The department agrees that paragraph (48)(F)3. required revision for clarification and has done so.

COMMENT: Revise first sentence of section (55) by replacing "registered nurse" with "licensed nurse," or any other health care practitioner who is licensed in the state which has a scope of practice including medication review. These rules should not confine these healthcare activities to exclude other practitioners allowed in their practice act by law to also conduct these services (for example, physicians and PAs).

RESPONSE: The department disagrees that a licensed practical nurse is able to make the required assessment to review residents' medication regimens. No changes have been made to the rule as the result of this comment. However, changes have been made as indicated in the "Explanation of Additional Changes" for this section.

COMMENT: Previous rule imposes a class II/III violation and section (58) moves the violation to a class I violation. This is a major difference when invoking a class I violation. This is arbitrary.

RESPONSE AND EXPLANATION OF CHANGE: The department agrees this statutory requirement should be classified as a Class II and has changed section (58) accordingly.

COMMENT: Subsection (59)(B) requires the facility to record behaviors that pose or have posed or could potentially result in injuries. This amendment is inappropriate. Requiring a facility to act when a behavior "may potentially pose a threat" would require the facility to respond, literally, to everything. Recording accidents that potentially could result in injury, but do not result in injury, would include circumstances such as a resident bumping into another resident, a chair, or a wall. Each of these could have resulted in an injury, but did not. This happens frequently. Place "." after the words "outside services."

RESPONSE: The department does not agree with the comment or with suggested text changes. The facility has healthcare oversight for all residents and their care. Section (38) of this rule requires that the facility use reasonable judgment as to behaviors, which may potentially pose a threat. Subsection (59)(B) requires that if a resident displays threatening behavior(s) toward him/herself or others, the facility must document that behavior in order to make it part of the resident's record for other staff and the resident's physician to be made aware of. It is the department's position that such judgment constitutes protective oversight and that section 198.076, RSMo gives the department the authority to establish such a rule concerning the "health and welfare of residents" in assisted living facilities. No change has been made to the rule as a result of this comment. However, changes have been made as indicated in the "Explanation of Additional Changes" for this section.

COMMENT: Subsection (62)(A) establishes an arbitrary minimum in light of the fact that the current rule allows for night shift to contain a 1 to 25 ratio and it has worked well for the tens of years that it has been in place. This rule already requires an evacuation standard for assisted living facilities that is more stringent, therefore, requiring a higher functioning resident, than RCF II standards. Previously licensed RCF IIs are allowed to a 1 to 25 ratio on night shifts, and believe the same should be allowed for assisted living facilities that choose not to care for people that cannot exit without minimal assistance. This provision is the major cost driver in this rule.

RESPONSE: The department disagrees that residents in assisted living facilities will necessarily be able to function at a higher level than

those in residential care facilities. These minimal standards reflect the higher acuity of residents allowed in an assisted living facility and also reflect the statutorily required social model of care setting, which requires the facility to provide care services based on abilities, desires, and functional needs of each resident delivered in a setting that promotes the dignity, individuality, privacy, independence, and autonomy of the individual. More staff will be required. 19 CSR 30-86.047(29)(B) requires, "Has 24 hour staff appropriate in numbers and with appropriate skills to provide such services." No change has been made to the rule as a result of this comment. However, changes have been made as indicated in the "Explanation of Additional Changes" for this section.

COMMENT: On-the-job training should be allowed for the training required by subsection (66)(A). People that reside in facilities licensed under 19 CSR 30-86.047 must be able to transfer independently without assistance to an exit, therefore, these residents need minimal assistance with transfer unless associated with bathing or toileting. These types of transfers currently are allowed in RCFs with on-the-job training and no classroom hours required.

RESPONSE: The department does not agree that the requirement should be exclusively on-the-job training. The acuity level of assisted living facility residents may be higher than in residential care. The Level I medication aide training course does not provide training regarding transferring residents. No change has been made to the rule as a result of this comment.

COMMENT: Barbara L. Miltenberger of Husch & Eppenberger, LLC., commented that paragraph (4)(J)4. defines actions that are not considered to be minimal assistance. This paragraph should also include "assistance to transfer from a bed or chair." In the event of a fire or disaster, anyone who requires assistance with transferring from a sitting to a standing position should be included in the persons who require more than minimal assistance.

RESPONSE: The department disagrees with this recommendation. Assisted living facility legislation, CCS HCS SCS SB 616, 93rd General Assembly, Second Regular Session (2006), does not restrict any assisted living facility from admitting or retaining an individual needing assistance of one (1) person to physically assist with transferring from bed to chair. Further, the intervention of assisting an individual from his or her bed to a wheelchair is a one (1)-time intervention and unlike the interventions listed in (4)(J)5., which are ongoing interventions. Assistance to traverse down stairs requires the staff member to remain with the individual while he or she descends the stairs. Assistance to open a door requires the staff person to go to the door with the resident. Assistance to propel a wheelchair requires the staff member to remain with the individual until he or she has evacuated the facility. These actions restrict the staff member from leaving that resident and assisting others. The intervention of assistance to transfer is completed once the individual is in his or her chair allowing the staff member to assist other residents. No change has been made to the rule as the result of this comment.

COMMENT: Section (8) states that the administrator may serve as an administrator in five (5) facilities within a fifty (50)-mile radius and licensed for no more than one hundred (100) residents total. Section (8) changes the current law allowing the same administrator to serve in only four (4) facilities in a thirty (30) mile radius, which has been the law since at least February 2004. The department has provided no rationale for why it will be safer for an administrator to be in more facilities a farther distance apart when all of the facilities may be providing care to residents with greater care needs who cannot exit the building with only minimal assistance. This proposed regulation flies in the face of common sense. Instead, it appears to be an arbitrary and capricious change to the detriment of the residents' safety.

This section fails to recognize the different acuity levels of residents who now may reside in assisted living facilities. Allowing an

administrator to serve in five (5) homes caring for residents who may be receiving hospice care, are bed-bound, require skilled nursing care, exhibit exit-seeking behaviors or require two (2) people for bathing and transferring, places all residents at great risk. Under this section, the administrator could be at a facility only one (1) day a week. The physical condition of residents in debilitated condition can decline significantly in a week. Regardless of the number of beds, an administrator should not be able to be the administrator of more than two (2) facilities if either facility takes residents who require the higher level of care such that the resident cannot exit the building with only minimal assist.

RESPONSE: The requirement allows multiple facilities in a thirty (30)-mile radius only, not a fifty (50)-mile radius. This mileage radius requirement has not changed from previous rule. The department does recognize the increased acuity level of the residents served by the assisted living facility, but has increased the number of facilities allowed in order to encourage smaller facilities, which are more home-like by virtue of size than large facilities. Additionally, despite increasing the number of allowed facilities, the total number of licensed beds has not increased. Lastly, the department has in 19 CSR 30-86.047(67) required that the administrator not be counted in staffing in a facility of more than sixty (60) residents. This requirement had been one hundred (100) residents for residential care facilities II. No change has been made to the rule as a result of this comment.

COMMENT: Subsection (29)(B) requires the assisted living facility to have twenty-four (24) hour staff appropriate in numbers with appropriate skills to provide such services. There is no provision in this section or any other that takes into account the acuity of the resident. This section fails to address even minimal staffing needs when the assisted living facility provides care for individuals receiving hospice care who are bed-bound and require skilled nursing services. When this ill-defined staffing standard is coupled with the lack of training for staff caring for residents with increased care needs, it is clear that the proposed regulation fails to provide sufficient protections to these residents. The department has offered no valid explanation why the previous standard of counting residents with greater needs as more than one (1) resident for staffing minimums is no longer appropriate or why the care needs of residents with cognitive, physical or other impairments do not need as great a protection now as they did before August 28, 2006. We urge the department to provide at least minimal direction to assisted living facilities on what staff is "appropriate" to care for these higher acuity residents.

RESPONSE: The department no longer has statutory authority to require the previous standard of counting every resident who requires more than minimal assistance as three (3) residents when determining staffing. Assisted living facility legislation, CCS HCS SCS SB 616, 93rd General Assembly, Second Regular Session (2006), which became effective August 28, 2006 specifically deleted the authority to count every impaired resident as three (3) residents when determining staffing. Staffing ratios are minimum standards. Assisted living facilities are required to meet the needs of residents. No change has been made to the rule as a result of this comment.

COMMENT: Paragraphs (29)(F)2-4. state that the assisted living facility shall complete a community based assessment conducted by an appropriately trained and qualified individual and that until July 1, 2009, an assisted living facility can use its own form if approved by the department. Under this section there is the potential of having three hundred fifty (350) different standards of assessment, i.e., one for every licensed assisted living facility. Not only will this create a heavy administrative burden on the department by preventing development of a uniform set of procedures to evaluate a uniform common assessment tool, it will create inconsistent results between similarly licensed facilities using a slightly different measure of compliance. Use of the uniform assessment tool created by the department will avoid both results. Thus, we believe that the department

should require the use of a standard, uniform assessment tool for all assisted living facilities. This would create a uniform standard and avoid confusing residents, families, staff, providers, and regulators. Furthermore, because the community assessment tool forms the basis for care provided in an assisted living facility, the tool must be comprehensive, uniform and universally required. The department has articulated no reason why it should take almost three (3) years to develop a community based assessment tool it will require all assisted living facilities to use when it has created one already.

In addition, this section does not identify who in the department will approve an individual assisted living facility's community based assessment tool. It is conceivable that the Regional Offices will be assigned this task. If so, there may be different standards throughout the state for these assessments. Since the assessments are the basis for the individual service plans and the platform for determining care, it is crucial that they are consistent throughout the state. This section should require a single form created by the department be used by all assisted living facilities.

**RESPONSE AND EXPLANATION OF CHANGE:** The department disagrees with this recommendation. Assisted living facility legislation, CCS HCS SCS SB 616, 93rd General Assembly, Second Regular Session (2006), does not give the department authority to require all assisted living facilities to use the same community based assessment tool. An individual in the department's Planning and Development unit is charged with reviewing assessment tools. The department does not believe this needs to be designated in rule. The department has revised section (29) in order to clarify requirements regarding the community based assessment tool.

**COMMENT:** Paragraph (29)(G)3. states that for residents who require hospice care, the individual service plan shall outline the care requirements and coordination of care. This section does not make any additional requirements of the assisted living facility regarding hospice care. Assisted living facilities may admit or retain residents who have elected hospice care and who may be bed-bound or require skilled nursing care. Assisted living facility legislation page 12, lines 194-198. A hospice provider generally has a nurse and an aide care for the resident on a routine basis, but it could be only once or twice a week, and only for short periods of time. A hospice service does not provide around-the-clock skilled nursing care. When this section is read in conjunction with section (47) of this proposed regulation, an assisted living facility might have only one (1) licensed nurse eight (8) hours a week, even though the assisted living facility could have up to thirty (30) residents receiving hospice services, including skilled nursing services and be bed-bound. There is no provision in this section or any other that addresses this area that is of critical concern for these hospice care residents. The department gives no guidance as to who is responsible for care between the hospice provider and the assisted living facility. The assisted living facility must be responsible by specific regulation for assuring that all third party providers are providing the care required. Merely alluding to care that may be provided by a third party provider in the resident's individual service plan is not sufficient notice to the assisted living facilities of their specific obligations for assuring appropriate care is provided for all of the residents' needs.

Hospice residents often have reduced nutrition and hydration, leading to skin breakdown and poor oral hygiene. This section and regulation fail to include any additional training to the assisted living facility staff that currently provide care to residents who are fairly independent in their activities of daily living, including feeding themselves. This section does not address training regarding turning the immobile resident every two (2) hours, to assess the resident's mouth for cracked lips and sores; and how to position the resident to safely provide food and water.

The only reference to hospice, other than the ability to admit or retain hospice residents who require skilled care and who are immobile, is found in the section. There must be some regulations addressing what care is expected of the assisted living facility when

it agrees to accept or retain a resident on hospice. If the resident requires skilled nursing care, the assisted living facility must provide that care or be responsible for assuring that the care is provided. Without minimal standards, the persons at the end of their lives may suffer needlessly. There should be a more specific section relating only to hospice residents that requires additional staffing, training, and addressing how the residents' additional care and safety needs (such as how to safely evacuate a bed-bound patient) will be addressed by assisted living facilities. Without such minimal standards, these residents who are in the last stages of their lives will be placed in greater danger of inadequate care and at a greater risk of injury or death in the event of a fire or disaster.

Also, the regulations should specify that any assisted living facility with more than thirty percent (30%) occupancy by residents who are unable to evacuate unassisted or with only minimal assistance or with more than five percent (5%) of residents on hospice should be surveyed with a full survey, not an interim survey, at least every six (6) months to assure these vulnerable, elderly persons receive appropriate care.

Regulations addressing these issues are vital to the safety of the elderly residing in the assisted living facilities providing a higher level of care than was provided prior to August 28, 2006.

**RESPONSE:** Paragraph (29)(G)3. requires for residents who require hospice care, the individual service plan shall outline the care requirements and coordination of care. The department disagrees that this rule does not address further the needs of residents receiving licensed hospice care. Section (11), subsection (29)(A), sections (31) and (37) provide additional requirements. Additionally, the staffing requirements and ratios at section (62) are minimum requirements. Assisted living facilities must staff according to individualized needs of residents. The department does not have statutory authority to specify two (2) full inspections yearly based only on the fact that the assisted living facility has more than thirty percent (30%) occupancy by residents who require more than minimal assistance to evacuate or based on more than five percent (5%) census being residents who have elected to use licensed hospice care. No change has been made to the rule as a result of these comments.

**COMMENT:** Subsection (29)(H) requires assisted living facilities to develop and implement a plan to protect the rights, privacy, and safety of all residents. Nowhere in this section or any other section is addressed the responsibility of the assisted living facility to coordinate and evaluate care provided by outside services if the assisted living facility cannot provide the services, but the resident and facility agree the resident can remain in the facility. For example, the attorney-in-fact agrees that a resident with dementia should stay in the assisted living facility and agrees that a home health agency person will assist the resident in feeding because the resident is very slow or gets distracted and needs encouragement. The proposed regulation does not provide for any oversight of the contracted agency personnel or how the assisted living facility will assure that the care is provided. This omission is especially egregious when the resident elects hospice care. Without such safeguards, the resident may go without necessary care and services. Such safeguards must be added to the proposed regulation.

**RESPONSE:** The department does not agree with this comment. Section (31) requires that the physician also agree the resident's needs can be met in the facility. Section (11) requires that the facility shall not admit or continue to care for residents whose needs cannot be met. If necessary services cannot be obtained in or by the facility, the resident shall be promptly referred to appropriate outside resources or discharged from the facility. Additionally, subsection (29)(A) requires, "The facility may admit or retain an individual for residency in an assisted living facility only if the individual does not require hospitalization or skilled nursing placement as defined in this rule, and only if the facility: (A) Provides for or coordinates oversight and services to meet the needs, the social and recreational preferences in accordance with the individualized service plan of the resident as documented in a written contract signed by the resident, or



legal representative of the resident.” No changes have been made to the rule as a result of this comment.

**COMMENT:** Section (47) also states that the facility shall employ a licensed nurse eight (8) hours per week for every thirty (30) residents to monitor each resident’s condition and medication. This section bases the hours that a licensed nurse must be in the assisted living facility on the number of residents in the facility. However, this does not take into account the acuity of the residents. For example, an assisted living facility could have thirty (30) residents who receive hospice care and still have only one (1) licensed nurse employed only eight (8) hours per week. A method to better protect the public’s welfare and safety would be to require that the licensed nurse’s hours be based on the care and services required by the resident, such as requiring any assisted living facility that accepts or retains a resident with skin breakdown greater than a Stage II, who is bed-bound or who requires skilled nursing services shall have a licensed nurse on staff forty (40) hours per week or have arrangements with an outside agency to have a licensed nurse in the facility, not just on call, forty (40) hours per week. The staffing hours of a licensed nurse should increase with the number of residents with a higher acuity. If assisted living facility residents elect hospice care and need skilled nursing care, and the assisted living facility agrees to admit or retain the residents, the assisted living facility must be required to provide or arrange for the necessary skilled nursing care. Otherwise, these assisted living facility residents’ health and safety will be put at great risk and harm.

**RESPONSE:** The department does not agree with this comment. The staffing ratios provide minimum requirements. Assisted living facilities are required by rule to staff according to the individualized needs of the residents. No change has been made to the rule as a result of this comment. However, changes have been made as indicated in the “Explanation of Additional Changes” for this section.

**COMMENT:** Section (47) allows level I medication aides to provide medications in an assisted living facility, regardless of the acuity of the residents in the facility. Thus, this section allows level I medication aides to provide medications to residents with co-morbid disease processes, who require hospice and skilled care. The purpose of the Level I Medication Aide Training Program was to “prepare individuals for employment as level I medication aides in residential care facilities (RCFs) I and II. The program shall be designed to teach skills and medication administration of nonparenteral medications in order to qualify students to perform this procedure only in RCFs I and II in Missouri.” 19 CSR 30-84.030(1) (emphasis added). 19 CSR 30-84.030 was effective January 30, 1999. It was drafted when residents in RCFs I and II did not require the higher level of care required by residents allowed to be admitted or retained in assisted living facilities under this section and the proposed rule. As noted previously in this letter, 19 CSR 30-84.030 as amended does not contain any substantive changes to the course curriculum to address the increased acuity of the assisted living facility residents. Until such time as the program is revised to address the heightened medical needs of the residents who could be living in assisted living facilities, we believe it is prudent for the department to require that any assisted living facility taking the higher care residents be required to use a certified medication technician (as is required in skilled nursing facilities and who received more extensive training) for those residents.

**RESPONSE:** The department does not agree with this comment. The staffing ratios are minimum requirements. Assisted living facilities are required by rule to staff according to the individualized needs of the residents. Both level I medication aides and certified medication technicians are allowed to administer only those types of medications for which they have been trained in the department approved level I medication aide and certified medication technician courses respectively. Level I medication aides and certified medication aides administer medications under the license of the licensed nurse. Since facility is required to staff according to residents’

needs, the facility is required to have licensed nursing staff available to administer medications for which certified staff are not appropriately trained to administer. No change has been made to the rule as a result of this comment.

**COMMENT:** Section (62) provides minimum staffing standard that is only slightly higher than the standards for an RCF (from 7 a.m. to 2 p.m. the hours are exactly the same)(compare 19 CSR 30-86.043(24)). This section has a catch-all phrase that these minimum standards may not meet the needs of residents as outlined in their assessments and individualized service plans. Since this proposed regulation also applies to residents who cannot safely exit the building with only minimal assistance, it is clear that as written, the minimal standards will not meet the current regulation that is being amended. In the current regulation, any resident is counted as three (3) residents to determine the facility’s staffing needs. This staffing requirement made sense from a safety perspective because it recognized that more staff would be needed to assist residents out of the building in the event of a fire or disaster.

**RESPONSE:** The department disagrees with this comment. Assisted living facility legislation, CCS HCS SCS SB 616, 93rd General Assembly, Second Regular Session (2006), which became effective August 28, 2006 specifically deleted the authority to count each impaired resident as three (3) residents when determining staffing. Staffing ratios are minimum standards and may not meet the needs of residents. Assisted living facilities are required by rule to meet the needs of residents. No change has been made to the rule as a result of this comment. However, changes have been made as indicated in the “Explanation of Additional Changes” for this section.

**COMMENT:** No rationale has been provided as to why it is safe now to reduce the required minimal staffing from what the department previously proposed as minimal staffing standards for facilities caring for residents who could not safely evacuate the building with only minimal assistance. In fact, in its initial draft of this section and proposed regulation, the department included the requirement that every resident who needed more than minimal assistance to evacuate the building had to be counted as three (3) residents. This arbitrary change in the regulation goes against common sense and safety concerns, since it is expected that more facilities will be taking a greater number of residents who cannot exit the building safely unassisted. This change is inviting disaster to Missouri’s most vulnerable citizens.

Those residents with the higher care needs should be counted as more than a typical person who is independent in most of his or her activities. The purpose of minimal standards is to provide a baseline for enough staff to address the needs of those residents requiring a higher level of care. Assisted living facilities will increase the acuity of their residents without any increase in staffing under the vague and nebulous standard that “these minimum standards may not meet the needs of residents as outlined in their assessments and individualized service plans.” Without minimal standards that address those residents with heightened care needs, residents and families, let alone the department, cannot be assured that an assisted living facility will have sufficient staff to virtually eliminate any current regulatory requirements addressing the heightened care and safety needs of these residents. That is wrong and that the residents with higher needs should be counted as three (3) for minimal staffing requirements.

**RESPONSE:** The department disagrees with this comment. Assisted living facility legislation, CCS HCS SCS SB 616, 93rd General Assembly, Second Regular Session (2006), which became effective August 28, 2006 specifically deleted the authority to count each impaired resident as three (3) residents when determining staffing. Staffing ratios are minimum standards and may not meet the needs of residents. Assisted living facilities are required by rule to meet the needs of residents. No change has been made to the rule as a result of this comment.

COMMENT: Section (63) provides minimum orientation standards. The requirement that staff be trained on a social model of care is only one (1) of ten (10) items to be covered in the two (2)-hour orientation. Even though the statute mandates that care be provided on this model rather than the medical model, the section relegates approximately twelve (12) minutes of training to this issue (the last of the ten (10) items listed in the orientation requirements). This minimal training is not sufficient to educate staff to a completely new model of care.

RESPONSE: The department disagrees with this recommendation. The facility is required to provide a social model of care. This person-centered care approach will require training, which should be based on the specific needs of staff. If staff require additional training, then the facility will be expected to provide it. No change has been made to the rule as a result of this comment.

COMMENT: Section (64) requires only three (3) hours of additional training for those staff who will provide direct care to residents who have dementia or cognitive impairment. As with the reduction in the staffing standards, this section greatly reduces the current training requirements of twenty-four (24) hours of training (classroom and on-the-job) on how to care for residents with dementia to three (3). The three (3) hours of training must include an overview of mentally confused residents, communicating with persons with dementia, behavior management, promoting independence in activities of daily living, techniques for creating a safe, secure and socially oriented environment, provision of structure, stability and sense of routine for residents based on their needs, and understanding and dealing with family issues. Again, no rationale has been offered to support this change in regulation and why all these subjects can now be taught sufficiently in three (3) hours when previously it required twenty-four (24). This section also removes the provision for on-the-job training, an essential component for learning to care for persons with dementia.

Furthermore, assisted living facilities will now be able to accept residents who are physically or otherwise impaired, in addition to mental impairment that prevents them from evacuating the building. There are no training requirements at all on caring for residents with these conditions. This section does not address the training necessary to care for residents with more significant care needs. Hence, rather than addressing, it minimizes it. The department has not articulated any reason why additional staffing and staff training are not necessary for residents who, because of mental, physical or other impairment, cannot safely evacuate an assisted living facility with only minimal assistance. Additional training must be required for other conditions that may impair safe evacuation.

RESPONSE: The department disagrees with this comment. Assisted living facility legislation, CCS HCS SCS SB 616, 93rd General Assembly, Second Regular Session (2006), which became effective August 28, 2006 specifically deleted the previously required twenty-four (24) hours of training within the first thirty (30) days of employment. The department has included specific training requirements for dementia specific training and has required transfer training. No changes have been made to the rule as a result of this comment.

COMMENT: Subsection (66)(A) states that the facility shall ensure that all staff responsible for transferring residents shall be appropriately trained in transfer techniques. However, this section and the other section of the proposed regulation fail to address how often assisted living facility staff should assist residents requiring the assistance of two (2) to transfer. These residents may therefore be put in their chair in their room early in the morning and not have any pressure relief for the whole day. The regulation should be revised to address this concern.

RESPONSE: The department disagrees with this comment. Please refer to section (37) regarding care to meet the needs of residents and subsection (29)(C) regarding emergency evacuation. Section (66)

requires minimum training. Facilities are required by (62)(A) to have adequate number and type of personnel and by subsection (29) (B) to have twenty-four (24) hour staff appropriate in numbers and with appropriate skills to provide such services. No changes have been made to the rule as a result of this comment.

COMMENT: The following scenario, which is legally possible under sections (62) through (66), demonstrates the inadequacy of this proposed regulation. Under the staffing ratios allowed in the proposed regulation, an assisted living facility could have twenty-two (22) residents with dementia and only one (1) staff person. If a fire developed, that one (1) staff person would be the only person to assist twenty-two (22) people who may not understand what the alarm means; may not know they need to get out of bed, put on shoes and exit the building; and not know where to go once they exit their rooms. And under the training requirements, this staff person may have had less than one (1) day's training on all of the following:

The department has provided no reason why there was a need to reduce the safety requirements for staffing and training. When these staffing and training requirements are compared to the staffing and staff training requirements that are removed from current regulation by these propose regulations (each person who cannot exit the building with only minimal assistance must count for three (3) people and twenty-four (24) hours classroom and on-the-job training solely on caring for residents with dementia), it is clear that these proposed regulations do not protect the public from immediate danger. The department has provided no reason why there was a need to reduce these safety requirements. In fact, earlier drafts of the proposed rule included the requirement that every resident who required more than minimal assistance to evacuate the building had to be counted as three (3) residents. Those former standards should be retained.

RESPONSE: Assisted living facility legislation, CCS HCS SCS SB 616, 93rd General Assembly, Second Regular Session (2006), which became effective August 28, 2006 specifically deleted the authority to count every impaired resident as three (3) residents when determining staffing. Staffing ratios are minimum standards. Assisted living facilities are required by rule to meet the needs of residents. No change has been made to the rule as a result of this comment.

COMMENT: Carroll Rodriguez, Public Policy Director for Missouri Coalition of Alzheimer's Association Chapters commented that transfer and discharge procedures for all licensed facilities are addressed in 19 CSR 30-82.050 and calls for important consumer protections such as written notice of the reason for discharge or transfer and the right of the resident to an appeal. We recommend adding language referencing 19 CSR 30-82.050 to section (11).

RESPONSE: The department disagrees with the recommendation to reference 19 CSR 30-82.050. The intent of 19 CSR 30-86.047(11) is to require that facilities do not continue to retain residents whose needs cannot be met. Both 19 CSR 30-82.050 Transfer and Discharge Procedures and 19 CSR 30-88.010 Resident Rights, contain transfer and discharge requirements and apply to assisted living facilities. No change has been made to the rule as a result of this comment.

COMMENT: At a minimum, language should be added to section (25) requiring that at least one (1) staff, eighteen (18) or older, shall be on duty and awake at all times and be certified in cardiopulmonary resuscitation (CPR).

RESPONSE AND EXPLANATION OF CHANGE: The department agrees with the recommendation to revise section (25) to include: "At least one (1) staff member, age eighteen (18) or older, shall be on duty and awake at all times." The department does not agree with the recommendation to require at least one (1) cardiopulmonary resuscitation (CPR) certified employee to be on duty at all times. The facility is required to provide information to residents and their legal representatives regarding the services that can be provided by the facility. Additionally, the facility can meet the needs of

individuals wishing to have CPR by promptly contacting emergency medical services.

**COMMENT:** Language should be added to subsection (29)(G) that clarifies that the individualized service plan (ISP) is to be developed based on information from the community-based assessment and the physical examination by a licensed physician as required by section 198.073.7, RSMo CCS HCS SCS SB 616, 93rd General Assembly, Second Regular Session (2006).

**RESPONSE:** The department disagrees with the need for changes. 19 CSR 30-86.047(29)(G) already requires the ISP to be based on information obtained in the community based assessment. Section 198.073.7, RSMo (CCS HCS SCS SB 616, 93rd General Assembly, Second Regular Session (2006)), requires an admission physical examination by a licensed physician and allows up to ten (10) days after admission for documentation of the physical examination to be on file. No change has been made to the rule as a result of this comment. However, changes have been made as indicated in the "Explanation of Additional Changes" for this section.

**COMMENT:** Section (63) requires only two (2) hours of orientation training for assisted living facilities, an inadequate allocation of time to cover the comprehensive list of topics. Additionally, no annual in-service training is required. The department should:

- Strike the required hours for orientation training and replace with language that facilities shall have a written plan for providing orientation training to new employees that includes at a minimum, training on the topics outlined in this rule. Orientation training should be completed prior to the first day of direct client contact;

- Add language requiring that annual in-service training that includes specific topics, including those outlined for orientation training. Consideration should be given to requiring ten (10) hours of annual in-service training, the same amount currently required of in-home service providers;

- Add to the list of training topics, understanding the common characteristics and conditions of the resident population served and understanding dementia;

- Add to the list of training topics philosophy of assisted living facilities.

**RESPONSE AND EXPLANATION OF CHANGE:** The department disagrees in part with this recommendation. This section is specific to orientation training. The department has added specific training requirements for dementia specific training. The department has also required a specific number of orientation hours. This required number is a minimum requirement. Assisted living facility legislation, CCS HCS SCS SB 616, 93rd General Assembly, Second Regular Session (2006), which became effective August 28, 2006 specifically deleted the previously required twenty-four (24) hours of training within the first thirty (30) days of employment, which also included the on-the-job training hours related to the special needs, care and safety of residents with dementia. The department has added the statutorily required on-going training requirement to section (64).

**COMMENT:** Recommend adding requirements for annual in-service training regarding needs, care and safety of individuals with Alzheimer's disease and related dementias in section (64).

**RESPONSE:** The department disagrees with this recommendation. Section (64) is specific to orientation requirements. No change has been made to the rule as a result of this comment.

**COMMENT:** Additionally, there is a technical error in section (64) referencing section (65) instead of (63).

**RESPONSE AND EXPLANATION OF CHANGE:** The department agrees with this comment and has corrected the incorrect reference in section (64) in order to reference section (63).

**EXPLANATION OF ADDITIONAL CHANGES:** At the January 17, 2007 meeting, JCAR noted the department failed to respond to two (2) comments regarding the proposed rule. In accordance with the committee's direction, the department has added the comment and responded accordingly. Jorgen Schlemeirer and above-listed Missouri Assisted Living Association members commented that the responsibility for signing telephone and other verbal physician orders should be the physician's responsibility and not the facility's responsibility as stated in subsection (48)(E). In response to this comment and the JCAR meeting comments, the department revised subsections (48)(E) and (59)(C). Additionally, the committee noted that the department failed to respond to a comment from Mr. Schlemeirer and MALA members regarding section (37) regarding that residents receive care according to the ISP. The department has revised that section in response to those comments and comments at the JCAR meetings.

### **19 CSR 30-86.047 Administrative, Personnel and Resident Care Requirements for Assisted Living Facilities**

(4) Definitions. For the purpose of this rule, the following definitions shall apply:

(H) Individualized service plan (ISP)—Shall mean the planning document prepared by an assisted living facility, which outlines a resident's needs and preferences, services to be provided, and the goals expected by the resident or the resident's legal representative in partnership with the facility;

(I) Keeping residents in place—Means maintaining residents in place during a fire in lieu of evacuation where a building's occupants are not capable of evacuation, where evacuation has a low likelihood of success, or where it is recommended in writing by local fire officials as having a better likelihood of success and/or a lower risk of injury;

(J) Minimal assistance—

1. Is the criterion which determines whether or not staff must develop and include an individualized evacuation plan as part of the resident's service plan;

2. Minimal assistance may be the verbal intervention that staff must provide for a resident to initiate evacuating the facility;

3. Minimal assistance may be the physical intervention that staff must provide, such as turning a resident in the correct direction, for a resident to initiate evacuating the facility;

4. A resident needing minimal assistance is one who is able to prepare to leave and then evacuate the facility within five (5) minutes of being alerted of the need to evacuate and requires no more than one (1) physical intervention and no more than three (3) verbal interventions of staff to complete evacuation from the facility;

5. The following actions required of staff are considered to be more than minimal assistance:

- A. Assistance to traverse down stairways;

- B. Assistance to open a door; and

- C. Assistance to propel a wheelchair;

(L) Significant change—Means any change in the resident's physical, emotional or psychosocial condition or behavior that will not normally resolve itself without further intervention by staff or by implementing standard disease-related clinical interventions, that has an impact on more than one (1) area of the resident's health status, and requires interdisciplinary review or revision of the individualized service plan, or both;

(6) The operator shall be responsible to assure compliance with all applicable laws and regulations. The administrator shall be fully authorized and empowered to make decisions regarding the operation of the facility and shall be held responsible for the actions of all employees. The administrator's responsibilities shall include oversight of residents to assure that they receive care as defined in the individualized service plan. II/III

(7) The administrator cannot be listed or function in more than one (1) licensed facility at the same time unless he or she serves no more than five (5) facilities within a thirty (30)-mile radius and licensed to serve in total no more than one hundred (100) residents, and the administrator has an individual designated as the daily manager of each facility. However, the administrator may serve as the administrator of more than one (1) licensed facility if all facilities are on the same premises. II

(8) The administrator shall designate, in writing, a staff member in charge in the administrator's absence. If the administrator is absent for more than thirty (30) consecutive days, during which time he or she is not readily accessible for consultation by telephone with the delegated individual, the individual designated to be in charge shall be a currently licensed nursing home administrator. Such thirty (30)-consecutive-day absences may only occur once within any consecutive twelve (12)-month period. II/III

(9) The facility shall not care for more residents than the number for which the facility is licensed. However, if the facility operates a non-licensed adult day care program for four (4) or fewer participants within the licensed facility, the day care participants shall not be included in the total facility census. Adult day care participants shall be counted in staffing determination during the hours the day care participants are in the facility. II/III

(10) The facility shall not admit or continue to care for residents whose needs cannot be met. If necessary services cannot be obtained in or by the facility, the resident shall be promptly referred to appropriate outside resources or discharged from the facility. I/II

(11) All personnel responsible for resident care shall have access to the legal name of each resident, name and telephone number of resident's physician, resident's designee or legally authorized representative in the event of emergency. II/III

(12) All persons who have any contact with the residents in the facility shall not knowingly act or omit any duty in a manner that would materially and adversely affect the health, safety, welfare or property of residents. No person who is listed on the department's Employee Disqualification List (EDL) shall work or volunteer in the facility in any capacity whether or not employed by the operator. For the purpose of this rule, a volunteer is an unpaid individual formally recognized by the facility as providing a direct care service to residents. The facility is required to check the EDL for individuals who volunteer to perform a service for which the facility might otherwise have to hire an employee. The facility is not required to check the EDL for individuals or groups such as scout groups, bingo or sing-along leaders. The facility is not required to check the EDL for an individual such as a priest, minister or rabbi visiting a resident who is a member of the individual's congregation. However, if a minister, priest or rabbi serves as a volunteer facility chaplain, the facility is required to check to determine if the individual is listed on the EDL since the individual would have contact with all residents. I/II

(13) Prior to allowing any person who has been hired in a full-time, part-time or temporary employee position to have contact with any residents the facility shall, or in the case of temporary employees hired through or contracted from an employment agency, the employment agency shall prior to sending a temporary employee to a provider:

(A) Request a criminal background check for the person, as provided in section 43.540, RSMo. Each facility must maintain in its record documents verifying that the background checks were requested and the nature of the response received for each such request.

1. The facility must ensure that any applicant or person hired or retained who discloses prior to the receipt of the criminal background check that he/she has been convicted of, pled guilty or pled *nolo contendere* to in this state or any other state or has been found guilty of a crime, which if committed in Missouri would be a Class A or B

felony violation of Chapter 565, 566, or 569, RSMo or any violation of subsection 198.070.3, RSMo or of section 568.020, RSMo, will not have contact with residents. II/III

2. Upon receipt of the criminal background check, the facility must ensure that if the criminal background check indicates that the person hired or retained by the facility has been convicted of, pled guilty or pled *nolo contendere* to in this state or any other state or has been found guilty of a crime, which if committed in Missouri would be a Class A or B felony violation of Chapter 565, 566, or 569, RSMo or any violation of subsection 198.070.3, RSMo or of section 568.020, RSMo, the person will not have contact with residents unless the facility obtains verification from the department that a good cause waiver has been granted and maintains a copy of the verification in the individual's personnel file. II/III

(B) Make an inquiry to the department, whether the person is listed on the employee disqualification list as provided in section 660.315, RSMo. The inquiry may be made via Internet at [www.dhss.mo.gov/EDL/](http://www.dhss.mo.gov/EDL/). II/III

(C) If the person has registered with the department's Family Care Safety Registry (FCSR), the facility may utilize the Registry in order to meet the requirements of subsections (13)(A) and (13)(B) of this rule. The FCSR is available via Internet at [www.dhss.mo.gov/FCSR/BackgroundCheck.html](http://www.dhss.mo.gov/FCSR/BackgroundCheck.html). II/III

(D) For persons for whom the facility has contracted for professional services (i.e., plumbing or air conditioning repair) that will have contact with any resident, the facility must require a criminal background check or ensure that the individual is accompanied by a facility staff person while in the facility. II/III

(14) A facility shall not employ as an agent or employee who has access to controlled substances any person who has been found guilty or entered a plea of guilty or *nolo contendere* in a criminal prosecution under the laws of any state or of the United States for any offense related to controlled substances. II

(A) A facility may apply in writing to the department for a waiver of this section of this rule for a specific employee.

(B) The department may issue a written waiver to a facility upon determination that a waiver would be consistent with the public health and safety. In making this determination, the department shall consider the duties of the employee, the circumstances surrounding the conviction, the length of time since the conviction was entered, whether a waiver has been granted by the department's Bureau of Narcotics and Dangerous Drugs pursuant to 19 CSR 30-1.034 when the facility is registered with that agency, whether a waiver has been granted by the federal Drug Enforcement Administration (DEA) pursuant to 21 CFR 1301.76 when the facility is also registered with that agency, the security measures taken by the facility to prevent the theft and diversion of controlled substances, and any other factors consistent with public health and safety. II

(15) The facility must develop and implement written policies and procedures which require that persons hired for any position which is to have contact with any patient or resident have been informed of their responsibility to disclose their prior criminal history to the facility as required by section 660.317.5, RSMo. The facility must also develop and implement policies and procedures which ensure that the facility does not knowingly hire, after August 28, 1997, any person who has or may have contact with a patient or resident, who has been convicted of, pled guilty or *nolo contendere* to, in this state or any other state, or has been found guilty of any Class A or B felony violation of Chapter 565, 566 or 569, RSMo, or any violation of subsection 3 of section 198.070, RSMo, or of section 568.020, RSMo. II/III

(16) All persons who have or may have contact with residents shall at all times when on duty or delivering services wear an identification badge. The badge shall give their name, title and, if applicable, the status of their license or certification as any kind of health care professional. This rule shall apply to all personnel who provide services to any resident directly or indirectly. III

(17) Personnel who have been diagnosed with a communicable disease may begin work or return to duty only with written approval by a physician or physician's designee, which indicates any limitations. II

(18) The administrator shall be responsible to prevent an employee known to be diagnosed with communicable disease from exposing residents to such disease. The facility's policies and procedures must comply with the department's regulations pertaining to communicable diseases, specifically 19 CSR 20-20.010 through 19 CSR 20-20.100. II /III

(19) The facility shall screen residents and staff for tuberculosis as required for long-term care facilities by 19 CSR 20-20.100. II

(20) The administrator shall maintain on the premises an individual personnel record on each facility employee, which shall include the following:

- (A) The employee's name and address;
- (B) Social Security number;
- (C) Date of birth;
- (D) Date of employment;
- (E) Documentation of experience and education including for positions requiring licensure or certification, documentation evidencing competency for the position held, which includes copies of current licenses, transcripts when applicable, or for those individuals requiring certification, such as certified medication technicians, level I medication aides and insulin administration aides; printing the Web Registry search results page available at [www.dhss.mo.gov/cnaregistry](http://www.dhss.mo.gov/cnaregistry) shall meet the requirements of the employer's check regarding valid certification;
- (F) References, if available;
- (G) The results of background checks required by section 660.317, RSMo; and a copy of any good cause waiver granted by the department, if applicable;
- (H) Position in the facility;
- (I) Written statement signed by a licensed physician or physician's designee indicating the person can work in a long-term care facility and indicating any limitations;
- (J) Documentation of the employee's tuberculin screening status;
- (K) Documentation of what the employee was instructed on during orientation training; and
- (L) Reason for termination if the employee was terminated due to abuse or neglect of a resident, residents' rights issues or resident injury. III

(21) Personnel records shall be maintained for at least two (2) years following termination of employment. III

(22) There shall be written documentation maintained in the facility showing actual hours worked by each employee. III

(23) No one individual shall be on duty with responsibility for oversight of residents longer than eighteen (18) hours per day. I/II

(24) Employees who are counted in meeting the minimum staffing ratio and employees who provide direct care to the residents shall be at least sixteen (16) years of age. One (1) employee at least eighteen (18) years of age shall be on duty at all times. II

(25) Each facility resident shall be under the medical supervision of a physician licensed to practice in Missouri who has been informed of the facility's emergency medical procedures and is kept informed of treatments or medications prescribed by any other professional lawfully authorized to prescribe medications. III

(26) The facility shall ensure that each resident being admitted or readmitted to the facility receives an admission physical examination by a licensed physician. The facility shall request documentation of

the physical examination prior to admission but must have documentation of the physical examination on file no later than ten (10) days after admission. The physical examination shall contain documentation regarding the individual's current medical status and any special orders or procedures to be followed. If the resident is admitted directly from an acute care or another long-term care facility and is accompanied on admission by a report that reflects his or her current medical status, an admission physical shall not be required. III

(27) Residents under sixteen (16) years of age shall not be admitted. III

(28) The facility may admit or retain an individual for residency in an assisted living facility only if the individual does not require hospitalization or skilled nursing placement as defined in this rule, and only if the facility:

- (A) Provides for or coordinates oversight and services to meet the needs, the social and recreational preferences in accordance with the individualized service plan of the resident as documented in a written contract signed by the resident, or legal representative of the resident; II
- (B) Has twenty-four (24) hour staff appropriate in numbers and with appropriate skills to provide such services; II
- (C) Has a written plan for the protection of all residents in the event of a disaster such as tornado, fire, bomb threat or severe weather, including:
  1. Keeping residents in place;
  2. Evacuating residents to areas of refuge;
  3. Evacuating residents from the building if necessary; or
  4. Other methods of protection based on the disaster and the individual building design; I/II

(D) Completes a premove-in screening conducted as required by section 198.073.4(4), RSMo (CCS HCS SCS SB 616, 93rd General Assembly, Second Regular Session (2006)). II

(E) The premove-in screening shall be completed prior to admission with the participation of the prospective resident and be designed to determine if the individual is eligible for admission to the assisted living facility and shall be based on the admission restrictions listed at section (29) of this rule; II

(F) Completes a community based assessment conducted by an appropriately trained and qualified individual as defined in section (4) of this rule:

- 1. Time frame requirements for assessment shall be:
  - A. Within five (5) calendar days of admission; II
  - B. At least semiannually; and II
  - C. Whenever a significant change has occurred in the resident's condition, which may require a change in services. II
- 2. The facility shall use form MO 580-2835, Assessment for Admission To Assisted Living Facilities, (9-06), incorporated by reference, provided by the Department of Health and Senior Services, PO Box 570, Jefferson City, MO 65102-0570 and which is available to long-term care facilities at [www.dhss.mo.gov](http://www.dhss.mo.gov) or by telephone at (573) 526-8548. This rule does not incorporate any subsequent amendments or additions; or II

3. The facility may use another assessment form if approved in advance by the department; II

(G) Develops an individualized service plan (ISP), which means the planning document prepared by an assisted living facility which outlines a resident's needs and preferences, services to be provided, and goals expected by the resident or the resident's legal representative in partnership with the facility; II

(H) Develops and implements a plan to protect the rights, privacy, and safety of all residents and to protect against the financial exploitation of all residents; and II

(I) Complies with the dementia specific training requirements of subsection 8 of section 660.050, RSMo. II

(29) The facility shall not admit or continue to care for a resident who:

(A) Has exhibited behaviors that present a reasonable likelihood of serious harm to himself or herself or others; I/II

(B) Requires physical restraint as defined in this rule; II

(C) Requires chemical restraint as defined in this rule; II

(D) Requires skilled nursing services as defined in section 198.073.4, RSMo for which the facility is not licensed or able to provide; II

(E) Requires more than one (1) person to simultaneously physically assist the resident with any activity of daily living, with the exception of bathing and transferring; or II/III

(F) Is bed-bound or similarly immobilized due to a debilitating or chronic condition. II

(30) The requirements of subsections (29)(D), (E) and (F) shall not apply to a resident receiving hospice care, provided the resident, his or her legally authorized representative or designee, or both, and the facility, physician and licensed hospice provider all agree that such program of care is appropriate for the resident. II

(31) Programs and Services Requirements for Residents.

(A) The facility shall designate a staff member to be responsible for leisure activity coordination and for promoting the social model, multiple staff role directing all staff to provide routine care in a manner that emphasizes the opportunity for the resident and the staff member to enjoy a visit rather than simply perform a procedure. II/III

(B) The facility shall make available and implement self-care, productive and leisure activity programs which maximize and encourage the resident's optimal functional ability for residents. The facility shall provide person-centered activities appropriate to the resident's individual needs, preferences, background and culture. Individual or group activity programs may consist of the following:

1. Gross motor activities, such as exercise, dancing, gardening, cooking and other routine tasks;

2. Self-care activities, such as dressing, grooming and personal hygiene;

3. Social and leisure activities, such as games, music and reminiscing;

4. Sensory enhancement activities, such as auditory, olfactory, visual and tactile stimulation;

5. Outdoor activities, such as walking and field trips;

6. Creative arts; or

7. Other social, leisure or therapeutic activities that encourage mental and physical stimulation or enhance the resident's well-being. II/III

(C) Staff shall inform residents in advance of any organized group activity including the time and place of the activity. II/III

(32) Requirements for Facilities Providing Care to Residents Having Mental Illness or Mental Retardation Diagnosis.

(A) Each resident who exhibits mental and psychosocial adjustment difficulty(ies) shall receive treatment and services to address the resident's needs and behaviors as stated in the individualized service plan. I/II

(B) If specialized rehabilitative services for mental illness or mental retardation are required to enable a resident to reach and to comply with the individualized service plan, the facility shall ensure the required services are provided. II

(C) The facility shall maintain in the resident's record the most recent progress notes and personal plan developed and provided by the Department of Mental Health or designated administrative agent for each resident whose care is funded by the Department of Mental Health or designated administrative agent. III

(33) No facility shall accept any individual with a physical, cognitive, or other impairment that prevents the individual from safely evacuating the facility with minimal assistance unless the facility meets all requirements of section 198.073, RSMo (CCS HCS SCS SB 616,

93rd General Assembly, Second Regular Session (2006)) and those standards set forth in 19 CSR 30-86.045. I/II

(34) The facility shall follow appropriate infection control procedures. The administrator or his or her designee shall make a report to the local health authority or the department of the presence or suspected presence of any diseases or findings listed in 19 CSR 20-20.020, sections (1)-(3) according to the specified time frames as follows:

(A) Category I diseases or findings shall be reported to the local health authority or to the department within twenty-four (24) hours of first knowledge or suspicion by telephone, facsimile, or other rapid communication;

(B) Category II diseases or findings shall be reported to the local health authority or the department within three (3) days of first knowledge or suspicion;

(C) Category III—The occurrence of an outbreak or epidemic of any illness, disease or condition which may be of public health concern, including any illness in a food handler that is potentially transmissible through food. This also includes public health threats such as clusters of unusual diseases or manifestations of illness and clusters of unexplained deaths. Such incidents shall be reported to the local authority or to the department by telephone, facsimile, or other rapid communication within twenty-four (24) hours of first knowledge or suspicion. I/II

(35) Protective oversight shall be provided twenty-four (24) hours a day. For residents departing the premises on voluntary leave, the facility shall have, at a minimum, a procedure to inquire of the resident or resident's guardian of the resident's departure, of the resident's estimated length of absence from the facility, and of the resident's whereabouts while on voluntary leave. I/II

(36) Residents shall receive proper care as defined in the individualized service plan. I/II

(37) In case of behaviors that present a reasonable likelihood of serious harm to himself or herself or others, serious illness, significant change in condition, injury or death, staff shall take appropriate action and shall promptly attempt to contact the person listed in the resident's record as the legally authorized representative, designee or placement authority. The facility shall contact the attending physician or designee and notify the local coroner or medical examiner immediately upon the death of any resident of the facility prior to transferring the deceased resident to a funeral home. I/II

(38) The facility shall encourage and assist each resident based on his or her individual preferences and needs to be clean and free of body and mouth odor. II

(39) If the resident brings unsealed medications to the facility, the medications shall not be used unless a pharmacist, physician or nurse examines, identifies and determines the contents to be suitable for use. The person performing the identification shall document his or her review. II/III

(40) Self-control of prescription medication by a resident may be allowed only if approved in writing by the resident's physician and included in the resident's individualized service plan. A resident may be permitted to control the storage and use of nonprescription medication unless there is a physician's written order or facility policy to the contrary. Written approval for self-control of prescription medication shall be rewritten as needed but at least annually and after any period of hospitalization. II/III

(41) All medication shall be safely stored at proper temperature and shall be kept in a secured location behind at least one (1) locked door

or cabinet. Medication shall be accessible only to persons authorized to administer medications. II/III

(A) If access is controlled by the resident, a secured location shall mean in a locked container, a locked drawer in a bedside table or dresser or in a resident's private room if locked in his or her absence, although this does not preclude access by a responsible employee of the facility.

(B) Schedule II controlled substances shall be stored in locked compartments separate from non-controlled medications, except that single doses of Schedule II controlled substances may be controlled by a resident in compliance with the requirements for self-control of medication of this rule.

(C) Medication that is not in current use and is not destroyed shall be stored separately from medication that is in current use. II/III

(42) All prescription medications shall be supplied as individual prescriptions except where an emergency medication supply is allowed. All medications, including over-the-counter medications, shall be packaged and labeled in accordance with applicable professional pharmacy standards, state and federal drug laws. Labeling shall include accessory and cautionary instructions as well as the expiration date, when applicable, and the name of the medication as specified in the physician's order. Medication labels shall not be altered by facility staff and medications shall not be repackaged by facility staff except as allowed by section (43) of this rule. Over-the-counter medications for individual residents shall be labeled with at least the resident's name. II/III

(43) Controlled substances and other prescription and non-prescription medications for administration when a resident temporarily leaves a facility shall be provided as follows:

(A) Separate containers of medications for the leave period may be prepared by the pharmacy. The facility shall have a policy and procedure for families to provide adequate advance notice so that medications can be obtained from the pharmacy.

(B) Prescription medication cards or other multiple-dose prescription containers currently in use in the facility may be provided by any authorized facility medication staff member if the containers are labeled by the pharmacy with complete pharmacy prescription labeling for use. Original manufacturer containers of non-prescription medications, along with instructions for administration, may be provided by any authorized facility medication staff member.

(C) When medications are supplied by the pharmacy in customized patient medication packages that allow separation of individual dose containers, the required number of containers may be provided by any authorized facility medication staff member. The individual dose containers shall be placed in an outer container that is labeled with the name and address of the facility and the date.

(D) When multiple doses of a medication are required and it is not reasonably possible to obtain prescription medication labeled by the pharmacy, and it is not appropriate to send a container of medication currently in use in the facility, up to a twenty-four (24)-hour supply of each prescription or non-prescription medication may be provided by a licensed nurse in United States Pharmacopeia (USP) approved containers labeled with the facility name and address, resident's name, medication name and strength, quantity, instructions for use, date, initials of individual providing, and other appropriate information.

(E) When no more than a single dose of a medication is required, any authorized facility medication staff member may prepare the dose as for in-facility administration in a USP approved container labeled with the facility name and address, resident's name, medication name and strength, quantity, instructions for use, date, initials of person providing, and other appropriate information.

(F) The facility may have a policy that limits the quantity of medication sent with a resident without prior approval of the prescriber.

(G) Returned containers shall be identified as having been sent with the resident, and shall not later be returned to the pharmacy for reuse.

(H) The facility shall maintain accurate records of medications provided to and returned by the resident. II/III

(44) Upon discharge or transfer of a resident, the facility shall release prescription medications, including controlled substances, held by the facility for the resident when the physician writes an order for each medication to be released. Medications shall be labeled by the pharmacy with current instructions for use. Prescription medication cards or other containers may be released if the containers are labeled by the pharmacy with complete pharmacy prescription labeling. II/III

(45) Injections shall be administered only by a physician or licensed nurse, except that insulin injections may also be administered by a certified medication technician or level I medication aide who has successfully completed the state-approved course for insulin administration, taught by a department-approved instructor. Anyone trained prior to December 31, 1990, who completed the state-approved insulin administration course taught by an approved instructor shall be considered qualified to administer insulin in an assisted living facility. A resident who requires insulin, may administer his or her own insulin if approved in writing by the resident's physician and trained to do so by a licensed nurse or physician. The facility shall monitor the resident's condition and ability to continue self-administration. I/II

(46) The administrator shall develop and implement a safe and effective system of medication control and use, which assures that all residents' medications are administered by personnel at least eighteen (18) years of age, in accordance with physicians' instructions using acceptable nursing techniques. The facility shall employ a licensed nurse eight (8) hours per week for every thirty (30) residents to monitor each resident's condition and medication. Administration of medication shall mean delivering to a resident his or her prescription medication either in the original pharmacy container, or for internal medication, removing an individual dose from the pharmacy container and placing it in a small cup container or liquid medium for the resident to remove from the container and self-administer. External prescription medication may be applied by facility personnel if the resident is unable to do so and the resident's physician so authorizes. All individuals who administer medication shall be trained in medication administration and, if not a physician or a licensed nurse, shall be a certified medication technician or level I medication aide. I/II

(47) Medication Orders.

(A) No medication, treatment or diet shall be administered without an order from an individual lawfully authorized to prescribe such and the order shall be followed. II/III

(B) Physician's written and signed orders shall include: name of medication, dosage, frequency and route of administration and the orders shall be renewed at least every three (3) months. Computer generated signatures may be used if safeguards are in place to prevent their misuse. Computer identification codes shall be accessible to and used by only the individuals whose signatures they represent. Orders that include optional doses or include *pro re nata* (PRN) administration frequencies shall specify a maximum frequency and the reason for administration. II/III

(C) Telephone and other verbal orders shall be received only by a licensed nurse, certified medication technician, level I medication aide or pharmacist, and shall be immediately reduced to writing and signed by that individual. A certified medication technician or level I medication aide may receive a telephone or other verbal order only for a medication or treatment that the technician or level I medication aide is authorized to administer. If a telephone or other verbal order is given to a medication technician or level I medication aide, an initial dosage shall not be administered until the order has been reviewed by telephone, facsimile or in person by a licensed nurse or

pharmacist. The review shall be documented by the reviewer co-signing the telephone or other verbal order. II

(D) The review shall be documented by the licensed nurse's or pharmacist's signature within seven (7) days. III

(E) The facility shall submit to the physician written versions of any oral or telephone orders within four (4) days of the giving of the oral or telephone order. III

(F) Influenza and pneumococcal polysaccharide immunizations may be administered per physician-approved facility policy after assessment for contraindications—

1. The facility shall develop a policy that provides recommendations and assessment parameters for the administration of such immunizations. The policy shall be approved by the facility medical director for facilities having a medical director, or by each resident's attending physician for facilities that do not have a medical director, and shall include the requirements to:

A. Provide education to each resident or the resident's designee or legally authorized representative regarding the potential benefits and side effects of the immunization; II/III

B. Offer the immunization to the resident or obtain permission from the resident's designee or legally authorized representative when the immunization is medically indicated unless the resident has already been immunized as recommended by the policy; II/III

C. Provide the opportunity to refuse the immunization; and II/III

D. Perform an assessment for contraindications; II/III

2. The assessment for contraindications and documentation of the education and opportunity to refuse the immunization shall be dated and signed by the nurse performing the assessment and placed in the medical record; or

3. The facility shall with the approval of each resident's physician, access screening and immunization through outside sources such as county or city health departments. II/III

(G) The administration of medication shall be recorded on a medication sheet or directly in the resident's record and, if recorded on a medication sheet, shall be made part of the resident's record. The administration shall be recorded by the same individual who prepares the medication and administers it. II/III

(48) The facility may keep an emergency medication supply if approved by a pharmacist or physician. Storage and use of medications in the emergency medication supply shall assure accountability. When the emergency medication supply contains controlled substances, the facility shall be registered with the Bureau of Narcotics and Dangerous Drugs (BNDD) and shall be in compliance with 19 CSR 30-1.052 and other applicable state and federal controlled substance laws and regulations. II/III

(49) Automated dispensing systems may be controlled by the facility or may be controlled on-site or remotely by a pharmacy.

(A) Automated dispensing systems may be used for an emergency medication supply.

(B) Automated dispensing systems that are controlled by a pharmacy may be used for continuing doses of controlled substance and non-controlled substance medications. When continuing doses are administered from an automated dispensing system that is controlled by a pharmacy, a pharmacist shall review and approve each new medication order prior to releasing the medication from the system. The pharmacy and the facility may have a policy and procedure to allow the release of initial doses of approved medications when a pharmacist is not available in lieu of a separate emergency medication supply. When initial doses are used when a pharmacist is not available, a pharmacist shall review and approve the order within twenty-four (24) hours of administration of the first dose.

(C) Automated dispensing systems shall be used in compliance with state and federal laws and regulations. When an automated dispensing system controlled by the facility contains controlled substances for an emergency medication supply, the facility shall be reg-

istered with the BNDD. When an automated dispensing system is controlled by a pharmacy, the facility shall use it in compliance with 20 CSR 2220-2.900. II/III

(50) Stock supplies of nonprescription medication may be kept when specific medications are approved in writing by a consulting physician, a registered nurse or a pharmacist. II/III

(51) Records shall be maintained upon receipt and disposition of all controlled substances and shall be maintained separately from other records, for two (2) years.

(A) Inventories of controlled substances shall be reconciled as follows:

1. Controlled Substance Schedule II medications shall be reconciled each shift; and II

2. Controlled Substance Schedule III-V medications shall be reconciled at least weekly and as needed to ensure accountability. II

(B) Inventories of controlled substances shall be reconciled by the following:

1. Two (2) medication personnel, one of whom is a licensed nurse; or

2. Two (2) medication personnel, who are certified medication technicians or level I medication aides, when a licensed nurse is not available. II

(C) Receipt records shall include the date, source of supply, resident name and prescription number when applicable, medication name and strength, quantity and signature of the supplier and receiver. Administration records shall include the date, time, resident name, medication name, dose administered and the initials of the individual administering. The signature and initials of each medication staff documenting on the medication administration record must be signed in the signature area of the medication record. II

(D) When self-control of medication is approved a record shall be made of all controlled substances transferred to and administered from the resident's room. Inventory reconciliation shall include controlled substances transferred to the resident's room. II

(52) Documentation of waste of controlled substances at the time of administration shall include the reason for the waste and the signature of another facility medication staff member who witnesses the waste. If a second medication staff member is not available at the time of administration, the controlled substance shall be properly labeled, clearly identified as unusable, stored in a locked area, and destroyed as soon as a medication staff member is available to witness the waste. When a second medication staff member is not available and the controlled substance is contaminated by patient body fluids, the controlled substance shall be destroyed immediately and the circumstances documented. II/III

(53) At least every other month, a pharmacist or registered nurse shall review the controlled substance record keeping including reconciling the inventories of controlled substances. This shall be done at the time of the drug regimen review of each resident. All discrepancies in controlled substance records shall be reported to the administrator for review and investigation. The theft or loss of controlled substances shall be reported as follows:

(A) The facility shall notify the department's Section for Long Term Care (SLTC) and other appropriate authorities of any theft or significant loss of any controlled substance medication written as an individual prescription for a specific resident upon the discovery of the theft or loss. The facility shall consider at least the following factors in determining if a loss is significant:

1. The actual quantity lost in relation to the total quantity;

2. The specific controlled substance lost;

3. Whether the loss can be associated with access by specific individuals;

4. Whether there is a pattern of losses, and if the losses appear to be random or not;



5. Whether the controlled substance is a likely candidate for diversion; and

6. Local trends and other indicators of diversion potential;

(B) If an insignificant amount of such controlled substance is lost during lawful activities, which includes but are not limited to receiving, record keeping, access auditing, administration, destruction and returning to the pharmacy, a description of the occurrence shall be documented in writing and maintained with the facility's controlled substance records. The documentation shall include the reason for determining that the loss was insignificant; and

(C) When the facility is registered with the BNDD, the facility shall report to or document for the BNDD any loss of any stock supply controlled substance in compliance with 19 CSR 30-1.034. II/III

(54) A physician, pharmacist or registered nurse shall review the medication regimen of each resident. This shall be done at least every other month. The review shall be performed in the facility and shall include, but shall not be limited to, indication for use, dose, possible medication interactions and medication/food interactions, contraindications, adverse reactions and a review of the medication system utilized by the facility. Irregularities and concerns shall be reported in writing to the resident's physician and to the administrator/manager. If after thirty (30) days, there is no action taken by a resident's physician and significant concerns continue regarding a resident's or residents' medication order(s), the administrator shall contact or recontact the physician to determine if he or she received the information and if there are any new instructions. II/III

(55) All medication errors and adverse reactions shall be promptly documented and reported to the administrator and the resident's physician. If the pharmacy made a dispensing error, it shall also be reported to the issuing pharmacy. II/III

(56) Medications that are not in current use shall be disposed of as follows:

(A) Single doses of contaminated, refused, or otherwise unusable non-controlled substance medications may be destroyed by any authorized medication staff member at the time of administration. Single doses of unusable controlled substance medications may be destroyed according to section (52) of this rule;

(B) Discontinued medications may be retained up to one hundred twenty (120) days prior to other disposition if there is reason to believe, based on clinical assessment of the resident, that the medication might be reordered;

(C) Medications may be released to the resident or family upon discharge according to section (44) of this rule;

(D) After a resident has expired, medications, except for controlled substances, may be released to the resident's legal representative upon written request of the legal representative that includes the name of the medication and the reason for the request;

(E) Medications may be returned to the pharmacy that dispensed the medications pursuant to 20 CSR 2220-3.040 or returned pursuant to the Prescription Drug Repository Program, 19 CSR 20-50.020. All other medications, including all controlled substances and all expired or otherwise unusable medications, shall be destroyed within thirty (30) days as follows:

1. Medications shall be destroyed within the facility by a pharmacist and a licensed nurse or by two (2) licensed nurses or when two (2) licensed nurses are not available on staff by two (2) individuals who have authority to administer medications, one (1) of whom shall be a licensed nurse or a pharmacist; and

2. A record of medication destroyed shall be maintained and shall include the resident's name, date, medication name and strength, quantity, prescription number, and signatures of the individuals destroying the medications; and

(F) A record of medication released or returned to the pharmacy shall be maintained and shall include the resident's name, date, medication name and strength, quantity, prescription number, and signa-

tures of the individuals releasing and receiving the medications. II/III

(57) Residents experiencing short periods of incapacity due to illness or injury or recuperation from surgery may be allowed to remain or be readmitted from a hospital if the period of incapacity does not exceed forty-five (45) days and written approval of a physician is obtained for the resident to remain in or be readmitted to the facility. II

(58) The facility shall maintain a record in the facility for each resident, which shall include the following:

(A) Admission information including the resident's name; admission date; confidentiality number; previous address; birth date; sex; marital status; Social Security number; Medicare and Medicaid numbers (if applicable); name, address and telephone number of the resident's physician and alternate; diagnosis, name, address and telephone number of the resident's legally authorized representative or designee to be notified in case of emergency; and preferred dentist, pharmacist and funeral director; III

(B) A review monthly or more frequently, if indicated, of the resident's general condition and needs; a monthly review of medication consumption of any resident controlling his or her own medication, noting if prescription medications are being used in appropriate quantities; a daily record of administration of medication; a logging of the medication regimen review process; a monthly weight; a record of each referral of a resident for services from an outside service; and a record of any resident incidents including behaviors that present a reasonable likelihood of serious harm to himself or herself or others and accidents that potentially could result in injury or did result in injuries involving the resident; and

(C) Any physician's orders. The facility shall submit to the physician written versions of any oral or telephone orders within four (4) days of the giving of the oral or telephone order. III

(59) A record of the resident census shall be retained in the facility. III

(60) Resident records shall be maintained by the operator for at least five (5) years after a resident leaves the facility or after the resident reaches the age of twenty-one (21), whichever is longer and must include reason for discharge or transfer from the facility and cause of death, as applicable. III

(61) Staffing Requirements.

(A) The facility shall have an adequate number and type of personnel for the proper care of residents, the residents' social well being, protective oversight of residents and upkeep of the facility. At a minimum, the staffing pattern for fire safety and care of residents shall be one (1) staff person for every fifteen (15) residents or major fraction of fifteen (15) during the day shift, one (1) person for every twenty (20) residents or major fraction of twenty (20) during the evening shift and one (1) person for every twenty-five (25) residents or major fraction of twenty-five (25) during the night shift. I/II

Time	Personnel	Residents
7 a.m. to 3 p.m. (Day)*	1	3-15
3 p.m. to 9 p.m. (Evening)*	1	3-20
9 p.m. to 7 a.m. (Night)*	1	3-25

\*If the shift hours vary from those indicated, the hours of the shifts shall show on the work schedules of the facility and shall not be less than six (6) hours. III

(B) The administrator shall count toward staffing when physically present in the facility. II

(C) The required staff shall be in the facility awake, dressed and prepared to assist residents in case of emergency. I/II

(D) Meeting these minimal staffing requirements may not meet the needs of residents as outlined in the residents' assessments and individualized service plans. I/II

(62) Prior to or on the first day that a new employee works in the facility he or she shall receive orientation of at least two (2) hours appropriate to his or her job function. This shall include at least the following:

- (A) Job responsibilities;
- (B) Emergency response procedures;
- (C) Infection control and handwashing procedures and requirements;
- (D) Confidentiality of resident information;
- (E) Preservation of resident dignity;
- (F) Information regarding what constitutes abuse/neglect and how to report abuse/neglect to the department (1-800-392-0210);
- (G) Information regarding the Employee Disqualification List;
- (H) Instruction regarding the rights of residents and protection of property;

(I) Instruction regarding working with residents with mental illness; and

(J) Instruction regarding person-centered care and the concept of a social model of care, and techniques that are effective in enhancing resident choice and control over his or her own environment. II/III

(63) In addition to the orientation training required in section (62) of this rule any facility that provides care to any resident having Alzheimer's disease or related dementia shall provide orientation training regarding mentally confused residents such as those with Alzheimer's disease and related dementias as follows:

(A) For employees providing direct care to such persons, the orientation training shall include at least three (3) hours of training including at a minimum an overview of mentally confused residents such as those having Alzheimer's disease and related dementias, communicating with persons with dementia, behavior management, promoting independence in activities of daily living, techniques for creating a safe, secure and socially oriented environment, provision of structure, stability and a sense of routine for residents based on their needs, and understanding and dealing with family issues; and II/III

(B) For other employees who do not provide direct care for, but may have daily contact with, such persons, the orientation training shall include at least one (1) hour of training including at a minimum an overview of mentally confused residents such as those having dementias as well as communicating with persons with dementia; and II/III

(C) For all employees involved in the care of persons with dementia, dementia-specific training shall be incorporated into ongoing in-service curricula. II/III

(64) All in-service or orientation training relating to the special needs, care and safety of residents with Alzheimer's disease and other dementia shall be conducted, presented or provided by an individual who is qualified by education, experience or knowledge in the care of individuals with Alzheimer's disease or other dementia. II/III

(65) Requirements for training related to safely transferring residents.

(A) The facility shall ensure that all staff responsible for transferring residents are appropriately trained to transfer residents safely. Individuals authorized to provide this training include a licensed nurse, a physical therapist, a physical therapy assistant, an occupational therapist or a certified occupational therapy assistant. The individual who provides the transfer training shall observe the caregiver's skills when checking competency in completing safe transfers, shall document the date(s) of training and competency and shall sign and maintain training documentation. Initial training shall include a

minimum of two (2) classroom instruction hours in addition to the on-the-job training related to safely transferring residents who need assistance with transfers. II/III

(B) The facility shall ensure that a minimum of one (1) hour of transfer training is provided annually regarding safe transfer skills. II/III

**Title 19—DEPARTMENT OF HEALTH AND  
SENIOR SERVICES  
Division 30—Division of Regulation and Licensure  
Chapter 86—Residential Care Facilities and Assisted  
Living Facilities**

**ORDER OF RULEMAKING**

By the authority vested in the Department of Health and Senior Services under sections 198.076, RSMo 2000 and 198.005 and 198.073, RSMo Supp. 2006, the department amends a rule as follows:

**19 CSR 30-86.052 Dietary Requirements for Residential Care  
Facilities and Assisted Living Facilities is amended.**

A notice of proposed rulemaking containing the text of the proposed amendment was published in the *Missouri Register* on October 2, 2006 (31 MoReg 1559). No changes have been made in the text of the proposed amendment, so it is not reprinted here. This proposed amendment becomes effective thirty (30) days after publication in the *Code of State Regulations*.

**SUMMARY OF COMMENTS:** The department received two (2) comments on the proposed amendment.

**COMMENT:** Barbara L. Miltenberger of Husch & Eppenberger, LLC, on behalf of Missouri Health Care Association, commented that section (9) purports to address the dietary requirements under a social model of care. However, this section fails to address staff training on cooking and dietary needs, and structural or physical plant standards for smaller eating units consistent with the social model of care.

**RESPONSE:** The department disagrees with this comment. This rule addresses dietary requirements rather than structural or physical plant requirements. Provisions relating to resident preferences are included. Facilities may need to revise their current staff training to cover any new dietary requirements in this rule, but the department does not believe additional training will be necessary. No changes have been made to the rule as a result of this comment.

**COMMENT:** Norma J. Collins, Associate State Director—Advocacy, AARP Missouri, commented that the proposed regulations include some good improvements to the dietary requirements for assisted living facilities. To ensure residents' health and to be consistent with providing a home-like environment, the following requirements should be added, as recommended by the Assisted Living Workgroup:

1. Availability of meals shall allow for reasonable flexibility in resident schedules;

2. A variety of food choices shall be available to accommodate resident preferences, special needs, and diets;

3. Resident meals, snacks, and nutritional supplements shall be attractive and palatable; and

4. Fluids shall be available and appropriately offered to residents and assistance provided, as needed, to promote adequate fluid intake.

**RESPONSE:** The department believes these concerns are already addressed by the amendments to sections (1), (2), (3), (4), (5), (6), and (8) and the addition of section (9). No changes have been made to the rule as a result of these comments.

**Title 19—DEPARTMENT OF HEALTH AND  
SENIOR SERVICES  
Division 30—Division of Regulation and Licensure  
Chapter 87—Sanitation Requirements for Long-Term  
Care Facilities**

**ORDER OF RULEMAKING**

By the authority vested in the Department of Health and Senior Services under sections 198.009, RSMo 2000 and 198.005 and 198.073, RSMo Supp. 2006, the department amends a rule as follows:

**19 CSR 30-87.020** General Sanitation Requirements for New and Existing Long-Term Care Facilities **is amended.**

A notice of proposed rulemaking containing the text of the proposed amendment was published in the *Missouri Register* on October 2, 2006 (31 MoReg 1559-1560). No changes have been made in the text of the proposed amendment, so it is not reprinted here. This proposed amendment becomes effective thirty (30) days after publication in the *Code of State Regulations*.

SUMMARY OF COMMENTS: The department received one (1) comment on the proposed amendment.

COMMENT: Norma J. Collins, Associate State Director—Advocacy, AARP Missouri, commented that the proposed regulations make some nice improvements to support a facility's ability to provide a home-like environment that includes opportunities for residents to do their own laundry.

RESPONSE: The department agrees with this comment. No changes have been made to the rule as a result of the comment.

**Title 19—DEPARTMENT OF HEALTH AND  
SENIOR SERVICES  
Division 30—Division of Regulation and Licensure  
Chapter 87—Sanitation Requirements for Long-Term  
Care Facilities**

**ORDER OF RULEMAKING**

By the authority vested in the Department of Health and Senior Services under sections 198.009, 198.076 and 198.079, RSMo 2000 and 198.005 and 198.073, RSMo Supp. 2006, the department amends a rule as follows:

**19 CSR 30-87.030** Sanitation Requirements for Food Service **is amended.**

A notice of proposed rulemaking containing the text of the proposed amendment was published in the *Missouri Register* on October 2, 2006 (31 MoReg 1560-1565). No changes have been made in the text of the proposed amendment, so it is not reprinted here. This proposed amendment becomes effective thirty (30) days after publication in the *Code of State Regulations*.

SUMMARY OF COMMENTS: The department received one (1) comment on the proposed amendment.

COMMENT: Norma J. Collins, Associate State Director—Advocacy, AARP Missouri, commented that the proposed regulations include some good improvements that support a facility's ability to provide a home-like environment with respect to meals.  
RESPONSE: The department agrees with this comment. No changes have been made to the rule as a result of this comment.

**Title 19—DEPARTMENT OF HEALTH AND  
SENIOR SERVICES  
Division 30—Division of Regulation and Licensure  
Chapter 88—Resident's Rights and Handling Resident  
Funds and Property in Long-Term Care Facilities**

**ORDER OF RULEMAKING**

By the authority vested in the Department of Health and Senior Services under sections 198.009, 198.076, 198.079 and 198.088, RSMo 2000 and 198.005, 198.073, 660.050 and 660.060, RSMo Supp. 2006, the department amends a rule as follows:

**19 CSR 30-88.010** Resident Rights **is amended.**

A notice of proposed rulemaking containing the text of the proposed amendment was published in the *Missouri Register* on October 2, 2006 (31 MoReg 1565). No changes have been made in the text of the proposed amendment, so it is not reprinted here. This proposed amendment becomes effective thirty (30) days after publication in the *Code of State Regulations*.

SUMMARY OF COMMENTS: No comments were received.

**Title 20—DEPARTMENT OF INSURANCE,  
FINANCIAL INSTITUTIONS AND PROFESSIONAL  
REGISTRATION**

**Division 2030—Missouri Board for Architects,  
Professional Engineers, Professional Land Surveyors,  
and Landscape Architects  
Chapter 3—Seals**

**ORDER OF RULEMAKING**

By the authority vested in the Missouri Board for Architects, Professional Engineers, Professional Land Surveyors, and Landscape Architects under sections 327.041 and 327.411, RSMo Supp. 2006, the board amends a rule as follows:

**20 CSR 2030-3.060** Licensee's Seal **is amended.**

A notice of proposed rulemaking containing the text of the proposed amendment was published in the *Missouri Register* on November 15, 2006 (31 MoReg 1875). No changes have been made to the text of the proposed amendment, so it is not reprinted here. This proposed amendment becomes effective thirty (30) days after publication in the *Code of State Regulations*.

SUMMARY OF COMMENTS: No comments were received.

**Title 20—DEPARTMENT OF INSURANCE,  
FINANCIAL INSTITUTIONS AND PROFESSIONAL  
REGISTRATION**

**Division 2030—Missouri Board for Architects,  
Professional Engineers, Professional Land Surveyors,  
and Landscape Architects  
Chapter 11—Renewals**

**ORDER OF RULEMAKING**

By the authority vested in the Missouri Board for Architects, Professional Engineers, Professional Land Surveyors, and Landscape Architects under sections 327.041, RSMo Supp. 2006 and 327.261, RSMo 2000, the board amends a rule as follows:

**20 CSR 2030-11.015** Continuing Professional Competency for Professional Engineers is amended.

A notice of proposed rulemaking containing the text of the proposed amendment was published in the *Missouri Register* on November 15, 2006 (31 MoReg 1875-1876). No changes have been made to the text of the proposed amendment, so it is not reprinted here. This proposed amendment becomes effective thirty (30) days after publication in the *Code of State Regulations*.

SUMMARY OF COMMENTS: No comments were received.

**Title 20—DEPARTMENT OF INSURANCE,  
FINANCIAL INSTITUTIONS AND PROFESSIONAL  
REGISTRATION**  
**Division 2030—Missouri Board for Architects,  
Professional Engineers, Professional Land Surveyors,  
and Landscape Architects**  
**Chapter 11—Renewals**

**ORDER OF RULEMAKING**

By the authority vested in the Missouri Board for Architects, Professional Engineers, Professional Land Surveyors, and Landscape Architects under sections 327.041, RSMo Supp. 2006 and 41.946 and 327.171, RSMo 2000, the board amends a rule as follows:

**20 CSR 2030-11.025** Continuing Education for Architects is amended.

A notice of proposed rulemaking containing the text of the proposed amendment was published in the *Missouri Register* on November 15, 2006 (31 MoReg 1876). No changes have been made to the text of the proposed amendment, so it is not reprinted here. This proposed amendment becomes effective thirty (30) days after publication in the *Code of State Regulations*.

SUMMARY OF COMMENTS: No comments were received.

**Title 20—DEPARTMENT OF INSURANCE,  
FINANCIAL INSTITUTIONS AND PROFESSIONAL  
REGISTRATION**  
**Division 2165—Board of Examiners for Hearing  
Instrument Specialists**  
**Chapter 1—General Rules**

**ORDER OF RULEMAKING**

By the authority vested in the Board of Examiners for Hearing Instrument Specialists under section 346.115.1(7) and (8), RSMo 2000, the board amends a rule as follows:

**20 CSR 2165-1.020** Fees is amended.

A notice of proposed rulemaking containing the text of the proposed amendment was published in the *Missouri Register* on November 15, 2006 (31 MoReg 1877). No changes have been made to the text of the proposed amendment, so it is not reprinted here. This proposed amendment becomes effective thirty (30) days after publication in the *Code of State Regulations*.

SUMMARY OF COMMENTS: No comments were received.

**Title 20—DEPARTMENT OF INSURANCE,  
FINANCIAL INSTITUTIONS AND PROFESSIONAL  
REGISTRATION**  
**Division 2220—State Board of Pharmacy**  
**Chapter 2—General Rules**

**ORDER OF RULEMAKING**

By the authority vested in the State Board of Pharmacy under sections 338.010, 338.140, 338.240 and 338.280, RSMo 2000 and 338.210, RSMo Supp. 2006, the board amends a rule as follows:

20 CSR 2220-2.010 is amended.

A notice of proposed rulemaking containing the text of the proposed amendment was published in the *Missouri Register* as 4 CSR 220-2.010 on October 2, 2006 (31 MoReg 1468-1473). The sections with changes are reprinted here. This proposed amendment becomes effective thirty (30) days after publication in the *Code of State Regulations*.

SUMMARY OF COMMENTS: One (1) comment was received.

COMMENT: Tom Glenski, Chief Inspector, Missouri Board of Pharmacy submitted a comment stating the rule amendment was based mainly on a mail order pharmacy's proposed remote verification system allowing a pharmacist to verify prescription information from their home. However, Mr. Glenski requested the board consider two (2) additional scenarios that would allow a pharmacist to practice pharmacy at their home: 1) remote verification services for hospitals and 2) a pharmacist providing drug utilization review and disease state management for retail pharmacies. Mr. Glenski suggested the board:

1. Amend paragraph (9)(A)5. to include language that prohibits patients from being seen at a Class I pharmacy in residence;
2. Amend paragraph (9)(B)1. to state “. . . All pharmacists working at the pharmacy shall be required to sign . . .” to address a situation where a pharmacist is under contract with a pharmacy instead of being an employee;
3. Amend paragraph (9)(B)2. to require the permit holder to be responsible for training since the pharmacist in charge is likely to be the only pharmacist working at the location;
4. Amend paragraph (9)(B)3. to ensure overall compliance with the audit;
5. Amend paragraph (9)(B)4. to include language if the pharmacist is working under contract a copy of the contract should be available during the inspection;
6. Delete subsections (9)(C) and (D) to be more generic allowing for differences in technology but put the responsibility on the permit holder to ensure adequate security;
7. Define the term “permit holder” used throughout subsection (9)(E); and
8. Amend subsection (9)(E) to require the audit be available during the inspection since the permit holder is getting advanced notice of the inspection and audit records should be available at that time.

COMMENT: Verbal comments were provided by Johnny Herrigon of Dillons/Gerbes, Dennis Hunt of Walgreens Corporation, and Bert McClary of Bureau of Narcotics and Dangerous Drugs during the board's December 15, 2006 meeting.

RESPONSE AND EXPLANATION OF CHANGE: Based on the written comment and discussions at the December 15, 2006 meeting, the board voted to amend the original proposed language.

**20 CSR 2220-2.010 Pharmacy Standards of Operation**

(9) Class I: Consultant Pharmacies as defined in 20 CSR 2220-2.020(9)(I) and are approved by the board to be located within a residence shall be required to address and comply with the following minimum standards of practice:

(A) Location Requirements—

1. The pharmacy must be located in a separate room that

provides for a door with suitable lock;

2. Sufficient storage for securing confidential documents and any hardware used in accessing a central pharmacy by electronic connection must be provided;

3. Ceiling and walls must be constructed of plaster, drywall, brick or other substantial substance that affords a design that makes the room separate and distinct from the remainder of the domicile. Drop down ceilings that allow access into the room are not allowed;

4. All locations must be inspected and have approval by the board prior to the initiation of services; and

5. Patients are not allowed in the pharmacy.

(B) Documentation—

1. Maintain a current policy and procedure manual that is attested by the signature and date of review of the pharmacist-in-charge to its accuracy. All pharmacists working at the pharmacy shall be required to sign the manual attesting to their review and understanding of all policies and procedures in force;

2. Maintain documentation that the pharmacist-in-charge or the permit holder has provided training to all personnel on all operations associated with the pharmacy;

3. The permit holder must complete an audit to ensure compliance with pharmacy policy and procedures and this regulation at a minimum of twice per year, through physical visits by representatives of the permit holder. Audit results must be maintained by the permit holder for a period of three (3) years; and

4. If the pharmacist is working under a contract for the permit holder, a copy of the contract shall be available during an inspection.

(C) Security-Records and Internet—

1. All electronic data processing systems must meet all applicable state and federal confidentiality laws and regulations;

2. Data processing systems must utilize sufficient security software; and

3. Any breach in the security of the system must be documented and reported to the board of pharmacy within seven (7) days of the breach of confidentiality. Such documentation shall be available during an inspection.

(D) Licensure and Inspection—

1. Each location must maintain and display a current Class I permit. The permit holder for this permit must be the pharmacy the individual pharmacist is employed by or contracted with;

2. Routine inspections for in-state pharmacies shall be arranged ahead of time. Notification by the inspector to the permit holder will be provided a minimum of seventy-two (72) hours ahead of the scheduled inspection. The permit holder must arrange for a designated representative to be present that is not a resident of the location under inspection;

3. A pharmacy located outside the state must maintain a pharmacist-in-charge with a current and active pharmacist license with the state of Missouri;

4. The audits required in paragraph (9)(B)3. shall be available for review during the inspection; and

5. The pharmacy shall provide copies of inspections completed by the state in which they are located if such inspections are required within seven (7) business days of the inspection date.

**Title 20—DEPARTMENT OF INSURANCE,  
FINANCIAL INSTITUTIONS AND PROFESSIONAL  
REGISTRATION  
Division 2220—State Board of Pharmacy  
Chapter 2—General Rules**

**ORDER OF RULEMAKING**

By the authority vested in the State Board of Pharmacy under sections 338.140 and 338.280, RSMo 2000, the board amends a rule as follows:

20 CSR 2220-2.020 is amended.

A notice of proposed rulemaking containing the text of the proposed amendment was published in the *Missouri Register* as 4 CSR 220-

2.020 on October 2, 2006 (31 MoReg 1474). The section with changes is reprinted here. This proposed amendment becomes effective thirty (30) days after publication in the *Code of State Regulations*.

SUMMARY OF COMMENTS: Four (4) comments were received.

COMMENT: Kevin Nicholson, Vice President, Pharmacy Regulatory Affairs, National Association of Chain Drug Stores (NACDS) submitted a comment concerning (9)(D) and (K) and Ron Fitzwater of Missouri Pharmacy Association (MPA) also submitted a comment. The NACDS stated that the proposed requirements will act as disincentives to community pharmacies that compound fewer than five percent (5%) of their annual prescription volume, and consequently will reduce the availability of compounded medications to Missouri residents. NACDS requested the board clarify that the requirements apply only to products that are comprised solely of bulk ingredients, and not to products that include one (1) or more bulk ingredients, such as distilled water, should not require the pharmacy to obtain a separate license to prepare it. NACDS is concerned that without clarification, pharmacies would not be able to compound many commonly prepared products, as most pharmacies would not obtain a separate license to compound these products. NACDS requested the board reconsider the proposed requirement that a pharmacy that compounds a smaller number of products in batch quantity must obtain a separate license. NACDS believes it would be unnecessarily burdensome for a pharmacy to obtain a separate license to batch compound one or a small number of products. If adopted, most pharmacies would end the practice of batch compounding, thus inconveniencing prescribers and patients who have come to rely upon these compounded products being readily available. Patients would have to take prescriptions to their pharmacy and wait hours or days for the pharmacy to compound the product pursuant to the prescription order. With the demands on pharmacies ever increasing, pharmacies find it helpful to have available for patients compounded products that are commonly prescribed. MPA's comments concurred with the comments from NACDS. David Overfelt of NACDS provided verbal comments concerning Kevin Nicholson's letter.

RESPONSE AND EXPLANATION OF CHANGE: The board clarified that it was not the board's intent to require new licenses in these situations. The board stated Class D: Non-Sterile Compounding is a permit classification, and would not require a new license, but would require a revision to their existing license to include those specific classifications. In order to provide further clarification, the board made amendments to paragraphs (9)(D)1. and 2.

COMMENT: Kevin Nicholson, Vice President, Pharmacy Regulatory Affairs, National Association of Chain Drug Stores (NACDS) submitted a comment requesting the board clarify that the "Class K: Internet" license would apply only to pharmacies that are not otherwise licensed by the board. Traditionally brick-and-mortar pharmacies are expanding their services to including receiving prescriptions electronically, to receiving refill requests from patients via the pharmacies' websites and to receiving refill authorizations from prescribers electronically. Because the pharmacy receives a refill request, refill authorization, or a prescription electronically, perhaps via the Internet, the pharmacy should not be required to obtain an additional license from the board. NACDS is concerned that the board's proposed language is too vague, and we again ask the board to clarify its regulations that the Class K: Internet license would apply only to pharmacies that are not otherwise licensed by the board. NACDS fears that one could interpret the board's rule to require almost every pharmacy in Missouri to obtain Class K license. MPA's comments concurred with the comments from NACDS. David Overfelt of NACDS provided verbal comments concerning Kevin Nicholson's letter.

RESPONSE AND EXPLANATION OF CHANGE: The board stated Class K: Internet is a permit classification, and would not require a new license, but would require a revision to their existing license

to include those specific classifications. In order to provide further clarification, the board made amendments to subsection (9)(K).

**20 CSR 2220-2.020 Pharmacy Permits**

(9) The following classes of pharmacy permits or licenses are hereby established:

(D) Class D: Non-Sterile Compounding. A pharmacy that provides services as defined in section 338.010, RSMo and provides a non-sterile compounded product as defined in 20 CSR 2220-2.400(1) and meets the following criteria:

1. Any product made from any bulk active ingredient in a batch quantity as defined in 20 CSR 2220-2.400(3).

(K) Class K: Internet. A pharmacy that provides services as defined in section 338.010, RSMo, and is involved in the receipt, review, preparation, compounding, dispensing or offering for sale any drugs, chemicals, medicines or poisons for any new prescriptions originating from the Internet for greater than ninety percent (90%) of the total new prescription volume on any day. A prescription must be provided by a practitioner licensed in the United States authorized by law to prescribe drugs and who has performed a sufficient physical examination and clinical assessment of the patient.

**Title 20—DEPARTMENT OF INSURANCE,  
FINANCIAL INSTITUTIONS AND PROFESSIONAL  
REGISTRATION  
Division 2220—State Board of Pharmacy  
Chapter 2—General Rules**

**ORDER OF RULEMAKING**

By the authority vested in the State Board of Pharmacy under sections 338.140 and 338.280, RSMo 2000 and 338.220, RSMo Supp. 2006, the board withdraws a proposed amendment as follows:

**20 CSR 2220-2.025 Nonresident Pharmacies is withdrawn.**

A notice of proposed rulemaking containing the text of the proposed amendment was published in the *Missouri Register* as 4 CSR 220-2.025 on October 2, 2006 (31 MoReg 1474-1478). This proposed amendment is withdrawn.

SUMMARY OF COMMENTS: Two (2) written comments were received.

COMMENT: Kevin Nicholson, Vice President, Pharmacy Regulatory Affairs, National Association of Chain Drug Stores (NACDS) submitted a comment stating that it would require many nonresident pharmacists to be licensed in Missouri unnecessarily, and would prevent Missouri pharmacies from outsourcing prescription filling and processing services to nonresident pharmacies, as nonresident pharmacies would typically not be staffed by pharmacists licensed in Missouri. Fred Brinkley, Vice President of Professional Affairs, Medco concurred with NACDS comment. David Overfelt of NACDS and Dennis Hunt of Walgreens Corporation provided verbal comments during the board's December 15, 2006 meeting.

RESPONSE: It was the board's determination that the rule as proposed could cause all pharmacists in nonresident pharmacies to need licensure in Missouri if they are accessing computer information in Missouri from their nonresident location. In addition, it was noted that the fiscal note with this amendment would be inaccurate because of the number of nonresident pharmacists that would be required to be licensed in Missouri. It was the board's decision to withdraw this proposed amendment from further processing.

**Title 20—DEPARTMENT OF INSURANCE,  
FINANCIAL INSTITUTIONS AND PROFESSIONAL  
REGISTRATION  
Division 2220—State Board of Pharmacy  
Chapter 2—General Rules**

**ORDER OF RULEMAKING**

By the authority vested in the State Board of Pharmacy under sections 338.140 and 338.280, RSMo 2000, the board amends a rule as follows:

**20 CSR 2220-2.190 Patient Counseling is amended.**

A notice of proposed rulemaking containing the text of the proposed amendment was published in the *Missouri Register* as 4 CSR 220-2.190 on October 2, 2006 (31 MoReg 1479). No changes have been made to the text of the proposed amendment, so it is not reprinted here. This proposed amendment becomes effective thirty (30) days after publication in the *Code of State Regulations*.

SUMMARY OF COMMENTS: One (1) comment was received.

COMMENT: Ron Fitzwater, Missouri Pharmacy Association (MPA) submitted a comment stating that a direct patient-pharmacists relationship is vital for obtaining optimum therapeutic outcomes from a medication regimen. MPA believes the offer to counsel may be easily lost if allowed to be contained in the information provided by automated dispensing machines to patients.

RESPONSE: The board determined the change to 20 CSR 2220-2.190 alleviated this concern and voted to make no changes to the amendment.

**Title 20—DEPARTMENT OF INSURANCE,  
FINANCIAL INSTITUTIONS AND PROFESSIONAL  
REGISTRATION  
Division 2220—State Board of Pharmacy  
Chapter 2—General Rules**

**ORDER OF RULEMAKING**

By the authority vested in the State Board of Pharmacy under sections 338.140 and 338.280, RSMo 2000, the board amends a rule as follows:

**20 CSR 2220-2.450 Fingerprint Requirements is amended.**

A notice of proposed rulemaking containing the text of the proposed amendment was published in the *Missouri Register* as 4 CSR 220-2.450 on October 2, 2006 (31 MoReg 1479-1481). No changes have been made to the text of the proposed amendment, so it is not reprinted here. This proposed amendment becomes effective thirty (30) days after publication in the *Code of State Regulations*.

SUMMARY OF COMMENTS: No comments were received.

**Title 20—DEPARTMENT OF INSURANCE,  
FINANCIAL INSTITUTIONS AND PROFESSIONAL  
REGISTRATION  
Division 2220—State Board of Pharmacy  
Chapter 2—General Rules**

**ORDER OF RULEMAKING**

By the authority vested in the State Board of Pharmacy under sections 338.210 and 338.220, RSMo Supp. 2006 and 338.140 and 338.280, RSMo 2000, the board amends a rule as follows:

20 CSR 2220-2.900 is amended.

A notice of the proposed rulemaking containing the text of the proposed amendment was published in the *Missouri Register* as 4 CSR 2220-2.900 on October 2, 2006 (31 MoReg 1482-1484). The section with changes is reprinted here. This proposed amendment becomes effective thirty (30) days after publication in the *Code of State Regulations*.

SUMMARY OF COMMENTS: One (1) comment was received.

COMMENT: Ron Fitzwater of Missouri Pharmacy Association (MPA) submitted the comment indicating the rule amendment would minimize the patient-pharmacist relationship and would lose an effective patient counseling opportunity and request the board to reconsider the proposal allowing non-standardized offers to counsel by automated dispensing machines. Verbal comments provided at the December 15, 2006 board meeting by Ron Fitzwater of MPA; David Overfelt of National Association of Chain Drug Stores (NACDS); Susan Bricker of BJC Healthcare, St. Louis; and Bert McClary of Bureau of Narcotics and Dangerous Drugs. It was also suggested that in subsection (1)(J) the word "Federal" be replaced with "Food and Drug" i.e., ". . . compliance with [Federal] Food and Drug Administration (FDA) requirements."

RESPONSE AND EXPLANATION OF CHANGE: The board voted to delete the last portion of the last sentence of section (1) referring to the pharmacist being available at all times telephonically. The board also voted to amend section (1)(J) as suggested.

#### **20 CSR 2220-2.900 Automated Dispensing and Storage Systems**

(1) Automated dispensing and storage systems (hereafter referred to as automated system or system) are hereby defined to include, but are not limited to, mechanical systems that perform operations or activities, relative to the storage, packaging or dispensing of medications, and which collect, control, and maintain all transaction information. Such systems may be used in pharmacies and where a pharmacy permit exists, for maintaining patient care unit medication inventories or for a patient profile dispensing system, provided the utilization of such devices is under the supervision of a pharmacist. A pharmacist is not required to be physically present at the site of the automated pharmacy system if the system is supervised electronically by a pharmacist. In order to supervise the system within an ambulatory care setting, the pharmacist must maintain constant visual and auditory communication with the site and full control of the automated system must be maintained by the pharmacist and shall not be delegated to any other person or entity. Supervision of an automated refill patient self-service device requires that a pharmacist employed by the pharmacy by which the device is owned and operated be available at all times during operating hours of the pharmacy.

(J) Drugs that are repackaged for use in automated systems at remote locations must comply with 20 CSR 2220-2.130 Drug Repackaging requirements. Automated refill patient self-service devices must comply with all labeling and dispensing laws governing the provision of medication refills to patients. Products that are considered temperature sensitive or products that require further manipulation in order to be ready for use by a patient shall not be provided through patient self-service devices, unless the device has the capability to provide storage conditions in compliance with Food and Drug Administration (FDA) requirements.

**Title 20—DEPARTMENT OF INSURANCE,  
FINANCIAL INSTITUTIONS AND PROFESSIONAL  
REGISTRATION  
Division 2220—State Board of Pharmacy  
Chapter 5—Drug Distributor**

**ORDER OF RULEMAKING**

By the authority vested in the State Board of Pharmacy under sections 338.330, 338.333, 338.335, 338.337, 338.340 and 338.350, RSMo 2000, the board amends a rule as follows:

**20 CSR 2220-5.020 Drug Distributor Licensing  
Requirements is amended.**

A notice of proposed rulemaking containing the text of the proposed amendment was published in the *Missouri Register* as 4 CSR 2220-5.020 on October 2, 2006 (31 MoReg 1485). No changes have been made to the text of the proposed amendment, so it is not reprinted here. This proposed amendment becomes effective thirty (30) days after publication in the *Code of State Regulations*.

SUMMARY OF COMMENTS: No comments were received.

**Title 20—DEPARTMENT OF INSURANCE,  
FINANCIAL INSTITUTIONS AND PROFESSIONAL  
REGISTRATION  
Division 2220—State Board of Pharmacy  
Chapter 5—Drug Distributor**

**ORDER OF RULEMAKING**

By the authority vested in the State Board of Pharmacy under sections 338.333, 338.343, and 338.350, RSMo 2000, the board amends a rule as follows:

20 CSR 2220-5.030 is amended.

A notice of proposed rulemaking containing the text of the proposed amendment was published in the *Missouri Register* as 4 CSR 2220-5.030 on October 2, 2006 (31 MoReg 1485-1486). The section with changes is reprinted here. This proposed amendment becomes effective thirty (30) days after publication in the *Code of State Regulations*.

SUMMARY OF COMMENTS: Two (2) comments were received.

COMMENT: Matthew Van Hook of Engel & Novitt, LLP, on behalf of Pharmaceutical Research and Manufacturers of America (PhRMA) stating PhRMA believes the time frame for reporting is too short and suggested changing (3)(M)6. from three (3) business days to ten (10) days.

RESPONSE AND EXPLANATION OF CHANGE: It was the decision of the board to change three (3) business days to seven (7) business days in (3)(M)6. and 7., keeping the reporting period the same for consistency purposes.

COMMENT: Bartley Barefoot, GlaxoSmithKline submitted a comment concerning reporting known or suspected instances of drug counterfeiting, theft or diversion to the board. State-by-state reporting requirements imposed on pharmaceutical manufacturers operating on a nationwide basis are an inefficient approach and are not necessary in light of enforcement efforts already taking place at the federal level in which manufacturers participate. Therefore, Mr. Barefoot requested that drug manufacturers be exempted from the proposed reporting requirements in (3)(M)4.-7.

RESPONSE: The board voted to not to make the changes as suggested by Mr. Barefoot.

**20 CSR 2220-5.030 Definitions and Standards for Drug  
Wholesale and Pharmacy Distributors**

(3) Minimum standards of practice for drug distributors shall include the following:

(M) Wholesale drug and pharmacy distributors shall establish, maintain and adhere to written policies and procedures, which shall be followed for the receipt, security, storage, inventory and distribution of prescription drugs, including policies and procedures for identifying, recording and reporting losses or thefts and for correcting all errors and inaccuracies in inventories. Drug distributors shall include in their written policies and procedures the following:

1. A procedure where the oldest approved stock of a prescription drug product is distributed first. The procedure may permit deviation from this requirement if the deviation is temporary and appropriate;

2. A procedure to be followed for handling recalls and withdrawals of prescription drugs. This procedure shall be adequate to deal with recalls and withdrawals due to any—

A. Action initiated at the request of the FDA or other federal, state, or local law enforcement or other government agency, including the Board of Pharmacy;

B. Voluntary action by the manufacturer to remove defective or potentially defective drugs from the market; or

C. Action undertaken to promote public health and safety by replacing existing merchandise with an improved product or new package design;

3. A procedure to ensure that drug distributors prepare for, protect against and handle any crisis that affects the security or operation of any facility in the event of strike, fire, flood or other natural disaster, or other situations of local, state or national emergency;

4. A procedure for reporting counterfeit or suspected counterfeit drugs or devices or counterfeiting or suspected counterfeiting activities to the board;

5. A procedure for the mandatory reporting to the board and any other appropriate federal or state agency of all shortages of prescription drugs and devices where it is known or suspected that diversion or theft is occurring;

6. A procedure for investigating discrepancies involving counterfeit, suspect of being counterfeit, contraband, or suspect of being contraband in the inventory and reporting such discrepancies within seven (7) business days to the board and any other appropriate federal or state agency shall be maintained by each drug distributor;

7. A procedure for reporting criminal or suspected criminal activities involving the inventory of drug(s) and device(s) to the board within the seven (7) business days; and

8. A procedure to ensure that any outdated prescription drugs shall be segregated from other drugs and either returned to the manufacturer or destroyed. This procedure shall provide for written documentation of the disposition of outdated prescription drugs. This documentation shall be maintained for three (3) years after disposition of the outdated drugs;

**Title 20—DEPARTMENT OF INSURANCE,  
FINANCIAL INSTITUTIONS AND PROFESSIONAL  
REGISTRATION**

**Division 2270—Missouri Veterinary Medical Board  
Chapter 1—General Rules**

**ORDER OF RULEMAKING**

By the authority vested in the Missouri Veterinary Medical Board under sections 334.210 and 340.332, RSMo 2000, the board amends a rule as follows:

**20 CSR 2270-1.021 Fees is amended.**

A notice of proposed rulemaking containing the text of the proposed amendment was published in the *Missouri Register* on November 15, 2006 (31 MoReg 1877–1880). No changes have been made to the text of the proposed amendment, so it is not reprinted here. This pro-

posed amendment becomes effective thirty (30) days after publication in the *Code of State Regulations*.

SUMMARY OF COMMENTS: No comments were received.

**Title 20—DEPARTMENT OF INSURANCE,  
FINANCIAL INSTITUTIONS AND PROFESSIONAL  
REGISTRATION**

**Division 2270—Missouri Veterinary Medical Board  
Chapter 4—Minimum Standards**

**ORDER OF RULEMAKING**

By the authority vested in the Missouri Veterinary Medical Board under sections 340.210, 340.258 and 340.268, RSMo 2000, the board amends a rule as follows:

**20 CSR 2270-4.042 Minimum Standards for Continuing  
Education for Veterinarians is amended.**

A notice of proposed rulemaking containing the text of the proposed amendment was published in the *Missouri Register* on November 15, 2006 (31 MoReg 1881). No changes have been made to the text of the proposed amendment, so it is not reprinted here. This proposed amendment becomes effective thirty (30) days after publication in the *Code of State Regulations*.

SUMMARY OF COMMENTS: No comments were received.



**T**he Secretary of State is required by sections 347.141 and 359.481, RSMo 2000 to publish dissolutions of limited liability companies and limited partnerships. The content requirements for the one-time publishing of these notices are prescribed by statute. This listing is published pursuant to these statutes. We request that documents submitted for publication in this section be submitted in camera ready 8 1/2" x 11" manuscript by email to [dissolutions@sos.mo.gov](mailto:dissolutions@sos.mo.gov).

**NOTICE OF WINDING UP OF LIMITED PARTNERSHIP  
TO ALL CREDITORS OF AND CLAIMANTS AGAINST  
ELLISON LIMITED PARTNERSHIP  
PURSUANT TO R.S.Mo. § 359-481**

ELLISON LIMITED PARTNERSHIP, a Missouri limited partnership, filed its certificate of cancellation with the Missouri Secretary of State on January 16, 2007, effective on the filing date.

All persons and organizations with claims against said corporation must submit in writing to ELLISON LIMITED PARTNERSHIP, c/o Clifford S. Brown, Esq., Carnahan, Evans, Cantwell & Brown, P.C., 2805 S. Ingram Mill, Springfield, Missouri 65804-4043, including: 1) claimant's name, address and telephone number; 2) amount of claim; 3) date(s) claim accrued (or will accrue); 4) brief description of the nature of the debt or the basis for the claim; and 5) if the claim is secured, and if so, the collateral used as security.

Because of the dissolution, any claims against ELLISON LIMITED PARTNERSHIP will be barred unless a proceeding to enforce the claim is commenced within three (3) years after this notice.

**Notice of Dissolution of  
Limited Liability Company  
To All Creditors of and  
Claimants Against  
Fortunes Entertainment, LLC**

On December 29, 2006, Fortunes Entertainment, LLC, a Missouri limited liability company (the "Company"), filed its Notice of Winding Up with the Missouri Secretary of State. The Company requests that all persons and organizations who have claims against it present them immediately by letter to the Company, c/o Richard Rothman, Blitz, Bardgett & Deutsch, L.C., 120 S. Central, Suite 1650, St. Louis, Missouri 63105.

All claims must include: the name and address of the claimant; the amount claimed; the basis of the claim; the date(s) on which the events occurred which provided the basis for the claim; and documentation of the claim.

Any claim against the Company will be barred unless a proceeding to enforce the claim is commenced within three years after the publication of this notice.

**NOTICE OF DISSOLUTION OF  
LIMITED LIABILITY COMPANY**

To all Creditors of and Claimants against CAST-TECH, L.L.C.

On January 5, 2007, Cast-Tech, L.L.C. filed its Notice of Winding Up and Articles of Termination for a limited liability company with the Missouri Secretary of State, effective January 5, 2007. You are hereby notified that if you believe you have a claim against Cast-Tech, L.L.C. you must submit a claim to: Cast-Tech, L.L.C., c/o Bill Smith, Nolan Real Estate Services, Inc., 800 West 47<sup>th</sup> Street, Suite 300, Kansas City, Missouri 64112. Claims must include (1) the name and address of the claimant; (2) the amount of the claim; (3) the basis for the claim; and (4) documentation of the claim.

A claim against Cast-Tech, L.L.C. will be barred unless a proceeding to enforce the claim is commenced within three years after the Publication Date of this Notice.

## Rule Changes Since Update to Code of State Regulations

This cumulative table gives you the latest status of rules. It contains citations of rulemakings adopted or proposed after deadline for the monthly Update Service to the *Code of State Regulations*, citations are to volume and page number in the *Missouri Register*, except for material in this issue. The first number in the table cite refers to the volume number or the publication year—30 (2005) and 31 (2006). MoReg refers to *Missouri Register* and the numbers refer to a specific *Register* page, R indicates a rescission, W indicates a withdrawal, S indicates a statement of actual cost, T indicates an order terminating a rule, N.A. indicates not applicable, RUC indicates a rule under consideration, and F indicates future effective date.

Rule Number	Agency	Emergency	Proposed	Order	In Addition
1 CSR 10	<b>OFFICE OF ADMINISTRATION</b> State Officials' Salary Compensation Schedule				30 MoReg 2435
1 CSR 20-4.010	Personnel Advisory Board and Division of Personnel		31 MoReg 1867		
<b>DEPARTMENT OF AGRICULTURE</b>					
2 CSR 70-25.120	Plant Industries				32 MoReg 356
2 CSR 110-2.010	Office of the Director	31 MoReg 1293	31 MoReg 1306	32 MoReg 93	
<b>DEPARTMENT OF CONSERVATION</b>					
3 CSR 10-4.117	Conservation Commission		31 MoReg 1703	32 MoReg 162	
3 CSR 10-4.145	Conservation Commission		31 MoReg 1703	32 MoReg 162	
3 CSR 10-5.310	Conservation Commission		31 MoReg 1704	32 MoReg 162	
3 CSR 10-5.315	Conservation Commission		31 MoReg 1704	32 MoReg 162	
3 CSR 10-5.320	Conservation Commission		31 MoReg 1704	32 MoReg 163	
3 CSR 10-5.330	Conservation Commission		31 MoReg 1705	32 MoReg 163	
3 CSR 10-5.351	Conservation Commission		31 MoReg 1705	32 MoReg 163	
3 CSR 10-5.352	Conservation Commission		31 MoReg 1705	32 MoReg 163	
3 CSR 10-5.375	Conservation Commission		31 MoReg 1705	32 MoReg 163	
3 CSR 10-5.440	Conservation Commission		31 MoReg 1709	32 MoReg 163	
3 CSR 10-5.460	Conservation Commission		31 MoReg 1711	32 MoReg 163	
3 CSR 10-5.465	Conservation Commission		31 MoReg 1711	32 MoReg 164	
3 CSR 10-5.540	Conservation Commission		31 MoReg 1711	32 MoReg 164	
3 CSR 10-5.545	Conservation Commission		31 MoReg 1713	32 MoReg 164	
3 CSR 10-5.551	Conservation Commission		31 MoReg 1715	32 MoReg 164	
3 CSR 10-5.552	Conservation Commission		31 MoReg 1717	32 MoReg 164	
3 CSR 10-5.554	Conservation Commission		31 MoReg 1717	32 MoReg 164	
3 CSR 10-5.559	Conservation Commission		31 MoReg 1717	32 MoReg 165	
3 CSR 10-5.560	Conservation Commission		31 MoReg 1719	32 MoReg 165	
3 CSR 10-5.565	Conservation Commission		31 MoReg 1721	32 MoReg 165	
3 CSR 10-5.570	Conservation Commission		31 MoReg 1723	32 MoReg 165	
3 CSR 10-5.576	Conservation Commission		31 MoReg 1725	32 MoReg 165	
3 CSR 10-6.405	Conservation Commission		31 MoReg 1725	32 MoReg 165	
3 CSR 10-6.410	Conservation Commission		31 MoReg 1725	32 MoReg 166	
3 CSR 10-6.505	Conservation Commission		31 MoReg 1726	32 MoReg 166	
3 CSR 10-6.510	Conservation Commission		31 MoReg 1726	32 MoReg 166	
3 CSR 10-6.515	Conservation Commission		31 MoReg 1726	32 MoReg 166	
3 CSR 10-6.520	Conservation Commission		31 MoReg 1727	32 MoReg 166	
3 CSR 10-6.525	Conservation Commission		31 MoReg 1727	32 MoReg 167	
3 CSR 10-6.530	Conservation Commission		31 MoReg 1727	32 MoReg 167	
3 CSR 10-6.533	Conservation Commission		31 MoReg 1727	32 MoReg 167	
3 CSR 10-6.535	Conservation Commission		31 MoReg 1728	32 MoReg 167	
3 CSR 10-6.540	Conservation Commission		32 MoReg 215		
3 CSR 10-6.540	Conservation Commission		31 MoReg 1728	32 MoReg 167	
3 CSR 10-6.545	Conservation Commission		31 MoReg 1728	32 MoReg 167	
3 CSR 10-6.550	Conservation Commission		31 MoReg 1729	32 MoReg 168	
3 CSR 10-6.605	Conservation Commission		31 MoReg 1729	32 MoReg 168	
3 CSR 10-7.410	Conservation Commission		31 MoReg 1729	32 MoReg 168	
3 CSR 10-7.415	Conservation Commission		31 MoReg 1730	32 MoReg 168	
3 CSR 10-7.430	Conservation Commission		31 MoReg 1730	32 MoReg 168	
3 CSR 10-7.450	Conservation Commission		31 MoReg 1731	32 MoReg 168	
3 CSR 10-7.455	Conservation Commission				32 MoReg 261
3 CSR 10-8.510	Conservation Commission		31 MoReg 1731	32 MoReg 168	
3 CSR 10-8.515	Conservation Commission		31 MoReg 1732	32 MoReg 169	
3 CSR 10-9.105	Conservation Commission		31 MoReg 1733	32 MoReg 169	
3 CSR 10-9.110	Conservation Commission		31 MoReg 1737	32 MoReg 169	
3 CSR 10-9.220	Conservation Commission		31 MoReg 1737	32 MoReg 169	
3 CSR 10-9.351	Conservation Commission		31 MoReg 1739	32 MoReg 170	
3 CSR 10-9.353	Conservation Commission		31 MoReg 1739R	32 MoReg 253R	
			31 MoReg 1739	32 MoReg 253	
3 CSR 10-9.359	Conservation Commission		31 MoReg 1741	32 MoReg 170	
3 CSR 10-9.425	Conservation Commission		31 MoReg 1741	32 MoReg 170	
3 CSR 10-9.560	Conservation Commission		31 MoReg 1741	32 MoReg 170	
3 CSR 10-9.565	Conservation Commission		31 MoReg 769		
			31 MoReg 1742	32 MoReg 253	
3 CSR 10-9.625	Conservation Commission		31 MoReg 1743	32 MoReg 254	
3 CSR 10-9.627	Conservation Commission		31 MoReg 1743	32 MoReg 170	

Rule Number	Agency	Emergency	Proposed	Order	In Addition
3 CSR 10-9.628	Conservation Commission		31 MoReg 1744	32 MoReg 255	
3 CSR 10-10.725	Conservation Commission		31 MoReg 1744	32 MoReg 170	
3 CSR 10-10.735	Conservation Commission		31 MoReg 1744	32 MoReg 171	
3 CSR 10-11.125	Conservation Commission		31 MoReg 1745	32 MoReg 255	
3 CSR 10-11.140	Conservation Commission		31 MoReg 1745	32 MoReg 171	
3 CSR 10-11.160	Conservation Commission		31 MoReg 1746	32 MoReg 171	
3 CSR 10-11.180	Conservation Commission		31 MoReg 1748	32 MoReg 171	
3 CSR 10-11.200	Conservation Commission		31 MoReg 1751	32 MoReg 171	
3 CSR 10-11.205	Conservation Commission		31 MoReg 1751	32 MoReg 171	
3 CSR 10-11.210	Conservation Commission		31 MoReg 1752	32 MoReg 172	
3 CSR 10-11.215	Conservation Commission		31 MoReg 1752	32 MoReg 172	
3 CSR 10-12.109	Conservation Commission		31 MoReg 1753	32 MoReg 172	
3 CSR 10-12.115	Conservation Commission		31 MoReg 1753	32 MoReg 172	
3 CSR 10-12.130	Conservation Commission		31 MoReg 1754	32 MoReg 172	
3 CSR 10-12.145	Conservation Commission		31 MoReg 1754	32 MoReg 172	
3 CSR 10-12.155	Conservation Commission		31 MoReg 1754	32 MoReg 173	
3 CSR 10-20.805	Conservation Commission		31 MoReg 1755	32 MoReg 173	
<b>DEPARTMENT OF ECONOMIC DEVELOPMENT</b>					
4 CSR 30-6.015	Missouri Board for Architects, Professional Engineers, Professional Land Surveyors, and Landscape Architects <i>(Changed to 20 CSR 2030-6.015)</i>		31 MoReg 1392	31 MoReg 2056	
4 CSR 40-4.040	Office of Athletics <i>(Changed to 20 CSR 2040-4.040)</i>		31 MoReg 1310	32 MoReg 177	
4 CSR 40-4.090	Office of Athletics <i>(Changed to 20 CSR 2040-4.090)</i>		31 MoReg 1310	32 MoReg 177	
4 CSR 110-2.110	Missouri Dental Board <i>(Changed to 20 CSR 2110-2.110)</i>		31 MoReg 1395	32 MoReg 178	
4 CSR 110-2.114	Missouri Dental Board <i>(Changed to 20 CSR 2110-2.114)</i>		31 MoReg 1395	32 MoReg 178	
4 CSR 150-2.125	State Board of Registration for the Healing Arts <i>(Changed to 20 CSR 2150-2.125)</i>		31 MoReg 1398	32 MoReg 259	
4 CSR 150-3.010	State Board of Registration for the Healing Arts <i>(Changed to 20 CSR 2150-3.010)</i>		31 MoReg 1398	32 MoReg 260	
4 CSR 150-3.203	State Board of Registration for the Healing Arts <i>(Changed to 20 CSR 2150-3.203)</i>		31 MoReg 1399	32 MoReg 260	
4 CSR 150-5.100	State Board of Registration for the Healing Arts <i>(Changed to 20 CSR 2150-5.100)</i>		31 MoReg 1399	32 MoReg 355W	
4 CSR 150-7.135	State Board of Registration for the Healing Arts <i>(Changed to 20 CSR 2150-7.135)</i>		31 MoReg 1400	32 MoReg 355W	
4 CSR 200-4.100	State Board of Nursing <i>(Changed to 20 CSR 2200-4.100)</i>		31 MoReg 1401	32 MoReg 260	
4 CSR 200-4.200	State Board of Nursing <i>(Changed to 20 CSR 2200-4.200)</i>		31 MoReg 1401	32 MoReg 355W	
4 CSR 220-2.010	State Board of Pharmacy <i>(Changed to 20 CSR 2220-2.010)</i>		31 MoReg 1468	This Issue	
4 CSR 220-2.020	State Board of Pharmacy <i>(Changed to 20 CSR 2220-2.020)</i>		31 MoReg 1474	This Issue	
4 CSR 220-2.025	State Board of Pharmacy <i>(Changed to 20 CSR 2220-2.025)</i>		31 MoReg 1474	This IssueW	
4 CSR 220-2.190	State Board of Pharmacy <i>(Changed to 20 CSR 2220-2.190)</i>		31 MoReg 1479	This Issue	
4 CSR 220-2.450	State Board of Pharmacy <i>(Changed to 20 CSR 2220-2.450)</i>		31 MoReg 1479	This Issue	
4 CSR 220-2.900	State Board of Pharmacy <i>(Changed to 20 CSR 2220-2.900)</i>		31 MoReg 1482	This Issue	
4 CSR 220-5.020	State Board of Pharmacy <i>(Changed to 20 CSR 2220-5.020)</i>		31 MoReg 1485	This Issue	
4 CSR 220-5.030	State Board of Pharmacy <i>(Changed to 20 CSR 2220-5.030)</i>		31 MoReg 1485	This Issue	
4 CSR 232-2.040	Missouri State Committee of Interpreters <i>(Changed to 20 CSR 2232-2.040)</i>	31 MoReg 1465	31 MoReg 1486	32 MoReg 179	
4 CSR 235-5.030	State Committee of Psychologists  <i>(Changed to 20 CSR 2235-5.030)</i>		31 MoReg 1212R 31 MoReg 1212	32 MoReg 179R 32 MoReg 179	
4 CSR 235-7.020	State Committee of Psychologists <i>(Changed to 20 CSR 2235-7.020)</i>		31 MoReg 1218	32 MoReg 180	
4 CSR 235-7.030	State Committee of Psychologists <i>(Changed to 20 CSR 2235-7.030)</i>		31 MoReg 1218	32 MoReg 180	
4 CSR 240-37.010	Public Service Commission		31 MoReg 1758	32 MoReg 341	
4 CSR 240-37.020	Public Service Commission		31 MoReg 1758	32 MoReg 341	
4 CSR 240-37.030	Public Service Commission		31 MoReg 1759	32 MoReg 342	
4 CSR 240-37.040	Public Service Commission		31 MoReg 1763	32 MoReg 347	
4 CSR 240-37.050	Public Service Commission		31 MoReg 1763	32 MoReg 347	
4 CSR 240-37.060	Public Service Commission		31 MoReg 1764	32 MoReg 348	
4 CSR 262-1.010	Small Business Regulatory Fairness Board		32 MoReg 9		
4 CSR 262-1.020	Small Business Regulatory Fairness Board		32 MoReg 13		
4 CSR 265-9.010	Division of Motor Carrier and Railroad Safety <i>(Changed to 7 CSR 265-9.010)</i>		32 MoReg 15		

Rule Number	Agency	Emergency	Proposed	Order	In Addition
4 CSR 265-9.020	Division of Motor Carrier and Railroad Safety ( <i>Changed to 7 CSR 265-9.020</i> )		32 MoReg 16		
4 CSR 265-9.040	Division of Motor Carrier and Railroad Safety ( <i>Changed to 7 CSR 265-9.040</i> )		32 MoReg 17		
4 CSR 265-9.050	Division of Motor Carrier and Railroad Safety ( <i>Changed to 7 CSR 265-9.050</i> )		32 MoReg 19		
4 CSR 265-9.060	Division of Motor Carrier and Railroad Safety ( <i>Changed to 7 CSR 265-9.060</i> )		32 MoReg 19		
4 CSR 265-9.070	Division of Motor Carrier and Railroad Safety ( <i>Changed to 7 CSR 265-9.070</i> )		32 MoReg 19		
4 CSR 265-9.090	Division of Motor Carrier and Railroad Safety ( <i>Changed to 7 CSR 265-9.090</i> )		32 MoReg 20		
4 CSR 265-9.100	Division of Motor Carrier and Railroad Safety ( <i>Changed to 7 CSR 265-9.100</i> )		32 MoReg 20		
4 CSR 265-9.110	Division of Motor Carrier and Railroad Safety ( <i>Changed to 7 CSR 265-9.110</i> )		32 MoReg 21		
4 CSR 265-9.130	Division of Motor Carrier and Railroad Safety ( <i>Changed to 7 CSR 265-9.130</i> )		32 MoReg 24		
4 CSR 265-9.140	Division of Motor Carrier and Railroad Safety ( <i>Changed to 7 CSR 265-9.140</i> )		32 MoReg 24		
4 CSR 265-9.150	Division of Motor Carrier and Railroad Safety ( <i>Changed to 7 CSR 265-9.150</i> )		32 MoReg 25		
<b>DEPARTMENT OF ELEMENTARY AND SECONDARY EDUCATION</b>					
5 CSR 30-261.040	Division of Administrative and Financial Services		32 MoReg 26		
5 CSR 30-345.010	Division of Administrative and Financial Services		31 MoReg 1417R	32 MoReg 349R	
5 CSR 30-640.010	Division of Administrative and Financial Services		31 MoReg 1869R		
5 CSR 30-660.065	Division of Administrative and Financial Services		31 MoReg 1869R		
5 CSR 50-200.010	Division of School Improvement		31 MoReg 1764		
5 CSR 50-200.050	Division of School Improvement		31 MoReg 1641		
5 CSR 50-345.020	Division of School Improvement		31 MoReg 1223R	32 MoReg 94R	
5 CSR 50-350.040	Division of School Improvement		32 MoReg 33		
5 CSR 50-500.010	Division of School Improvement		This Issue		
5 CSR 60-100.050	Division of Career Education		31 MoReg 1644R		
5 CSR 70-742.141	Special Education		N.A.	32 MoReg 350	
5 CSR 80-805.015	Teacher Quality and Urban Education		31 MoReg 1223	32 MoReg 94	
<b>DEPARTMENT OF HIGHER EDUCATION</b>					
6 CSR 10-2.020	Commissioner of Higher Education		32 MoReg 303		
6 CSR 10-2.080	Commissioner of Higher Education		32 MoReg 303		
6 CSR 10-2.120	Commissioner of Higher Education		32 MoReg 304		
<b>DEPARTMENT OF TRANSPORTATION</b>					
7 CSR 10-10.010	Missouri Highways and Transportation Commission		32 MoReg 133		
7 CSR 10-10.030	Missouri Highways and Transportation Commission		32 MoReg 134		
7 CSR 10-10.040	Missouri Highways and Transportation Commission		32 MoReg 135		
7 CSR 10-10.050	Missouri Highways and Transportation Commission		32 MoReg 135		
7 CSR 10-10.060	Missouri Highways and Transportation Commission		32 MoReg 136		
7 CSR 10-10.070	Missouri Highways and Transportation Commission		32 MoReg 136		
7 CSR 10-10.080	Missouri Highways and Transportation Commission		32 MoReg 138		
7 CSR 10-10.090	Missouri Highways and Transportation Commission		32 MoReg 138		
7 CSR 10-25.010	Missouri Highways and Transportation Commission				32 MoReg 98 32 MoReg 261
7 CSR 265-9.010	Motor Carrier and Railroad Safety ( <i>Changed from 4 CSR 265-9.010</i> )		32 MoReg 15		
7 CSR 265-9.020	Motor Carrier and Railroad Safety ( <i>Changed from 4 CSR 265-9.020</i> )		32 MoReg 16		
7 CSR 265-9.040	Motor Carrier and Railroad Safety ( <i>Changed from 4 CSR 265-9.040</i> )		32 MoReg 17		
7 CSR 265-9.050	Motor Carrier and Railroad Safety ( <i>Changed from 4 CSR 265-9.050</i> )		32 MoReg 19		
7 CSR 265-9.060	Motor Carrier and Railroad Safety ( <i>Changed from 4 CSR 265-9.060</i> )		32 MoReg 19		
7 CSR 265-9.070	Motor Carrier and Railroad Safety ( <i>Changed from 4 CSR 265-9.070</i> )		32 MoReg 19		
7 CSR 265-9.090	Motor Carrier and Railroad Safety ( <i>Changed from 4 CSR 265-9.090</i> )		32 MoReg 20		
7 CSR 265-9.100	Motor Carrier and Railroad Safety ( <i>Changed from 4 CSR 265-9.100</i> )		32 MoReg 20		

Rule Number	Agency	Emergency	Proposed	Order	In Addition
7 CSR 265-9.110	Motor Carrier and Railroad Safety <i>(Changed from 4 CSR 265-9.110)</i>		32 MoReg 21		
7 CSR 265-9.130	Motor Carrier and Railroad Safety <i>(Changed from 4 CSR 265-9.130)</i>		32 MoReg 24		
7 CSR 265-9.140	Motor Carrier and Railroad Safety <i>(Changed from 4 CSR 265-9.140)</i>		32 MoReg 24		
7 CSR 265-9.150	Motor Carrier and Railroad Safety <i>(Changed from 4 CSR 265-9.150)</i>		32 MoReg 25		
<b>DEPARTMENT OF LABOR AND INDUSTRIAL RELATIONS</b>					
8 CSR 50-2.030	Division of Workers' Compensation	31 MoReg 1377	31 MoReg 1417	32 MoReg 173	
<b>DEPARTMENT OF MENTAL HEALTH</b>					
9 CSR 10-7.140	Director, Department of Mental Health		31 MoReg 1486	This Issue	
<b>DEPARTMENT OF NATURAL RESOURCES</b>					
10 CSR 10-2.070	Air Conservation Commission		32 MoReg 39		
10 CSR 10-2.390	Air Conservation Commission		31 MoReg 1941		
10 CSR 10-3.090	Air Conservation Commission		32 MoReg 39		
10 CSR 10-4.070	Air Conservation Commission		32 MoReg 40		
10 CSR 10-5.160	Air Conservation Commission		32 MoReg 41		
10 CSR 10-5.220	Air Conservation Commission		32 MoReg 215		
10 CSR 10-5.375	Air Conservation Commission		32 MoReg 305R		
10 CSR 10-5.380	Air Conservation Commission		32 MoReg 305R		
10 CSR 10-5.381	Air Conservation Commission		32 MoReg 306		
10 CSR 10-5.480	Air Conservation Commission		31 MoReg 1965		
10 CSR 10-6.062	Air Conservation Commission		31 MoReg 1766		
10 CSR 10-6.070	Air Conservation Commission		32 MoReg 139		
10 CSR 10-6.075	Air Conservation Commission		32 MoReg 139		
10 CSR 10-6.080	Air Conservation Commission		32 MoReg 141		
10 CSR 10-6.350	Air Conservation Commission		31 MoReg 1766		
10 CSR 10-6.360	Air Conservation Commission		31 MoReg 1767		
10 CSR 10-6.362	Air Conservation Commission		31 MoReg 1769		
10 CSR 10-6.364	Air Conservation Commission		31 MoReg 1781		
10 CSR 10-6.366	Air Conservation Commission		31 MoReg 1791		
10 CSR 10-6.368	Air Conservation Commission		31 MoReg 1797		
10 CSR 20-4.023	Clean Water Commission	This Issue			
10 CSR 20-4.030	Clean Water Commission	This Issue			
10 CSR 20-4.061	Clean Water Commission	This Issue			
10 CSR 20-7.050	Clean Water Commission	31 MoReg 1845	31 MoReg 2049		
10 CSR 23-1.075	Division of Geology and Land Survey		31 MoReg 1644	32 MoReg 352	
10 CSR 23-3.100	Division of Geology and Land Survey		32 MoReg 320		
10 CSR 23-5.050	Division of Geology and Land Survey		32 MoReg 322		
10 CSR 50-2.030	Oil and Gas Council		31 MoReg 1645		
10 CSR 60-13.010	Public Drinking Water Program	This Issue			
10 CSR 80-2.010	Solid Waste Management		31 MoReg 1141	32 MoReg 95	
10 CSR 80-2.015	Solid Waste Management		31 MoReg 1145	32 MoReg 95	
10 CSR 80-8.020	Solid Waste Management		32 MoReg 224		
10 CSR 80-8.030	Solid Waste Management		32 MoReg 226		
10 CSR 80-8.040	Solid Waste Management		32 MoReg 227R		
10 CSR 80-8.050	Solid Waste Management		32 MoReg 228		
10 CSR 80-8.060	Solid Waste Management		32 MoReg 238		
10 CSR 80-9.010	Solid Waste Management		32 MoReg 323R		
10 CSR 80-9.030	Solid Waste Management		32 MoReg 241		
10 CSR 80-9.035	Solid Waste Management		32 MoReg 242		
10 CSR 80-9.050	Solid Waste Management		32 MoReg 323		
10 CSR 100-2.010	Petroleum Storage Tank Insurance Fund Board of Trustees		32 MoReg 42		
10 CSR 100-4.010	Petroleum Storage Tank Insurance Fund Board of Trustees		32 MoReg 43		
10 CSR 100-4.020	Petroleum Storage Tank Insurance Fund Board of Trustees		32 MoReg 43		
10 CSR 100-5.010	Petroleum Storage Tank Insurance Fund Board of Trustees		32 MoReg 44		
<b>DEPARTMENT OF PUBLIC SAFETY</b>					
11 CSR 10-5.010	Adjutant General	31 MoReg 1380	31 MoReg 1422	31 MoReg 2053	
11 CSR 30-11.010	Office of the Director		32 MoReg 142		
11 CSR 40-5.050	Division of Fire Safety		32 MoReg 45		
11 CSR 40-5.065	Division of Fire Safety		32 MoReg 45		
11 CSR 40-5.070	Division of Fire Safety		32 MoReg 50		
11 CSR 40-5.080	Division of Fire Safety		32 MoReg 50		
11 CSR 40-5.090	Division of Fire Safety		32 MoReg 52		
11 CSR 40-5.110	Division of Fire Safety		32 MoReg 52		
11 CSR 45-5.180	Missouri Gaming Commission		31 MoReg 1490	32 MoReg 255	
11 CSR 45-5.190	Missouri Gaming Commission		31 MoReg 1490	32 MoReg 255	
11 CSR 45-5.200	Missouri Gaming Commission		31 MoReg 1490	32 MoReg 256	
11 CSR 45-5.237	Missouri Gaming Commission		31 MoReg 1155	32 MoReg 96	
11 CSR 45-7.030	Missouri Gaming Commission		31 MoReg 1313	32 MoReg 256	
11 CSR 45-7.040	Missouri Gaming Commission		31 MoReg 1315	32 MoReg 257	
11 CSR 45-7.080	Missouri Gaming Commission		31 MoReg 1317	32 MoReg 257	
11 CSR 45-7.120	Missouri Gaming Commission		31 MoReg 1319	32 MoReg 258	
11 CSR 45-11.040	Missouri Gaming Commission		31 MoReg 1491	32 MoReg 258	

Rule Number	Agency	Emergency	Proposed	Order	In Addition
11 CSR 45-11.090	Missouri Gaming Commission		31 MoReg 1492R	32 MoReg 258R	
11 CSR 45-11.110	Missouri Gaming Commission		31 MoReg 1492	32 MoReg 259	
11 CSR 45-12.020	Missouri Gaming Commission		31 MoReg 1493	32 MoReg 259	
11 CSR 45-12.040	Missouri Gaming Commission		31 MoReg 1493	32 MoReg 259	
11 CSR 45-12.080	Missouri Gaming Commission		31 MoReg 1990		
11 CSR 45-12.090	Missouri Gaming Commission		31 MoReg 1494	32 MoReg 259	
11 CSR 45-13.055	Missouri Gaming Commission	32 MoReg 5	32 MoReg 55		
11 CSR 45-30.280	Missouri Gaming Commission		31 MoReg 1990		
<b>DEPARTMENT OF REVENUE</b>					
12 CSR 10-23.255	Director of Revenue		31 MoReg 1870	This Issue	
12 CSR 10-23.270	Director of Revenue		31 MoReg 1873	This Issue	
12 CSR 10-23.422	Director of Revenue		31 MoReg 1494R	32 MoReg 175R	
12 CSR 10-23.446	Director of Revenue		31 MoReg 1873	This Issue	
12 CSR 10-41.010	Director of Revenue	31 MoReg 1935	31 MoReg 1991		
12 CSR 10-42.110	Director of Revenue		31 MoReg 1994R		
12 CSR 10-43.010	Director of Revenue		31 MoReg 1646	This Issue	
12 CSR 10-43.020	Director of Revenue		31 MoReg 1646	This Issue	
12 CSR 10-43.030	Director of Revenue		31 MoReg 1647	This Issue	
12 CSR 10-400.200	Director of Revenue		31 MoReg 1994		
12 CSR 10-400.210	Director of Revenue		31 MoReg 1998		
12 CSR 10-405.105	Director of Revenue		31 MoReg 2001		
12 CSR 10-405.205	Director of Revenue		31 MoReg 2001		
12 CSR 40-50.050	State Lottery		31 MoReg 1874		
12 CSR 40-80.080	State Lottery		31 MoReg 1875R		
<b>DEPARTMENT OF SOCIAL SERVICES</b>					
13 CSR 35-100.010	Children's Division	31 MoReg 1623	31 MoReg 1648	32 MoReg 352	
13 CSR 35-100.020	Children's Division	31 MoReg 1628	31 MoReg 1653	32 MoReg 352	
13 CSR 40-79.010	Family Support Division	31 MoReg 1635	31 MoReg 1662	32 MoReg 352	
13 CSR 70-2.100	Division of Medical Services		31 MoReg 1804	This Issue	
13 CSR 70-3.030	Division of Medical Services		31 MoReg 2050		
13 CSR 70-3.180	Division of Medical Services		31 MoReg 1155	32 MoReg 96	
13 CSR 70-6.010	Division of Medical Services		31 MoReg 1326	32 MoReg 175	
13 CSR 70-10.030	Division of Medical Services	32 MoReg 293	32 MoReg 332		
13 CSR 70-15.110	Division of Medical Services	31 MoReg 1052			
13 CSR 70-20.031	Division of Medical Services		32 MoReg 335		
13 CSR 70-20.032	Division of Medical Services		32 MoReg 335		
13 CSR 70-20.034	Division of Medical Services		32 MoReg 335		
<b>DEPARTMENT OF CORRECTIONS</b>					
14 CSR 80-5.020	State Board of Probation and Parole		31 MoReg 1428	32 MoReg 175	
<b>ELECTED OFFICIALS</b>					
15 CSR 30-10.010	Secretary of State	31 MoReg 1129	31 MoReg 1160	31 MoReg 1884	
15 CSR 30-10.020	Secretary of State	31 MoReg 1130	31 MoReg 1160	31 MoReg 1885	
15 CSR 30-10.130	Secretary of State	31 MoReg 1132	31 MoReg 1162	31 MoReg 1886	
15 CSR 30-10.140	Secretary of State	31 MoReg 1133	31 MoReg 1163	31 MoReg 1886	
15 CSR 30-10.150	Secretary of State	31 MoReg 1134	31 MoReg 1164	31 MoReg 1887	
15 CSR 30-10.160	Secretary of State	31 MoReg 1135	31 MoReg 1165	31 MoReg 1887	
15 CSR 30-51.180	Secretary of State	This Issue This IssueT This Issue	This Issue		
<b>RETIREMENT SYSTEMS</b>					
16 CSR 10-5.010	Retirement Systems		31 MoReg 2001		
16 CSR 10-6.060	Retirement Systems		31 MoReg 2002		
16 CSR 50-10.050	The County Employees' Retirement Fund		31 MoReg 1430	32 MoReg 259	
<b>DEPARTMENT OF HEALTH AND SENIOR SERVICES</b>					
19 CSR 30-20.001	Division of Regulation and Licensure		32 MoReg 336		
19 CSR 30-30.010	Division of Regulation and Licensure		32 MoReg 336		
19 CSR 30-30.020	Division of Regulation and Licensure		32 MoReg 337		
19 CSR 30-40.410	Division of Regulation and Licensure		32 MoReg 338		
19 CSR 30-40.430	Division of Regulation and Licensure		32 MoReg 339		
19 CSR 30-40.450	Division of Regulation and Licensure		31 MoReg 995	31 MoReg 2017W	
19 CSR 30-80.030	Division of Regulation and Licensure		This Issue		
19 CSR 30-82.010	Division of Regulation and Licensure		31 MoReg 1495	This Issue	
19 CSR 30-83.010	Division of Regulation and Licensure		31 MoReg 1499	This Issue	
19 CSR 30-84.030	Division of Regulation and Licensure		31 MoReg 1502	This Issue	
19 CSR 30-84.040	Division of Regulation and Licensure		31 MoReg 1504	This Issue	
19 CSR 30-86.012	Division of Regulation and Licensure		31 MoReg 1504	This Issue	
19 CSR 30-86.022	Division of Regulation and Licensure		31 MoReg 1506	This Issue	
19 CSR 30-86.032	Division of Regulation and Licensure		31 MoReg 1509	This Issue	
19 CSR 30-86.042	Division of Regulation and Licensure		31 MoReg 1514	This Issue	
19 CSR 30-86.043	Division of Regulation and Licensure		31 MoReg 1526	This Issue	
19 CSR 30-86.045	Division of Regulation and Licensure		31 MoReg 1536	This Issue	
19 CSR 30-86.047	Division of Regulation and Licensure		31 MoReg 1540	This Issue	
19 CSR 30-86.052	Division of Regulation and Licensure		31 MoReg 1559	This Issue	
19 CSR 30-87.020	Division of Regulation and Licensure		31 MoReg 1559	This Issue	

Rule Number	Agency	Emergency	Proposed	Order	In Addition
19 CSR 30-87.030	Division of Regulation and Licensure		31 MoReg 1560	This Issue	
19 CSR 30-88.010	Division of Regulation and Licensure		31 MoReg 1565	This Issue	
19 CSR 60-50	Missouri Health Facilities Review Committee				32 MoReg 181 32 MoReg 356
19 CSR 60-50.300	Missouri Health Facilities Review Committee	31 MoReg 1382	31 MoReg 1430	32 MoReg 352	
19 CSR 60-50.400	Missouri Health Facilities Review Committee	31 MoReg 1382	31 MoReg 1430	32 MoReg 353	
19 CSR 60-50.410	Missouri Health Facilities Review Committee	31 MoReg 1383	31 MoReg 1431	32 MoReg 353	
19 CSR 60-50.430	Missouri Health Facilities Review Committee	31 MoReg 1384	31 MoReg 1431	32 MoReg 353	
19 CSR 60-50.450	Missouri Health Facilities Review Committee	31 MoReg 1385	31 MoReg 1432	32 MoReg 353	
19 CSR 60-50.470	Missouri Health Facilities Review Committee	31 MoReg 1386	31 MoReg 1433	32 MoReg 354	
19 CSR 60-50.600	Missouri Health Facilities Review Committee	31 MoReg 1386	31 MoReg 1433	32 MoReg 354	
19 CSR 60-50.700	Missouri Health Facilities Review Committee	31 MoReg 1387	31 MoReg 1434	32 MoReg 354	
19 CSR 60-50.800	Missouri Health Facilities Review Committee	31 MoReg 1387	31 MoReg 1434	32 MoReg 354	
19 CSR 60-50.900	Missouri Health Facilities Review Committee	31 MoReg 1388	31 MoReg 1434	32 MoReg 354	
<b>DEPARTMENT OF INSURANCE, FINANCIAL INSTITUTIONS AND PROFESSIONAL REGISTRATION</b>					
20 CSR	Medical Malpractice				29 MoReg 505 30 MoReg 481 31 MoReg 616
20 CSR	Sovereign Immunity Limits				30 MoReg 108 30 MoReg 2587 31 MoReg 2019
20 CSR 200-6.300	Financial Examination		31 MoReg 1435	32 MoReg 175	
20 CSR 400-2.135	Life, Annuities and Health		31 MoReg 1566	32 MoReg 176	
20 CSR 400-7.095	Life, Annuities and Health		32 MoReg 142		
20 CSR 500-5.020	Property and Casualty	This Issue	This Issue		
20 CSR 500-5.025	Property and Casualty	This Issue	This Issue		
20 CSR 500-5.026	Property and Casualty	This Issue	This Issue		
20 CSR 500-5.027	Property and Casualty	This Issue	This Issue		
20 CSR 700-6.350	Licensing		31 MoReg 931		
20 CSR 2030-3.060	Missouri Board for Architects, Professional Engineers, Professional Land Surveyors, and Landscape Architects		31 MoReg 1875	This Issue	
20 CSR 2030-6.015	Missouri Board for Architects, Professional Engineers, Professional Land Surveyors, and Landscape Architects		32 MoReg 55		
20 CSR 2030-11.015	Missouri Board for Architects, Professional Engineers, Professional Land Surveyors, and Landscape Architects		31 MoReg 1875	This Issue	
20 CSR 2030-11.025	Missouri Board for Architects, Professional Engineers, Professional Land Surveyors, and Landscape Architects		31 MoReg 1876	This Issue	
20 CSR 2040-4.040	Office of Athletics <i>(Changed from 4 CSR 40-4.040)</i>		31 MoReg 1310	32 MoReg 177	
20 CSR 2040-4.090	Office of Athletics <i>(Changed from 4 CSR 40-4.090)</i>		31 MoReg 1310	32 MoReg 177	
20 CSR 2110-2.110	Missouri Dental Board <i>(Changed from 4 CSR 110-2.110)</i>		31 MoReg 1395	32 MoReg 178	
20 CSR 2110-2.114	Missouri Dental Board <i>(Changed from 4 CSR 110-2.114)</i>		31 MoReg 1395	32 MoReg 178	
20 CSR 2115-2.010	State Committee of Dietitians		32 MoReg 58		
20 CSR 2115-2.050	State Committee of Dietitians		32 MoReg 58		
20 CSR 2120-1.010	State Board of Embalmers and Funeral Directors		This Issue		
20 CSR 2120-1.040	State Board of Embalmers and Funeral Directors		This Issue		
20 CSR 2120-2.010	State Board of Embalmers and Funeral Directors		This Issue		
20 CSR 2120-2.040	State Board of Embalmers and Funeral Directors		This Issue		
20 CSR 2120-2.050	State Board of Embalmers and Funeral Directors		This Issue		
20 CSR 2120-2.071	State Board of Embalmers and Funeral Directors		This Issue		
20 CSR 2120-2.090	State Board of Embalmers and Funeral Directors		This Issue		
20 CSR 2120-2.100	State Board of Embalmers and Funeral Directors		This Issue		
20 CSR 2150-2.125	State Board of Registration for the Healing Arts <i>(Changed from 4 CSR 150-2.125)</i>		31 MoReg 1398	32 MoReg 259	
20 CSR 2150-3.010	State Board of Registration for the Healing Arts <i>(Changed from 4 CSR 150-3.010)</i>		31 MoReg 1398	32 MoReg 260	
20 CSR 2150-3.203	State Board of Registration for the Healing Arts <i>(Changed from 4 CSR 150-3.203)</i>		31 MoReg 1399	32 MoReg 260	
20 CSR 2150-4.052	State Board of Registration for the Healing Arts		31 MoReg 1876		
20 CSR 2150-5.100	State Board of Registration for the Healing Arts <i>(Changed from 4 CSR 150-5.100)</i>		31 MoReg 1399	32 MoReg 355W	
20 CSR 2150-6.020	State Board of Registration for the Healing Arts		31 MoReg 1877		
20 CSR 2150-7.135	State Board of Registration for the Healing Arts <i>(Changed from 4 CSR 150-7.135)</i>		31 MoReg 1400	32 MoReg 355W	
20 CSR 2165-1.020	Board of Examiners for Hearing Instrument Specialists		31 MoReg 1877	This Issue	



Rule Number	Agency	Emergency	Proposed	Order	In Addition
20 CSR 2193-1.010	Interior Design Council		32 MoReg 148		
20 CSR 2193-1.020	Interior Design Council		32 MoReg 148		
20 CSR 2193-2.010	Interior Design Council		32 MoReg 148		
20 CSR 2193-2.040	Interior Design Council		32 MoReg 149		
20 CSR 2193-3.010	Interior Design Council		32 MoReg 149		
20 CSR 2193-3.020	Interior Design Council		32 MoReg 150		
20 CSR 2193-5.010	Interior Design Council		32 MoReg 150		
20 CSR 2200-4.100	State Board of Nursing <i>(Changed from 4 CSR 200-4.100)</i>		31 MoReg 1401	32 MoReg 260	
20 CSR 2200-4.200	State Board of Nursing <i>(Changed from 4 CSR 200-4.200)</i>		31 MoReg 1401	32 MoReg 355W	
20 CSR 2210-1.010	State Board of Optometry		32 MoReg 58		
20 CSR 2210-2.011	State Board of Optometry		32 MoReg 59		
20 CSR 2210-2.020	State Board of Optometry		32 MoReg 61		
20 CSR 2210-2.070	State Board of Optometry		32 MoReg 63		
20 CSR 2220-2.010	State Board of Pharmacy <i>(Changed from 4 CSR 220-2.010)</i>		31 MoReg 1468	This Issue	
20 CSR 2220-2.020	State Board of Pharmacy <i>(Changed from 4 CSR 220-2.020)</i>		31 MoReg 1474	This Issue	
20 CSR 2220-2.025	State Board of Pharmacy <i>(Changed from 4 CSR 220-2.025)</i>		31 MoReg 1474	This IssueW	
20 CSR 2220-2.190	State Board of Pharmacy <i>(Changed from 4 CSR 220-2.190)</i>		31 MoReg 1479	This Issue	
20 CSR 2220-2.450	State Board of Pharmacy <i>(Changed from 4 CSR 220-2.450)</i>		31 MoReg 1479	This Issue	
20 CSR 2220-2.500	State Board of Pharmacy				32 MoReg 99
20 CSR 2220-2.900	State Board of Pharmacy <i>(Changed from 4 CSR 220-2.900)</i>		31 MoReg 1482	This Issue	
20 CSR 2220-5.020	State Board of Pharmacy <i>(Changed from 4 CSR 220-5.020)</i>		31 MoReg 1485	This Issue	
20 CSR 2220-5.030	State Board of Pharmacy <i>(Changed from 4 CSR 220-5.030)</i>		31 MoReg 1485	This Issue	
20 CSR 2232-2.040	Missouri State Committee of Interpreters <i>(Changed from 4 CSR 232-2.040)</i>	31 MoReg 1465	31 MoReg 1486	32 MoReg 179	
20 CSR 2235-1.015	State Committee of Psychologists		32 MoReg 150		
20 CSR 2235-1.050	State Committee of Psychologists		32 MoReg 151		
20 CSR 2235-1.063	State Committee of Psychologists		32 MoReg 151		
20 CSR 2235-5.030	State Committee of Psychologists <i>(Changed from 4 CSR 235-5.030)</i>		31 MoReg 1212R 31 MoReg 1212	32 MoReg 179R 32 MoReg 179	
20 CSR 2235-7.020	State Committee of Psychologists <i>(Changed from 4 CSR 235-7.020)</i>		31 MoReg 1218	32 MoReg 180	
20 CSR 2235-7.030	State Committee of Psychologists <i>(Changed from 4 CSR 235-7.030)</i>		31 MoReg 1218	32 MoReg 180	
20 CSR 2245-1.010	Real Estate Appraisers		32 MoReg 63		
20 CSR 2245-1.020	Real Estate Appraisers		32 MoReg 63R		
20 CSR 2245-2.020	Real Estate Appraisers		32 MoReg 64		
20 CSR 2245-2.040	Real Estate Appraisers		32 MoReg 64R		
20 CSR 2245-2.050	Real Estate Appraisers		32 MoReg 64		
20 CSR 2245-3.005	Real Estate Appraisers		32 MoReg 65		
20 CSR 2245-3.010	Real Estate Appraisers		32 MoReg 69		
20 CSR 2245-3.020	Real Estate Appraisers		32 MoReg 72		
20 CSR 2245-4.040	Real Estate Appraisers		32 MoReg 72		
20 CSR 2245-4.050	Real Estate Appraisers		32 MoReg 72		
20 CSR 2245-4.060	Real Estate Appraisers		32 MoReg 73		
20 CSR 2245-5.010	Real Estate Appraisers		32 MoReg 73		
20 CSR 2245-5.020	Real Estate Appraisers		32 MoReg 74		
20 CSR 2245-6.015	Real Estate Appraisers		32 MoReg 77		
20 CSR 2245-6.020	Real Estate Appraisers		32 MoReg 78R		
20 CSR 2245-6.030	Real Estate Appraisers		32 MoReg 78R		
20 CSR 2245-6.040	Real Estate Appraisers		32 MoReg 79		
20 CSR 2245-7.010	Real Estate Appraisers		32 MoReg 81		
20 CSR 2245-7.020	Real Estate Appraisers		32 MoReg 85		
20 CSR 2245-7.030	Real Estate Appraisers		32 MoReg 85R		
20 CSR 2245-7.040	Real Estate Appraisers		32 MoReg 85R		
20 CSR 2245-7.050	Real Estate Appraisers		32 MoReg 86R		
20 CSR 2245-7.060	Real Estate Appraisers		32 MoReg 86		
20 CSR 2245-8.010	Real Estate Appraisers		32 MoReg 86		
20 CSR 2245-8.020	Real Estate Appraisers		32 MoReg 87		
20 CSR 2245-8.030	Real Estate Appraisers		32 MoReg 90		
20 CSR 2245-8.040	Real Estate Appraisers		32 MoReg 90		
20 CSR 2245-8.050	Real Estate Appraisers		32 MoReg 92		
20 CSR 2263-2.032	State Committee for Social Workers		32 MoReg 152		
20 CSR 2263-2.050	State Committee for Social Workers		32 MoReg 154		
20 CSR 2263-2.052	State Committee for Social Workers		32 MoReg 156		
20 CSR 2263-2.060	State Committee for Social Workers		32 MoReg 158		
20 CSR 2263-2.062	State Committee for Social Workers		32 MoReg 160		

<b>Rule Number</b>	<b>Agency</b>	<b>Emergency</b>	<b>Proposed</b>	<b>Order</b>	<b>In Addition</b>
20 CSR 2270-1.021	Missouri Veterinary Medical Board		31 MoReg 1877	This Issue	
20 CSR 2270-4.042	Missouri Veterinary Medical Board		31 MoReg 1881	This Issue	
<b>MISSOURI CONSOLIDATED HEALTH CARE PLAN</b>					
22 CSR 10-2.010	Health Care Plan	32 MoReg 209	32 MoReg 245		
22 CSR 10-2.060	Health Care Plan	32 MoReg 210	32 MoReg 246		
22 CSR 10-2.067	Health Care Plan	32 MoReg 210	32 MoReg 249		
22 CSR 10-2.090	Health Care Plan	32 MoReg 211R	32 MoReg 252R		

Agency	Publication	Expiration
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## Department of Transportation

### Missouri Highways and Transportation Commission

7 CSR 10-25.030 Apportion Registration . . . . . Next Issue . . . . . August 29, 2007

## Department of Natural Resources

### Clean Water Commission

10 CSR 20-4.023 State Forty Percent Construction Grant Program . . . . . This Issue . . . . . August 30, 2007

10 CSR 20-4.030 Grants for Sewer Districts and Certain Small Municipal Sewer Systems. This Issue . . . . . August 30, 2007

10 CSR 20-4.061 Storm Water Grant and Loan Program . . . . . This Issue . . . . . August 30, 2007

10 CSR 20-7.050 Methodology for Development of Impaired Waters List . . . . . 31 MoReg 1845 . . . . . April 23, 2007

### Public Drinking Water Program

10 CSR 60-13.010 Grants for Public Water Supply Districts and Small Municipal Water Supply Systems . . . . . This Issue . . . . . August 30, 2007

## Department of Public Safety

### Missouri Gaming Commission

11 CSR 45-13.055 Emergency Order Suspending License Privileges—Expedited Hearing . . 32 MoReg 5 . . . . . June 7, 2007

## Department of Revenue

### Director of Revenue

12 CSR 10-41.010 Annual Adjusted Rate of Interest . . . . . 31 MoReg 1935 . . . . . June 29, 2007

### Highway Reciprocity

12 CSR 20-3.010 Apportion Registration . . . . . Next Issue . . . . . August 29, 2007

## Department of Social Services

### Children's Division

13 CSR 35-100.010 Residential Treatment Agency Tax Credit . . . . . 31 MoReg 1623 . . . . . March 29, 2007

13 CSR 35-100.020 Emergency Resource Center Tax Credit . . . . . 31 MoReg 1628 . . . . . March 29, 2007

### Family Support Division

13 CSR 40-79.010 Domestic Violence Shelter Tax Credit. . . . . 31 MoReg 1635 . . . . . March 29, 2007

### Division of Medical Services

13 CSR 70-10.030 Prospective Reimbursement Plan for Nonstate-Operated Facilities for ICF/MR Services . . . . . 32 MoReg 293 . . . . . August 1, 2007

## Elected Officials

### Secretary of State

15 CSR 30-51.180 Exemptions from Registration for Broker-Dealers, Agents, Investment Advisors, and Investment Advisors Representatives. . . . . This Issue . . . . Terminated March 5, 2007

15 CSR 30-51.180 Exemptions from Registration for Broker-Dealers, Agents, Investment Advisors, and Investment Advisors Representatives. . . . . This Issue . . . . . August 10, 2007

## Department of Insurance, Financial Institutions and Professional Registration

### Property and Casualty

20 CSR 500-5.020 Medical Malpractice Insurance Rate Filings . . . . . This Issue . . . . . August 10, 2007

20 CSR 500-5.025 Determination of Inadequate Rates . . . . . This Issue . . . . . August 10, 2007

20 CSR 500-5.026 Determination of Excessive Rates . . . . . This Issue . . . . . August 10, 2007

20 CSR 500-5.027 Determination of Unfairly Discriminatory Rates . . . . . This Issue . . . . . August 10, 2007

## Missouri Consolidated Health Care Plan

### Health Care Plan

22 CSR 10-2.010 Definitions . . . . . 32 MoReg 209 . . . . . June 29, 2007

22 CSR 10-2.060 PPO and Co-Pay Plan Limitations . . . . . 32 MoReg 210 . . . . . June 29, 2007

22 CSR 10-2.067 HMO and POS Limitations . . . . . 32 MoReg 210 . . . . . June 29, 2007

22 CSR 10-2.090 Pharmacy Benefit Summary . . . . . 32 MoReg 211 . . . . . June 29, 2007

Executive Orders	Subject Matter	Filed Date	Publication
<u>2007</u>			
07-01	Authorizes Transportation Director to temporarily suspend certain commercial motor vehicle regulations in response to emergencies	January 2, 2007	32 MoReg 295
07-02	Declares that a State of Emergency exists in the State of Missouri, directs that the Missouri State Emergency Operations Plan be activated	January 13, 2007	32 MoReg 298
07-03	Directs the Adjutant General call and order into active service such portions of the organized militia as he deems necessary to aid the executive officials of Missouri, to protect life and property, and to support civilian authorities	January 13, 2007	32 MoReg 299
07-04	Vests the Director of the Missouri Department of Natural Resources with full discretionary authority to temporarily waive or suspend the operation of any statutory or administrative rule or regulation currently in place under his purview in order to better serve the interest of public health and safety during the period of the emergency and subsequent recovery period	January 13, 2007	32 MoReg 301
07-05	Transfers the Breath Alcohol Program from the Missouri Department of Health and Senior Services to the Missouri Department of Transportation	January 30, 2007	This Issue
07-06	Transfers the function of collecting surplus lines taxes from the Missouri Department of Insurance, Financial Institutions and Professional Registration to the Department of Revenue	January 30, 2007	This Issue
07-07	Transfers the Crime Victims' Compensation Fund from the Missouri Department of Labor and Industrial Relations to the Missouri Department of Public Safety	January 30, 2007	This Issue
07-08	Extends the declaration of emergency contained in Executive Order 07-02 and the terms of Executive Order 07-04 through May 15, 2007, for continuing cleanup efforts from a severe storm that began on January 12	February 6, 2007	Next Issue
<u>2006</u>			
06-01	Designates members of staff with supervisory authority over selected state agencies	January 10, 2006	31 MoReg 281
06-02	Extends the deadline for the State Retirement Consolidation Commission to issue its final report and terminate operations to March 1, 2006	January 11, 2006	31 MoReg 283
06-03	Creates and establishes the Missouri Healthcare Information Technology Task Force	January 17, 2006	31 MoReg 371
06-04	Governor Matt Blunt transfers functions, personnel, property, etc. of the Division of Finance, the State Banking Board, the Division of Credit Unions, and the Division of Professional Registration to the Department of Insurance. Renames the Department of Insurance as the Missouri Department of Insurance, Financial Institutions and Professional Registration. Effective August 28, 2006	February 1, 2006	31 MoReg 448
06-05	Governor Matt Blunt transfers functions, personnel, property, etc. of the Missouri Rx Plan Advisory Commission to the Missouri Department of Health and Senior Services. Effective August 28, 2006	February 1, 2006	31 MoReg 451
06-06	Governor Matt Blunt transfers functions, personnel, property, etc. of the Missouri Assistive Technology Advisory Council to the Missouri Department of Elementary and Secondary Education. Rescinds certain provisions of Executive Order 04-08. Effective August 28, 2006	February 1, 2006	31 MoReg 453
06-07	Governor Matt Blunt transfers functions, personnel, property, etc. of the Missouri Life Sciences Research Board to the Missouri Department of Economic Development	February 1, 2006	31 MoReg 455
06-08	Names the state office building, located at 1616 Missouri Boulevard, Jefferson City, Missouri, in honor of George Washington Carver	February 7, 2006	31 MoReg 457
06-09	Directs and orders that the Director of the Department of Public Safety is the Homeland Security Advisor to the Governor, reauthorizes the Homeland Security Advisory Council and assigns them additional duties	February 10, 2006	31 MoReg 460
06-10	Establishes the Government, Faith-based and Community Partnership	March 7, 2006	31 MoReg 577
06-11	Orders and directs the Adjutant General to call and order into active service such portions of the organized militia as he deems necessary to aid the executive officials of Missouri, to protect life and property and to employ such equipment as may be necessary in support of civilian authorities	March 13, 2006	31 MoReg 580

Executive Orders	Subject Matter	Filed Date	Publication
06-12	Declares that a State of Emergency exists in the State of Missouri and directs that the Missouri State Emergency Operation Plan be activated	March 13, 2006	31 MoReg 582
06-13	The Director of the Missouri Department of Natural Resources is vested with full discretionary authority to temporarily waive or suspend the operation of any statutory or administrative rule or regulation currently in place under his purview in order to best serve the public health and safety during the period of the emergency and the subsequent recovery period	March 13, 2006	31 MoReg 584
06-14	Declares a State of Emergency exists in the State of Missouri and directs that the Missouri State Emergency Operation Plan be activated	April 3, 2006	31 MoReg 643
06-15	Orders and directs the Adjutant General, or his designee, to call and order into active service portions of the organized militia as he deems necessary to aid the executive officials of Missouri, to protect life and property, and take such action and employ such equipment as may be necessary in support of civilian authorities, and provide assistance as authorized and directed by the Governor	April 3, 2006	31 MoReg 645
06-16	Declares that a State of Emergency exists in the State of Missouri, directs that the Missouri State Emergency Operations Plan be activated	April 3, 2006	31 MoReg 647
06-17	Declares that a State of Emergency exists in the State of Missouri, directs that the Missouri State Emergency Operations Plan be activated	April 3, 2006	31 MoReg 649
06-18	Authorizes the investigators from the Division of Fire Safety, the Park Rangers from the Department of Natural Resources, the Conservation Agents from the Department of Conservation, and other POST certified state agency investigators to exercise full state wide police authority as vested in Missouri peace officers pursuant to Chapter 590, RSMo during the period of this state declaration of emergency	April 3, 2006	31 MoReg 651
06-19	Allows the director of the Missouri Department of Natural Resources to grant waivers to help expedite storm recovery efforts	April 3, 2006	31 MoReg 652
06-20	Creates interim requirements for overdimension and overweight permits for commercial motor carriers engaged in storm recovery efforts	April 5, 2006	31 MoReg 765
06-21	Designates members of staff with supervisory authority over selected state agencies	June 2, 2006	31 MoReg 1055
06-22	Healthy Families Trust Fund	June 22, 2006	31 MoReg 1137
06-23	Establishes Interoperable Communication Committee	June 27, 2006	31 MoReg 1139
06-24	Establishes Missouri Abraham Lincoln Bicentennial Commission	July 3, 2006	31 MoReg 1209
06-25	Declares that a State of Emergency exists in the State of Missouri, directs that the Missouri State Emergency Operations Plan be activated	July 20, 2006	31 MoReg 1298
06-26	Directs the Adjutant General to call and order into active service such portions of the organized militia as he deems necessary to aid the executive officials of Missouri, to protect life and property, and to support civilian authorities	July 20, 2006	31 MoReg 1300
06-27	Allows the director of the Missouri Department of Natural Resources to grant waivers to help expedite storm recovery efforts	July 21, 2006	31 MoReg 1302
06-28	Authorizes Transportation Director to issue declaration of regional or local emergency with reference to motor carriers	July 22, 2006	31 MoReg 1304
06-29	Authorizes Transportation Director to temporarily suspend certain commercial motor vehicle regulations in response to emergencies	August 11, 2006	31 MoReg 1389
06-30	Extends the declaration of emergency contained in Executive Order 06-25 and the terms of Executive Order 06-27 through September 22, 2006, for the purpose of continuing the cleanup efforts in the east central part of the State of Missouri	August 18, 2006	31 MoReg 1466
06-31	Declares that a State of Emergency exists in the State of Missouri, directs that the Missouri State Emergency Operations Plan be activated	September 23, 2006	31 MoReg 1699
06-32	Allows the director of the Missouri Department of Natural Resources to grant waivers to help expedite storm recovery efforts	September 26, 2006	31 MoReg 1701
06-33	Governor Matt Blunt orders all state employees to enable any state owned wireless telecommunications device capable of receiving text messages or emails to receive wireless AMBER alerts	October 4, 2006	31 MoReg 1847
06-34	Governor Matt Blunt amends Executive Order 03-26 relating to the duties of the Information Technology Services Division and the Information Technology Advisory Board	October 11, 2006	31 MoReg 1849
06-35	Governor Matt Blunt creates the Interdepartmental Coordination Council for Job Creation and Economic Growth	October 11, 2006	31 MoReg 1852
06-36	Governor Matt Blunt creates the Interdepartmental Coordination Council for Laboratory Services and Utilization	October 11, 2006	31 MoReg 1854
06-37	Governor Matt Blunt creates the Interdepartmental Coordination Council for Rural Affairs	October 11, 2006	31 MoReg 1856
06-38	Governor Matt Blunt creates the Interdepartmental Coordination Council for State Employee Career Opportunity	October 11, 2006	31 MoReg 1858

<b>Executive Orders</b>	<b>Subject Matter</b>	<b>Filed Date</b>	<b>Publication</b>
<b>06-39</b>	Governor Matt Blunt creates the Mental Health Transformation Working Group	October 11, 2006	31 MoReg 1860
<b>06-40</b>	Governor Matt Blunt creates the Interdepartmental Coordination Council for State Service Delivery Efficiency	October 11, 2006	31 MoReg 1863
<b>06-41</b>	Governor Matt Blunt creates the Interdepartmental Coordination Council for Water Quality	October 11, 2006	31 MoReg 1865
<b>06-42</b>	Designates members of staff with supervisory authority over selected state departments, divisions, and agencies	October 20, 2006	31 MoReg 1936
<b>06-43</b>	Closes state offices on Friday, November 24, 2006	October 24, 2006	31 MoReg 1938
<b>06-44</b>	Adds elementary and secondary education as another category with full membership representation on the Regional Homeland Security Oversight Committees in order to make certain that schools are included and actively engaged in homeland security planning at the state and local level	October 26, 2006	31 MoReg 1939
<b>06-45</b>	Directs the Department of Social Services to prepare a Medicaid beneficiary employer report to be submitted to the governor on a quarterly basis. Such report shall be known as the Missouri Health Care Responsibility Report	November 27, 2006	32 MoReg 6
<b>06-46</b>	Declares that a State of Emergency exists in the State of Missouri, directs that the Missouri State Emergency Operations Plan be activated	December 1, 2006	32 MoReg 127
<b>06-47</b>	Directs the Adjutant General call and order into active service such portions of the organized militia as he deems necessary to aid the executive officials of Missouri, to protect life and property, and to support civilian authorities	December 1, 2006	32 MoReg 129
<b>06-48</b>	Vests the Director of the Missouri Department of Natural Resources with full discretionary authority to temporarily waive or suspend the operation of any statutory or administrative rule or regulation currently in place under his purview in order to better serve the interest of public health and safety during the period of the emergency and subsequent recovery period	December 1, 2006	32 MoReg 131
<b>06-49</b>	Directs the Department of Mental Health to implement recommendations from the Mental Health Task Force to protect client safety and improve the delivery of mental health services	December 19, 2006	32 MoReg 212
<b>06-50</b>	Extends the declaration of emergency contained in Executive Order 06-46 and the terms of Executive Order 06-48 through March 1, 2007, for the purpose of continuing the cleanup efforts in the affected Missouri communities	December 28, 2006	32 MoReg 214

The rule number and the MoReg publication date follow each entry to this index.

**AIR QUALITY, AIR POLLUTION CONTROL**

clean air interstate rule  
annual NO<sub>x</sub> trading program; 10 CSR 10-6.362; 11/1/06  
seasonal NO<sub>x</sub> trading program; 10 CSR 10-6.364; 11/1/06  
SO<sub>2</sub> trading program; 10 CSR 10-6.366; 11/1/06  
conformity to state and federal implementation plans under Title  
23 U.S.C. or the federal transit laws  
Kansas City; 10 CSR 10-2.390; 12/1/06  
St. Louis; 10 CSR 10-5.480; 12/1/06  
construction permits by rule; 10 CSR 10-6.062; 11/1/06  
control of petroleum liquid storage, loading and transfer;  
10 CSR 10-5.220; 2/1/07  
control of mercury emissions from  
electric generating units; 10 CSR 10-6.368; 11/1/06  
control of NO<sub>x</sub> emissions from  
electric generating units, nonelectric generating boilers;  
10 CSR 10-6.360; 11/1/06  
emissions  
hazardous air pollutants; 10 CSR 10-6.080; 1/16/07  
limitations, trading of oxides of nitrogen; 10 CSR 10-6.350;  
11/1/06  
motor vehicle inspection; 10 CSR 10-5.380; 2/15/07  
on-board diagnostics; 10 CSR 10-5.381; 2/15/07  
waiver; 10 CSR 10-5.375; 2/15/07  
maximum achievable control technology; 10 CSR 10-6.075;  
1/16/07  
new source performance; 10 CSR 10-6.070; 1/16/07  
restriction of emission of odors; 10 CSR 10-2.070,  
10 CSR 10-3.090, 10 CSR 10-4.070, 10 CSR 10-5160;  
1/2/07

**AMBULATORY SURGICAL CENTERS**

administration standards; 19 CSR 30-30.020; 2/15/07  
definitions; 19 CSR 30-30.010; 2/15/07

**ANESTHESIOLOGIST ASSISTANTS**

continuing education; 4 CSR 150-9.070; 7/17/06

**ARCHITECTS, PROFESSIONAL ENGINEERS,  
PROFESSIONAL LAND SURVEYORS, LANDSCAPE  
ARCHITECTS**

application, renewal, reinstatement, reregistration, fees;  
4 CSR 30-6.015; 1/3/06 (*changed to 20 CSR 2030-6.015*);  
9/15/06, 12/15/06; 1/2/07  
continuing education  
architects; 20 CSR 2030-11.025; 11/15/06, 3/1/07  
continuing professional competency  
engineers; 20 CSR 2030-11.015; 11/15/06, 3/1/07  
seal, licensee's; 20 CSR 2030-3.060; 11/15/06, 3/1/07

**ATHLETICS, OFFICE OF**

contestants; 4 CSR 40-4.090; 9/1/06, 1/16/07  
physicians; 4 CSR 40-4/040; 9/1/06, 1/16/07

**ATHLETIC TRAINERS**

applicants for registration; 20 CSR 2150-6/020; 11/15/06

**AUDITOR, OFFICE OF THE STATE**

financial reports, political subdivisions; 15 CSR 40-3.030; 8/1/06,  
12/1/06

**BINGO**

net receipts; 11 CSR 45-30.280; 12/1/06

**BIODIESEL PRODUCER INCENTIVE PROGRAM**

organization; 2 CSR 110-2.010; 9/1/06, 1/2/07

**CERTIFICATE OF NEED**

administration; 19 CSR 60-50.900; 9/15/06, 2/15/07

application process; 19 CSR 60-50.430; 9/15/06, 2/15/07  
criteria and standards  
financial feasibility; 19 CSR 60-50.470; 9/15/06, 2/15/07  
long-term care; 19 CSR 60-50.450; 9/15/06, 2/15/07  
decisions; 19 CSR 60-50.600; 9/15/06, 2/15/07  
definitions; 19 CSR 60-50.300; 9/15/06, 2/15/07  
letter of intent  
package; 19 CSR 60-50.410; 9/15/06, 2/15/07  
process; 19 CSR 60-50.400; 9/15/06, 2/15/07  
meeting procedures; 19 CSR 60-50.800; 9/15/06, 2/15/07  
post-decision activity; 19 CSR 60-50.700; 9/15/06, 2/15/07

**CHILDREN'S DIVISION**

care of children; 13 CSR 35-60.050; 9/1/06, 12/15/06  
family homes offering foster care; 13 CSR 35-60.010; 9/1/06,  
12/15/06  
number of children; 13 CSR 35-60.020; 9/1/06, 12/15/06  
physical standards, foster homes; 13 CSR 35-60.040; 9/1/06,  
12/15/06  
qualifications, foster parents; 13 CSR 35-60.030; 9/1/06, 12/15/06  
records and reports; 13 CSR 35-60.060; 9/1/06, 12/15/06  
tax credit  
pregnancy resource center; 13 CSR 35-100.020; 10/16/06,  
2/15/07  
residential treatment agency; 13 CSR 35-100.010; 10/16/06,  
2/15/07

**CLEAN WATER COMMISSION**

hearings, appeals, requests; 10 CSR 20-1.020; 6/1/06, 11/15/06  
impaired waters list; 10 CSR 20-7.050; 11/15/06, 12/15/06  
grants for  
sewer districts; 10 CSR 20-4.030; 3/1/07  
water districts; 10 CSR 60-13.010; 3/1/07  
state forty percent construction grant program; 10 CSR 20-4.023;  
3/1/07  
storm water grant; 10 CSR 20-4.061; 3/1/07

**CONSERVATION COMMISSION**

bait, live; 3 CSR 10-6.605; 11/1/06, 1/16/07  
sale of live bait; 3 CSR 10-10.735; 11/1/06, 1/16/07  
bass, black; 3 CSR 10-6.505; 11/1/06, 1/16/07  
bass, white, yellow, striped; 3 CSR 10-6.545; 11/1/06, 1/16/07  
boats, motors  
use of; 3 CSR 10-11.160; 11/1/06, 1/16/07  
bullfrogs, green frogs; 3 CSR 10-12.115; 11/1/06, 1/16/07  
camping; 3 CSR 10-11.140; 11/1/06, 1/16/07  
catfish, channel, blue, flathead; 3 CSR 10-6.510; 11/1/06, 1/16/07  
closed hours; 3 CSR 10-12.109; 11/1/06, 1/16/07  
commercial fishing, seasons, methods; 3 CSR 10-10.725; 11/1/06,  
1/16/07  
confined wildlife  
prohibition, application; 3 CSR 10-9.110; 11/1/06, 1/16/07  
provisions, general; 3 CSR 10-9.105; 11/1/06, 1/16/07  
standards; 3 CSR 10-9.220; 11/1/06, 1/16/07  
crappie; 3 CSR 10-6.515; 11/1/06, 1/16/07  
definitions; 3 CSR 10-20.805; 11/1/06, 1/16/07  
dog training area; 3 CSR 10-9.625; 11/1/06, 2/1/07  
privileges; 3 CSR 10-9.628; 11/1/06, 2/1/07  
field trials; 3 CSR 10-11.125; 11/1/06, 2/1/07  
fishing  
daily and possession limits; 3 CSR 10-11.210; 11/1/06, 1/16/07  
length limits  
areas owned by other entities; 3 CSR 10-12.145; 11/1/06,  
1/16/07  
department areas; 3 CSR 10-11.215; 11/1/06, 1/16/07  
sport fishing; 3 CSR 10-6.410; 11/1/06, 1/16/07  
methods, hours; 3 CSR 10-11.205; 11/1/06, 1/16/07

provisions, general  
  areas owned by other entities;  
    3 CSR 10-12.130; 11/1/06, 1/16/07  
  department areas; 3 CSR 10-11.200; 11/1/06, 1/16/07  
  Stone Mill Spring Branch; 3 CSR 10-12.155; 11/1/06, 1/16/07  
fish, other; 3 CSR 10-6.550; 11/1/06, 1/16/07  
furbearers  
  seasons, methods; 3 CSR 10-7.450; 11/1/06, 1/16/07  
  trapping seasons; 3 CSR 10-8.515; 11/1/06, 1/16/07  
hunting  
  methods; 3 CSR 10-7.410; 11/1/06, 1/16/07  
  provisions, seasons; 3 CSR 10-11.180; 4/17/06, 7/3/06,  
    11/1/06, 1/16/07; 3 CSR 10-7.405; 11/1/06  
licensed hunting preserve; 3 CSR 10-9.565; 5/15/06; 2/1/07  
muskellunge, northern pike, grass pickerel, chain pickerel;  
  3 CSR 10-6.520; 11/1/06, 1/16/07  
paddlefish; 3 CSR 10-6.525; 11/1/06, 1/16/07  
permits  
  antlerless deer hunting  
    nonresident archery; 3 CSR 10-5.554; 11/1/06, 1/16/07  
    nonresident firearms; 3 CSR 10-5.552; 11/1/06, 1/16/07  
    resident firearms; 3 CSR 10-5.352; 11/1/06, 1/16/07  
  any-deer hunting  
    nonresident firearms; 3 CSR 10-5.551; 11/1/06, 1/16/07  
    nonresident landowner; 3 CSR 10-5.576; 11/1/06, 1/16/07  
    resident firearms; 3 CSR 10-5.351; 11/1/06, 1/16/07  
  archer's hunting  
    nonresident; 3 CSR 10-5.560; 11/1/06, 1/16/07  
  cable constraint  
    resident; 3 CSR 10-5.375; 11/1/06, 1/16/07  
  conservation partner  
    resident lifetime; 3 CSR 10-5.310; 11/1/06, 1/16/07  
  dog training area; 3 CSR 10-9.627; 11/1/06, 1/16/07  
  field trial; 3 CSR 10-9.625; 11/1/06, 2/1/07  
  fishing  
    daily; 3 CSR 10-5.440; 11/1/06, 1/16/07  
    nonresident; 3 CSR 10-5.540; 11/1/06, 1/16/07  
    resident lifetime; 3 CSR 10-5.315; 11/1/06, 1/16/07  
  furbearer hunting and trapping  
    nonresident; 3 CSR 10-5.570; 11/1/06, 1/16/07  
  hunting and fishing;  
    resident; 3 CSR 10-5.330; 11/1/06, 1/16/07  
  licensed hunting preserve  
    hunting; 3 CSR 10-5.460; 3 CSR 10-9.560; 11/1/06,  
      1/16/07  
    privileges; 3 CSR 10-9.565; 11/1/06, 2/1/07  
    three day hunting license; 3 CSR 10-5.465; 11/1/06,  
      1/16/07  
  licensed shooting area; 3 CSR 10-9.560; 11/1/06, 1/16/07  
  managed deer hunt  
    nonresident; 3 CSR 10-5.559; 11/1/06, 1/16/07  
  small game hunting  
    nonresident; 3 CSR 10-5.545; 11/1/06, 1/16/07  
    resident lifetime; 3 CSR 10-5.320; 11/1/06, 1/16/07  
  small game hunting and fishing  
    resident; 3 CSR 10-5.320; 11/1/06, 1/16/07  
  turkey hunting  
    nonresident; 3 CSR 10-5.565; 11/1/06, 1/16/07  
  wildlife breeder, Class II; 3 CSR 10-9.351; 11/1/06, 1/16/07  
  wildlife collector; 3 CSR 10-9.425; 11/1/06, 1/16/07  
pheasants, seasons, limits; 3 CSR 10-7.430; 11/1/06, 1/16/07  
prohibited species; 3 CSR 10-4.117; 11/1/06, 1/16/07  
provisions, general; 3 CSR 10-6.405; 1/16/07  
quail, seasons, limits; 3 CSR 10-7.415; 11/1/06, 1/16/07  
rock bass, warmouth; 3 CSR 10-6.530; 11/1/06, 1/16/07  
shovelnose sturgeon; 3 CSR 10-6.533; 11/1/06, 1/16/07  
sport fishing, provisions; 3 CSR 10-6.405; 11/1/06  
traps, use of; 3 CSR 10-8.510; 11/1/06, 1/16/07  
trout; 3 CSR 10-6.535; 11/1/06, 1/16/07, 2/1/07  
turkeys; 3 CSR 10-7.455; 2/1/07  
walleye, sauger; 3 CSR 10-6.540; 11/1/06, 1/16/07

wildlife breeders, Class I and II  
  privileges; 3 CSR 10-9.353; 11/1/06, 2/1/07  
  records; 3 CSR 10-9.359; 11/1/06, 1/16/07  
wildlife, preparing and serving; 3 CSR 10-4.145; 11/1/06, 1/16/07

#### CREDIT UNION COMMISSION

definitions; 4 CSR 105-3.010; 7/17/06 (*changed to*  
  20 CSR 1105-3.010); 11/15/06  
  immediate family; 4 CSR 105-3.011; 7/17/06 (*changed to*  
    20 CSR 1105-3.011); 11/15/06  
  underserved and low-income; 4 CSR 105-3.012; 7/17/06  
    (*changed to* 20 CSR 1105-3.012); 7/17/06; 11/15/06

#### CREDIT UNIONS, DIVISION OF

mergers, consolidations; 4 CSR 100-2.075; 7/17/06 (*changed to*  
  20 CSR 1100-2.075); 11/15/06

#### CRIME REPORTING PROGRAM, MISSOURI UNIFORM

quality assurance review; 11 CSR 30-11.010; 1/16/07

#### DENTAL BOARD

addressing the public; 4 CSR 110-2.110 (*changed to*  
  20 CSR 2110-2.110); 9/15/06; 1/2/07, 1/16/07  
fees; 20 CSR 2110-2.170; 1/2/07  
patient abandonment; 4 CSR 110-2.114 (*changed to*  
  20 CSR 2110-2.114); 9/15/06; 1/2/07, 1/16/07  
reciprocity/waiver of examination; 1/2/07

#### DIETITIANS

application for licensure/grandfather clause/reciprocity;  
  20 CSR 2115-2.010; 1/2/07  
duplicate license; 20 CSR 2115-2.050; 1/2/07

#### ELECTIONS DIVISION

voting machines (electronic)  
  certification statements; 15 CSR 30-10.020; 8/1/06, 11/15/06  
  closing polling places; 15 CSR 30-10.150; 8/1/06, 11/15/06  
  definitions; 15 CSR 30-10.010; 8/1/06, 11/15/06  
  electronic ballot tabulation  
    counting preparation, logic, accuracy testing;  
      15 CSR 30-10.140; 8/1/06, 11/15/06  
    election procedures; 15 CSR 30-10.160; 8/1/06, 11/15/06  
  voter education, device preparation; 15 CSR 30-10.130;  
    8/1/06, 11/15/06

#### ELEMENTARY AND SECONDARY EDUCATION

A+ schools program; 5 CSR 50-350.040; 1/2/07  
allowable costs for state transportation aid; 5 CSR 30-261.040;  
  1/2/07  
definitions; 5 CSR 30-660.065; 11/15/06  
education programs, procedures, standards; 5 CSR 80-805.015;  
  8/15/06, 1/2/07  
family literary program; 5 CSR 60-100.050; 10/16/06  
fee payment programs; 5 CSR 50-200.050; 10/16/06  
gifted children, program; 5 CSR 50-200.010; 11/1/06  
individuals with disabilities act; 5 CSR 70-742.141; 2/15/07  
provisions, general; 5 CSR 30-345.010; 9/15/06, 2/15/07  
school building revolving fund; 5 CSR 30-640.010; 11/15/06  
school bus chassis and body; 5 CSR 30-261.025; 7/3/06, 12/1/06  
virtual instruction program; 5 CSR 50-500.010; 3/1/07  
waiver of regulations; 5 CSR 50-345.020; 8/15/06, 1/2/07

#### ELEVATOR SAFETY

accessibility; 11 CSR 40-5.070; 1/2/07  
alterations; 11 CSR 40-5.080; 1/2/07  
fees and penalties; 11 CSR 40-5.110; 1/2/07  
inspection and testing; 11 CSR 40-5.090; 1/2/07  
minimum safety codes for existing equipment; 11 CSR 40-5.065;  
  1/2/07  
new installations; 11 CSR 40-5.050; 1/2/07



**EMBALMERS AND FUNERAL DIRECTORS, STATE BOARD**

definitions; 20 CSR 2120-1.040; 3/1/07  
 fees; 20 CSR 2120-2.100; 3/1/07  
 funeral establishments containing a crematory; 20 CSR 2120-2.071; 3/1/07  
 licensure by reciprocity; 20 CSR 2120-2.040; 3/1/07  
 organization; 20 CSR 2120-1.010; 3/1/07  
 preparation rooms; 20 CSR 2120-2.090; 3/1/07  
 registration, apprenticeship; 20 CSR 2120-2.010; 3/1/07  
 rules, miscellaneous; 20 CSR 2120-2.050; 3/1/07

**EMERGENCY MEDICAL SERVICES**

fees; 19 CSR 30-40.450; 7/3/06, 12/1/06

**ENERGY ASSISTANCE**

low income energy assistance; 13 CSR 40-19.020; 10/3/05

**EXECUTIVE ORDERS**

amber alerts, state owned wireless communications are enabled to receive amber alerts; 06-33; 11/15/06  
 Breath Alcohol Program transfers from the Department of Health and Senior Services to the Department of Transportation; 07-05; 3/1/07  
 Crime Victims Compensation Fund transfers from the Department of Labor and Industrial Relations to the Department of Public Safety; 07-07; 3/1/07  
 emergency declaration which requires suspension of federal and commercial motor vehicle and driver laws; 06-29; 9/15/06  
 governor's staff, supervisory authority, departments; 06-02, 2/15/06; 06-21, 7/17/06; 06-42, 12/1/06  
 Healthy Families Trust Fund; 06-22; 8/1/06  
 holiday schedule, closes state offices on Friday, November 24, 2006; 06-43; 12/1/06  
 adds the Department of Elementary and Secondary Education to full membership representation; 06-44; 12/1/06  
 Information Technology Advisory Board reorganized; 06-34; 11/15/06  
 Interdepartmental Coordination Council for Job Creation and Economic Growth established; 06-35; 11/15/06  
 Interdepartmental Coordination Council for Laboratory Services and Utilization established; 06-36; 11/15/06  
 Interdepartmental Coordination Council for Rural Affairs established; 06-37; 11/15/06  
 Interdepartmental Coordination Council for State Employee Career Opportunity established; 06-38; 11/15/06  
 Interdepartmental Coordination Council for State Service Delivery Efficiency established; 06-40; 11/15/06  
 Interdepartmental Coordination Council for Water Quality established; 06-41; 11/15/06  
 Medicaid beneficiary employer report to be filed quarterly to be known as the Missouri Health Care Responsibility Report starting in 2008; 06-45; 1/2/07  
 Mental Health to follow the recommendations of the Mental Health Task Force to make certain no instance of abuse or neglect in public or private mental health facilities is overlooked; 06-49; 2/1/07  
 Mental Health Transformation Working Group; 06-39; 11/15/06  
 severe weather  
 authorizes the director of the Department of Transportation to temporarily suspend certain commercial motor vehicle regulations during regional or local emergency declarations; 07-01; 2/15/07  
 severe weather January 12, 2007  
 activates the state militia in response to the aftermath of severe storms; 07-03; 2/15/07  
 declares a State of Emergency and directs the Missouri State Emergency Operations Plan to be activated; 7-02; 2/15/07  
 gives the director of the Department of Natural Resources the authority to suspend regulations in the aftermath of severe weather; 07-04; 2/15/07  
 severe weather July 19, 2006

Adjutant General to call organized militia into active service; 06-26; 9/1/06  
 Department of Natural Resources to waive rules during recovery period; 06-27; 9/1/06  
 Emergency Operations Plan to be activated; 06-25; 9/1/06  
 extension of time in Executive Orders 06-25 and 06-27; 06-30; 10/2/06  
 motor vehicle federal requirements suspended; 06-28; 9/1/06  
 severe weather September 22, 2006  
 Emergency Operations Plan to be activated; 06-31; 11/1/06  
 Department of Natural Resources to waive rules during recovery period; 06-32; 11/1/06  
 severe weather November 29, 2006  
 Department of Natural Resources to waive rules during recovery period; 06-48; 1/16/07  
 severe weather November 30, 2006  
 Adjutant General to call organized militia into active service; 06-47; 1/16/07  
 Emergency Operations Plan to be activated; 06-46; 1/16/07  
 extends the declaration of emergency through March 1, 2007, for clean up efforts in the aftermath of severe storms on November 30 and December 1, 2006; 06-50; 2/1/07  
 Statewide Interoperability Executive Committee; 06-23; 8/1/06  
 surplus lines taxes transfers from the Department of Insurance, Financial Institutions and Professional Registration to the Department of Revenue; 07-06; 3/1/07

**FAMILY CARE SAFETY REGISTRY**

child-care, elder-care worker registration; 19 CSR 30-80.030; 3/1/07

**FAMILY SUPPORT DIVISION**

care of children; 13 CSR 40-60.050; 9/1/06, 12/15/06  
 family homes offering foster care; 13 CSR 40-60.010; 9/1/06, 12/15/06  
 number of children; 13 CSR 40-60.020; 9/1/06, 12/15/06  
 physical standards for foster homes; 13 CSR 40-60.040; 9/1/06, 12/15/06  
 qualifications, foster parents; 13 CSR 40-60.030; 9/1/06, 12/15/06  
 records and reports; 13 CSR 40-60.060; 9/1/06, 12/15/06  
 tax credit  
 domestic violence center; 13 CSR 40-79.010; 10/16/06, 2/15/07

**GAMING COMMISSION, MISSOURI**

applications; 11 CSR 45-12.040; 10/2/06, 2/1/07  
 chips, tokens, coupons; 11 CSR 45-5.180; 10/2/06, 2/1/07  
 electronic gaming devices  
 standards, minimum; 11 CSR 45-5.190; 10/2/06, 2/1/07  
 excursion liquor license defined; 11 CSR 45-12.020; 10/2/06, 2/1/07  
 emergency order suspending license privileges—expedited hearing; 11 CSR 45-13.055; 1/2/07  
 hours of operation; 11 CSR 45-12.080; 12/1/06  
 liquor control, rules of; 11 CSR 45-12.090; 10/2/06, 2/1/07  
 occupational licenses; 11 CSR 45-4.260; 5/1/06, 10/2/06  
 refund, claim for refund; 11 CSR 45-11.110; 10/2/06, 2/1/07  
 return, gaming tax; 11 CSR 45-11.040; 10/2/06, 2/1/07  
 shipping of electronic gaming devices; 11 CSR 45-5.237; 8/1/06, 1/2/07  
 slot machines, progressive; 11 CSR 45-5.200; 10/2/06, 2/1/07  
 storage and retrieval; 11 CSR 45-7.080; 9/1/06, 2/1/07  
 surveillance  
 equipment; 11 CSR 45-7.030; 9/1/06, 2/1/07  
 required; 11 CSR 45-7.040; 9/1/06, 2/1/07  
 system plans; 11 CSR 45-7.120; 9/1/06, 2/1/07  
 timeliness, extensions for filing a return; 11 CSR 45-11.090; 10/2/06, 2/1/07

**GEOLOGY AND LAND SURVEY, DIVISION OF**

disciplinary actions, appeals procedure; 10 CSR 23-1.075; 10/16/06, 2/15/07  
 sensitive areas; 10 CSR 23-3.100; 2/15/07

**HEALTH CARE PLAN, MISSOURI CONSOLIDATED**

definitions; 22 CSR 10-2.010; 2/1/07  
HMO and POS limitations; 22 CSR 10-2.067; 2/1/07  
pharmacy benefit summary; 22 CSR 10-2.090; 2/1/07  
PPO and co-pay plan limitations; 22 CSR 10-2.060; 2/1/07

**HEARING INSTRUMENT SPECIALISTS, BOARD OF EXAMINERS FOR**

fees; 20 CSR 2165-1.020; 11/15/06, 3/1/07

**HEAT PUMP CONSTRUCTION CODE**

closed-loop heat pump wells; 10 CSR 23-5.050; 2/15/07

**HIGHER EDUCATION**

academic scholarship program; 6 CSR 10-2.080; 2/15/07  
competitiveness scholarship; 6 CSR 10-2.120; 2/15/07  
student eligibility, application procedures; 6 CSR 10-2.020; 2/15/07

**HIGHWAYS AND TRANSPORTATION COMMISSION**

contractor performance rating  
definitions; 7 CSR 10-10.010; 1/16/07  
determination of nonresponsibility; 7 CSR 10-10.080; 1/16/07  
project evaluation; 7 CSR 10-10.040; 1/16/07  
procedure, annual rating of contractors; 7 CSR 10-10.070;  
1/16/07  
procedure, schedule for completing the project evaluation;  
7 CSR 10-10.050; 1/16/07  
rating categories for contractors; 7 CSR 10-10.030; 1/16/07  
reservation of rights to recommend or declare persons or  
contractors nonresponsible; 7 CSR 10-10.090; 1/16/07  
standard deviation rating system; 7 CSR 10-10.060; 1/16/07  
description, organization, information; 7 CSR 10-1.010; 7/17/06,  
12/1/06  
notice given to consumers by carriers; 7 CSR 10-25.040; 6/15/06

**HOSPITALS**

anesthesiologist assistants in hospitals; 19 CSR 30-20.001; 2/15/07

**INSURANCE, FINANCIAL INSTITUTIONS AND PROFESSIONAL REGISTRATION, DEPARTMENT OF ANNUITIES**

disclosure of material facts; 20 CSR 400-5.410; 8/15/06,  
12/15/06  
business names, registration; 20 CSR 700-6.350; 6/15/06  
health benefit plans; 20 CSR 400-2.135; 10/2/06, 1/16/07  
HMO access plans; 20 CSR 400-7.095; 1/16/07  
malpractice, professional  
determination of  
discriminatory rates; 20 CSR 500-5.027; 3/1/07  
excessive rates; 20 CSR 500-5.026; 3/1/07  
inadequate rates; 20 CSR 500-5.025; 3/1/07  
insurance rate filings; 20 CSR 500-5.020; 3/1/07  
medical malpractice award; 20 CSR; 3/3/03, 3/15/04, 3/1/05,  
4/17/06  
service contracts  
faithful performance of provider; 20 CSR 200-18.020; 8/1/06,  
11/15/06  
registration of administrators; 20 CSR 200-18.010; 8/1/06;  
11/15/06  
sovereign immunity limits; 20 CSR; 1/3/05, 12/15/05; 12/1/06  
surplus lines insurance, fees, taxes; 20 CSR 200-6.300; 9/15/06,  
1/16/07

**INTERIOR DESIGN COUNCIL**

application; 20 CSR 2193-2.010; 1/16/07  
definitions; 20 CSR 2193-1.010; 1/16/07  
organization; 20 CSR 2193-1.020; 1/16/07  
original registration, form, content; 20 CSR 2193-3.010; 1/16/07  
reciprocity, waiver of examination; 20 CSR 2193-2.040; 1/16/07  
renewal; 20 CSR 2193-3.020; 1/16/07  
requirements; 20 CSR 2193-5.010; 1/16/07

**INTERPRETERS, MISSOURI STATE COMMITTEE OF**

certification recognized by board; 4 CSR 232-2.040 (*changed to*  
*20 CSR 2232-2.040*); 10/2/06, 1/16/07  
principles, general; 4 CSR 232-3.010; 8/15/06, (*changed to*  
*20 CSR 2232-3.010*); 12/15/06

**INVESTMENT OF NONSTATE FUNDS**

collateral requirements; 12 CSR 10-43.030; 10/16/06, 3/1/07  
group, investment; 12 CSR 10-43.010; 10/16/06, 3/1/07  
investment instruments of nonstate funds; 12 CSR 10-43.020;  
10/16/06, 3/1/07

**LOTTERY, STATE**

claim period; 12 CSR 40-50.050, 12 CSR 40-80.080; 11/15/06

**MEDICAL SERVICES, DIVISION OF**

emergency ambulance program; 13 CSR 70-6.010; 3/15/06, 8/1/06,  
1/16/07  
exception to medical care services limitations; 13 CSR 70-2.100;  
11/1/06, 3/1/07  
list of excludable drugs  
excluded from coverage; 13 CSR 70-20.032; 2/15/07  
prior authorization required; 13 CSR 70-20.031; 2/15/07  
list of non-excludable drugs  
prior authorization required; 13 CSR 70-20.034; 2/15/07  
medical pre-certification process; 13 CSR 70-3.180; 8/1/06, 1/2/07  
organization; 13 CSR 70-1.010; 5/15/06, 9/1/06  
reimbursement  
HIV services; 13 CSR 70-10.080; 5/2/05, 8/15/05; 8/1/05,  
7/17/06, 10/2/06  
inpatient, outpatient hospital services; 13 CSR 70-15.010;  
8/1/06, 11/15/06  
nonstate operated facilities for ICF/MR services;  
13 CSR 70-10.030; 2/15/07  
nursing services; 13 CSR 70-10.015; 8/1/05, 7/17/06, 10/2/06  
sanctions for false, fraudulent claims; 13 CSR 70-3.030; 8/1/06,  
11/15/06, 12/15/06

**MENTAL HEALTH, DEPARTMENT OF**

psychiatric and substance abuse programs  
definitions; 9 CSR 10-7.140; 10/2/06, 3/1/07

**MOTOR VEHICLE**

notice of lien; 12 CSR 10-23.446; 11/15/06, 3/1/07  
purple heart license plates; 12 CSR 10-23.422; 10/2/06, 1/16/07  
replacement vehicle identification; 12 CSR 10-23.255; 11/15/06,  
3/1/07  
school bus inspection; 11 CSR 50-2.320; 9/15/06, 12/15/06  
watercraft identification plates; 12 CSR 10-23.270; 11/15/06,  
3/1/07

**NURSING, STATE BOARD OF**

advanced practice nurse; 4 CSR 200-4.100; (*changed to*  
*20 CSR 2200-4.100*); 9/15/06, 2/1/07  
collaborative practice;  
4 CSR 200-4.200 (*changed to 20 CSR 2200-4.200*); 9/15/06,  
2/15/07  
4 CSR 150-5.100 (*changed to 20 CSR 2150-5.100*); 9/15/06,  
2/15/07

**OIL AND GAS COUNCIL**

application for permit to drill, deepen, plug-back or inject;  
10 CSR 50-2.030; 10/16/06

**OPTOMETRY, STATE BOARD OF**

fees; 20 CSR 2210-2.070; 1/2/07  
licensure  
by examination; 20 CSR 2210-2.020; 1/2/07  
by reciprocity; 20 CSR 2210-2.011; 1/2/07  
organization; 20 CSR 2210-1.010; 1/2/07

**PERSONNEL ADVISORY BOARD**

appeals; 1 CSR 20-4.010; 11/15/06  
leaves of absence; 1 CSR 20-5.020; 11/15/05, 7/17/06, 11/15/06

**PETROLEUM STORAGE TANKS**

definitions; 10 CSR 100-2.010; 1/2/07  
claims for cleanup costs; 10 CSR 100-5.010; 1/2/07  
participation requirements  
  aboveground 10 CSR 100-4.020; 1/2/07  
  underground; 10 CSR 100-4.010; 1/2/07

**PHARMACY, STATE BOARD OF**

automated dispensing, storage systems; 4 CSR 220-2.900 (*changed to 20 CSR 2220-2.900*); 10/2/06, 3/1/07  
drug distributor  
  definitions, standards; 4 CSR 220-5.030 (*changed to 20 CSR 2220-5.030*); 10/2/06, 3/1/07  
  licensing requirements; 4 CSR 220-5.020 (*changed to 20 CSR 2220-5.020*); 10/2/06, 3/1/07  
fingerprint requirements; 4 CSR 220-2.450 (*changed to 20 CSR 2220-2.450*); 10/2/06, 3/1/07  
nonresident pharmacies; 4 CSR 220-2.025 (*changed to 20 CSR 2220-2.025*); 10/2/06, 3/1/07  
nuclear pharmacy; 20 CSR 2220-2.500; 1/2/07  
patient counseling; 4 CSR 220-2.190 (*changed to 20 CSR 2220-2.190*); 10/2/06, 3/1/07  
permits; 4 CSR 220-2.020 (*changed to 20 CSR 2220-2.020*); 10/2/06, 3/1/07  
standards of operation; 4 CSR 220-2.010 (*changed to 20 CSR 2220-2.010*); 10/2/06, 3/1/07

**PHYSICAL THERAPISTS AND THERAPIST ASSISTANTS**

applicants for licensure; 4 CSR 150-3.010 (*changed to 20 CSR 2150-3.010*); 9/15/06, 2/1/07  
continuing education, acceptable; 4 CSR 150-3.203 (*changed to 20 CSR 2150-3.203*); 9/15/06, 2/1/07

**PHYSICIAN ASSISTANTS**

supervision agreements; 4 CSR 150-7.135 (*changed to 20 CSR 2150-7.135*); 9/15/06, 2/15/07

**PHYSICIANS AND SURGEONS**

continuing medical education; 4 CSR 150-2.125 (*changed to 20 CSR 2150-2.125*); 9/15/06, 2/1/07

**PROBATION AND PAROLE**

intervention fee procedure; 14 CSR 80-5.020; 9/15/06, 1/16/07

**PSYCHOLOGISTS, STATE COMMITTEE OF**

continuing education  
  programs, credits; 4 CSR 235-7.030; 8/15/06 (*changed to 20 CSR 2235-7.030*); 1/16/07  
  reports; 4 CSR 235-7.020; 8/15/06 (*changed to 20 CSR 2235-7.020*); 1/16/07  
definitions; 20 CSR 2235-1.015; 1/16/07  
ethical rules of conduct; 4 CSR 235-5.030; 8/15/06 (*changed to 20 CSR 2235-5.030*); 1/16/07  
renewal of license; 20 CSR 2235-1.050; 1/16/07  
replacement of certificates; 20 CSR 2235-1.063; 1/16/07

**PUBLIC DEFENDER, STATE OFFICE OF**

guidelines for determination of indigency; 18 CSR 10-3.010; 3/1/06, 8/15/06, 12/1/06

**PUBLIC SERVICE COMMISSION**

confidential information; 4 CSR 240-2.135; 7/3/06, 12/1/06  
electric utilities  
  fuel, purchased power cost recovery mechanism;  
    4 CSR 240-20.090; 7/17/06, 12/1/06  
  filing and submission requirements;  
    4 CSR 240-3.161; 7/17/06, 12/1/06  
number pooling and number conservation efforts  
  definitions; 4 CSR 240-37.020; 11/1/06, 2/15/07

provisions, general; 4 CSR 240-37.010; 11/1/06, 2/15/07  
reclamation; 4 CSR 240-37.050; 11/1/06, 2/15/07  
reporting requirements; 4 CSR 240-37.060; 11/1/06, 2/15/07  
requests for review; 4 CSR 240-37.040; 11/1/06, 2/15/07  
thousand-block number pooling; 4 CSR 240-37.030; 11/1/06, 2/15/07

**telecommunication companies**

carrier designations, requirements; 4 CSR 240-3.570; 12/1/05, 5/15/06  
filing company tariffs; 4 CSR 240-3.545; 6/15/06, 11/15/06

**RAIL FIXED GUIDEWAY SYSTEMS**

accidents and hazards, compliance with FTA; 4 CSR 10-9.150 (*changed to 7 CSR 10-9.150*); 1/2/07  
dedicated telephone; 4 CSR 10-9.140 (*changed to 7 CSR 10-9.140*); 1/2/07  
definitions; 4 CSR 10-9.010 (*changed to 7 CSR 10-9.010*); 1/2/07  
drug and alcohol testing; 4 CSR 10-9.060 (*changed to 7 CSR 10-9.060*); 1/2/07  
safety and security program; 4 CSR 10-9.020; (*changed to 7 CSR 10-9.020*); 1/2/07  
safety reviews in accordance with FTA standards;  
  4 CSR 10-9.040 (*changed to 7 CSR 10-9.040*); 1/2/07  
signs; 4 CSR 10-9.050; (*changed to 7 CSR 10-9.050*); 1/2/07  
hours of service; 4 CSR 10-9.070 (*changed to 7 CSR 10-9.070*); 1/2/07  
rail-highway grade crossing  
  construction and maintenance; 4 CSR 10-9.100 (*changed to 7 CSR 10-9.100*); 1/2/07  
  visual obstructions; 4 CSR 10-9.130 (*changed to 7 CSR 10-9.130*); 1/2/07  
  warning devices; 4 CSR 10-9.110 (*changed to 7 CSR 10-9.110*); 1/2/07  
walkways; 4 CSR 10-9.090 (*changed to 7 CSR 10-9.090*); 1/2/07

**REAL ESTATE APPRAISERS**

application, certificate and license fees; 20 CSR 2245-5.020; 1/2/07  
applications for certification and licensure; 20 CSR 2245-3.010; 1/2/07  
appraiser's assignment log; 20 CSR 2245-2.050; 1/2/07  
appraiser's seal; 20 CSR 2245-2.040; 1/2/07  
certification and licensure examinations; 20 CSR 2245-3.020; 1/2/07  
commission action; 20 CSR 2245-2.020; 1/2/07  
commission compensation; 20 CSR 2245-1.020; 1/2/07  
continuing education  
  course approval; 20 CSR 2245-8.020; 1/2/07  
  instructor approval; 20 CSR 2245-8.030; 1/2/07  
  investigation and review; 20 CSR 2245-8.050; 1/2/07  
  records; 20 CSR 2245-8.040; 1/2/07  
  requirements; 20 CSR 2245-8.010; 1/2/07  
case study courses; 20 CSR 2245-6.040; 1/2/07  
correspondence courses; 20 CSR 2245-6.020; 1/2/07  
distance education; 20 CSR 2245-6.030; 1/2/07  
examination, education requirements; 20 CSR 2245-6.015; 1/2/07  
general organization; 20 CSR 2245-1.010; 1/2/07  
individual license, business name, pocket card;  
  20 CSR 2245-4.040; 1/2/07  
nonresident appraiser  
  certification, licensure, reciprocity; 20 CSR 2245-4.050; 1/2/07  
  temporary certificate or license; 20 CSR 2245-4.060; 1/2/07  
payment; 20 CSR 2245-5.010; 1/2/07  
prelicense courses  
  application for approval; 20 CSR 2245-7.020; 1/2/07  
  approval and renewal for; 20 CSR 2245-7.040; 1/2/07  
  correspondence courses; 20 CSR 2245-7.030; 1/2/07  
  investigation and review; 20 CSR 2245-7.060; 1/2/07  
  records; 20 CSR 2245-7.050; 1/2/07  
  standards for approval of; 20 CSR 2245-7.010; 1/2/07  
trainee real estate appraiser registration; 20 CSR 2245-3.005; 1/2/07

**RESIDENTIAL CARE FACILITIES AND ASSISTED LIVING FACILITIES**

administrative, personnel, resident care requirements  
assisted living facilities; 19 CSR 30-86.047; 10/2/06, 3/1/07  
new and existing RCF I and IIs; 19 CSR 30-86.042; 10/2/06, 3/1/07  
RCF IIs on August 27, 2006 that will comply with RCF II standards; 19 CSR 30-86.043; 10/2/06, 3/1/07  
construction standards; 19 CSR 30-86.012; 10/2/06, 3/1/07  
definition of terms; 19 CSR 30-83.010; 10/2/06, 3/1/07  
dietary requirements; 19 CSR 30-86.052; 10/2/06, 3/1/07  
fire safety standards; 19 CSR 30-86.022; 10/2/06, 3/1/07  
insulin administration training program; 19 CSR 30-84.040; 10/2/06, 3/1/07  
level I medication aide; 19 CSR 30-84.030; 10/2/06, 3/1/07  
licensure requirements; 19 CSR 30-82.010; 10/2/06, 3/1/07  
physical plant requirements; 19 CSR 30-86.032; 10/2/06, 3/1/07  
resident's rights; 19 CSR 30-88.010; 10/2/06, 3/1/07  
sanitation  
food service; 19 CSR 30-87.030; 10/2/06, 3/1/07  
new and existing RCFs; 19 CSR 30-87.020; 10/2/06, 3/1/07  
services to residents with Alzheimer's or dementia; 19 CSR 30-86.045; 10/2/06, 3/1/07

**RESPIRATORY CARE, MISSOURI BOARD FOR**

application  
educational permit; 4 CSR 255-2.030 (*changed to 20 CSR 2255-2.030*); 9/15/06, 12/15/06  
temporary permit; 4 CSR 255-2.020 (*changed to 20 CSR 2255-2.020*); 9/15/06, 12/15/06  
continuing education requirements; 4 CSR 255-4.010 (*changed to 20 CSR 2255-4.010*); 9/15/06, 12/15/06  
fees; 4 CSR 255-1.040 (*changed to 20 CSR 2255-1.040*); 9/15/06, 12/15/06  
name, address changes; 4 CSR 255-2.010 (*changed to 20 CSR 2255-2.010*); 9/15/06, 12/15/06

**RETIREMENT SYSTEMS, COUNTY EMPLOYEES**

distribution of accounts  
deferred compensation; 16 CSR 50-20.070; 7/17/06, 12/1/06  
defined contribution; 16 CSR 50-10.050; 9/15/06, 2/1/07

**RETIREMENT SYSTEMS, PUBLIC SCHOOLS**

service retirement; 16 CSR 10-5.010; 12/1/06;  
16 CSR 10-6.060; 12/1/06

**REVENUE, DEPARTMENT OF**

report, local management tax; 12 CSR 10-42.110; 12/1/06

**SECURITIES, DIVISION OF**

exclusion from definition of broker-dealer, agents, investment advisors, and representatives; 15 CSR 30-51.180; 3/1/07  
stock exchange listed securities; 15 CSR 30-54.060; 9/1/06, 12/15/06

**SENIOR AND DISABILITY SERVICES, DIVISION OF**

in-home service standards; 19 CSR 15-7.021; 7/3/06, 11/15/06

**SMALL BUSINESS REGULATORY BOARD**

impact statement requirements; 4 CSR 262-1.010; 1/2/07  
post public hearing statement; 4 CSR 262-1.0120; 1/2/07

**SOCIAL WORKERS, STATE COMMITTEE FOR**

application for licensure  
clinical social worker; 20 CSR 2263-2.050; 1/16/07  
licensed baccalaureate social worker; 20 CSR 2263-2.052; 1/16/07  
fees; 4 CSR 263-1.035 (*changed to 20 CSR 2263-1.035*); 9/15/06, 12/15/06  
inactive status; 4 CSR 263-2.090 (*changed to 20 CSR 2263-2.090*); 9/15/06, 12/15/06  
licensure by reciprocity  
licensed baccalaureate social worker; 20 CSR 2263-2.062; 1/16/07  
licensed clinical social worker; 20 CSR 2263-2.060; 1/16/07

registration of supervised social work experience; 20 CSR 2263-2.032; 1/16/07

**SOLID WASTE COMMISSION**

definitions; 10 CSR 80-2.010; 8/1/06, 1/2/07  
fund, management  
district grants; 10 CSR 80-9.050; 2/15/07  
planning/organizational grants; 10 CSR 80-9.010; 2/15/07  
site investigation; 10 CSR 80-2.015; 8/1/06, 1/2/07  
waste tires  
clean up contracts; 10 CSR 80-9.035; 2/1/07  
collection centers; 10 CSR 80-8.020; 2/1/07  
end user facility registrations; 10 CSR 80-8.060; 2/1/07  
grants; 10 CSR 80-9.030; 2/1/07  
hauler permits; 10 CSR 80-8.030; 2/1/07  
processing facility permits; 10 CSR 80-8.050; 2/1/07  
site permits; 10 CSR 80-8.040; 2/1/07

**SPEECH-LANGUAGE PATHOLOGISTS AND AUDIOLOGISTS**

continuing education requirements; 20 CSR 2150-4.052; 11/15/06

**TATTOOING, BODY PIERCING, BRANDING, OFFICE OF**

fees; 4 CSR 267-2.020; 8/15/06, 12/15/06

**TAX, CREDITS**

children in crisis; 12 CSR 10-400.210; 12/1/06  
homestead preservation credit  
procedures; 12 CSR 10-405.105; 12/1/06  
qualifications, amount of tax; 12 CSR 10-405.205; 12/1/06  
special needs adoption; 12 CSR 10-400.200; 12/1/06

**TAX, INCOME**

annual adjusted rate of interest; 12 CSR 10-41.010; 12/1/06

**TAX INCREMENT FINANCING**

application process; 4 CSR 85-4.010; 7/3/06, 11/15/06

**TAX, SALES/USE**

financial report; 12 CSR 10-42.070; 9/1/06, 12/15/06  
local tax management report; 12 CSR 10-42.110; 12/1/06

**TRAUMA CENTERS**

definitions; 19 CSR 30-40.410; 2/15/07  
standards; 19 CSR 30-40.430; 2/15/07

**TRAVEL REGULATIONS, STATE**

vehicular travel; 1 CSR 10-11.030; 6/15/06

**VETERANS RECOGNITION PROGRAM**

recognition awards; 11 CSR 10-5.010; 9/15/06, 12/15/06

**VETERINARY MEDICAL BOARD, MISSOURI**

continuing education; 20 CSR 2270-4.042; 11/15/06, 3/1/07  
fees; 20 CSR 2270-1.021; 11/15/06, 3/1/07  
renewal; 4 CSR 270-1.050 (*changed to 20 CSR 2270-1.050*); 1/3/06, 5/15/06, 9/15/06, 12/15/06

**WASTE TIRES**

clean up contracts; 10 CSR 80-9.035; 2/1/07  
collection centers; 10 CSR 80-8.020; 2/1/07  
end user facility registrations; 10 CSR 80-8.060; 2/1/07  
grants; 10 CSR 80-9.030; 2/1/07  
hauler permits; 10 CSR 80-8.030; 2/1/07  
processing facility permits; 10 CSR 80-8.050; 2/1/07  
site permits; 10 CSR 80-8.040; 2/1/07

**WELL CONSTRUCTION CODE**

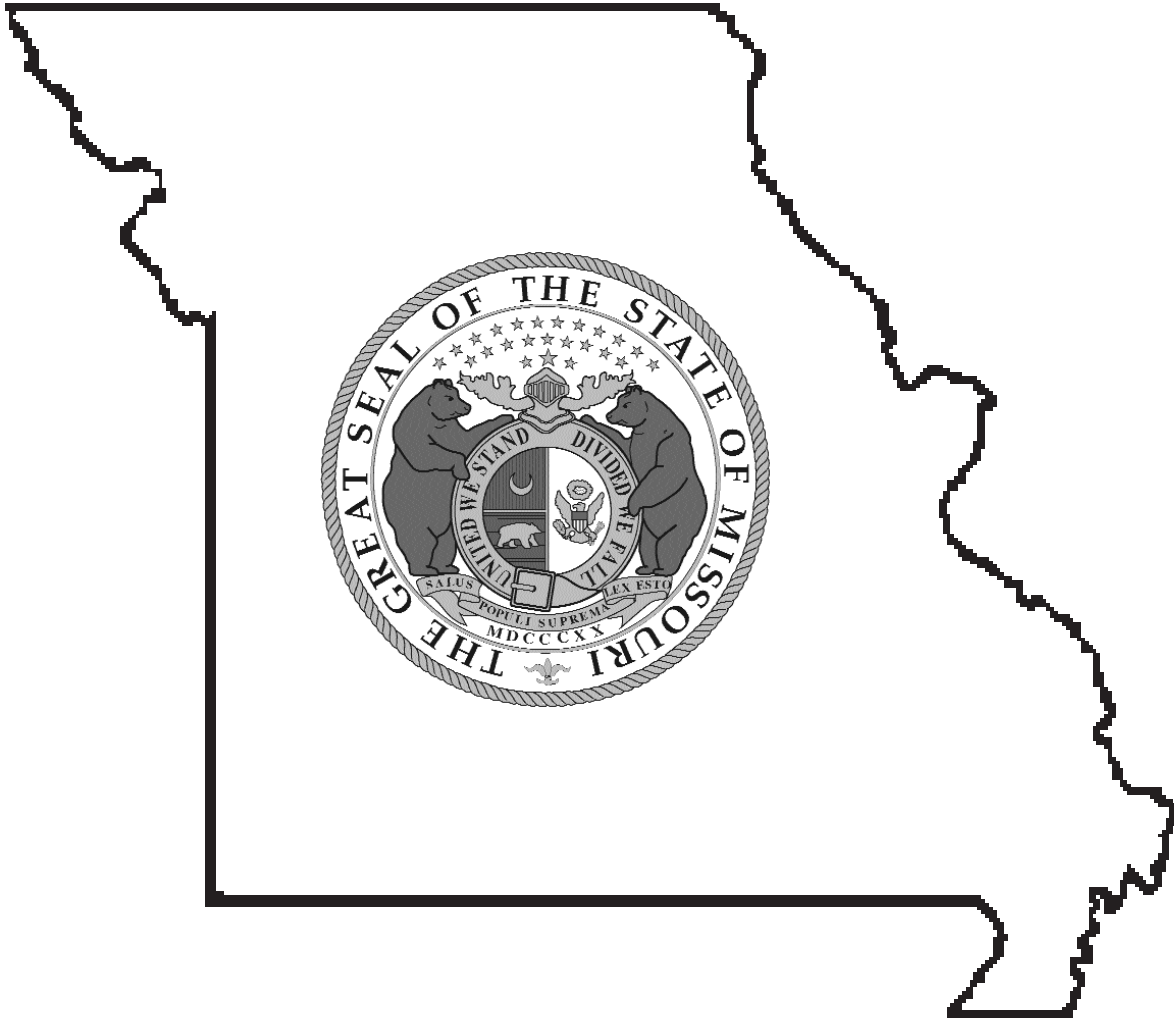
sensitive areas; 10 CSR 23-3.100; 2/15/07

**WORKERS' COMPENSATION, DIVISION OF**

medical fee disputes; 8 CSR 50-2.030; 9/15/06, 1/16/07

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