[

APPENDIX A Operating permit—section 644.052, RSMo

Human sewage discharges-annual fees

\$100 for a design flow, or an adjusted design flow, under 5,000 gallons per day (gpd)

\$150 for a design flow between 5,000 and 5,999 gpd

\$175 for a design flow between 6,000 and 6,999 gpd

\$200 for a design flow between 7,000 and 7,999 gpd

\$225 for a design flow between 8,000 and 8,999 gpd

\$250 for a design flow between 9,000 and 9,999 gpd

\$375 for a design flow between 10,000 and 10,999 gpd

\$400 for a design flow between 11,000 and 11,999 gpd

\$450 for a design flow between 12,000 and 12,999 gpd

\$500 for a design flow between 13,000 and 13,999 gpd

\$550 for a design flow between 14,000 and 14,999 gpd \$600 for a design flow between 15,000 and 15,999 gpd

\$650 for a design flow between 16,000 and 16,999 gpd

\$800 for a design flow between 17,000 and 19,999 gpd \$1,000 for a design flow between 20,000 and 22,999 gpd

\$2,000 for a design flow between 23,000 and 24,999 gpd

\$2,500 for a design flow between 25,000 and 29,999 gpd

\$3,000 for a design flow between 30,000 gpd and 1 million gallons per day (1 mgd)

\$3,500 for a design flow 1 mgd and above

C	4.	•
OTHOU	connection	TOOC
SCWCI	CONTINUESTICAL	100

Residential connections

\$0.40 per connection for service areas having > 35,000 customers

\$0.50 per connection for service areas having 35,000-20,001 customers

\$0.60 per connection for service areas having 20,000-7,001 customers

\$0.70 per connection for service areas having 7,000-1,001 customers

\$0.80 per connection for service areas having < 1,000 customers

Industrial/commercial connections

\$3 per connection to public water service lines ≤ 1 inch in diameter or per connection to a private water supply system

\$10 per connection to public water service lines > 1 inch and ≤ 4 inches in diameter

\$25 per connection to public water service lines > 4 inches in diameter

Maximum fee to each industrial/commercial facility is \$700

Size of the connections shall be measured at the service meter

Taps for fire suppression and irrigation systems are excluded

Industrial discharges—annual fees for site-specific permits

Discharges covered by section 644.052.4, RSMo

\$3,500 for a design flow under 1 mgd

\$5,000 for a design flow of 1 mgd or more

Discharges covered by section 644.052.5, RSMo

\$1,350 for a design flow under 1 mgd

\$2,350 for a design flow of 1 mgd or more

\$5,000 for discharges from concentrated animal feeding operations

General permits—permit and annual fees

\$300 for the discharge of storm water from a land disturbance site

\$50 annually for the operation of a chemical fertilizer or pesticide facility

\$150 for the operation of an animal feeding operation or a concentrated animal feeding operation

\$150 annually for new permits for the discharge of process wastewater or storm water potentially contaminated by activities not included in the categories above. The fee shall be reduced to \$60 annually after the permit's first renewal

Construction permits-section 644.053, RSMo

\$750 for a wastewater treatment plant under 500,000 gpd design flow

\$2,200 for a wastewater treatment plant of 500,000 gpd or more

\$75 for sewer extension under 1,000 feet long

\$300 for a sewer extension over 1,000 feet long or the construction of a lift station

Permittees proposing to build under more than one (1) construction unit are only required to pay the highest fee

Permit Modifications-section 644.052.7 and 644.052.8

\$200 for modifications to permits on public entities collecting service connection fees under subsections (2)(B) and (2)(C)

All other permits-25% of annual permit fee

Variances-section 644.061.4

\$250 for each petition

AUTHORITY: section 644.054, RSMo 2000. Emergency rule filed July 27, 1990, effective Sept. 12, 1990, expired Jan. 10, 1991. Original rule filed July 17, 1990, effective Dec. 31, 1990. Amended: Filed July 15, 1991, effective Jan. 13, 1992. Amended: Filed Nov. 22, 1991, effective May 14, 1992. Amended: Filed Nov. 9, 2000, effective July 30, 2001. Amended: Filed Sept. 16, 2013.

PUBLIC COST: The projected additional revenue loss (costs) to the Department of Natural Resources is one hundred thousand five hundred dollars (\$100,500). This revenue loss is the estimated cost to comply in the aggregate and is expected to recur. The projected additional revenue to the department is \$1,993,645. The projected additional cost to the customers of public entities and political subdivisions is one hundred twenty-two thousand six hundred sixty-six dollars (\$122,666). These are the estimated costs to comply in the aggregate and are expected to recur. The projected additional savings to the public entities is four thousand seven hundred eighty-three dollars (\$4,783).

PRIVATE COST: The projected additional private cost is \$1,870,979. This is the estimated additional cost of compliance in the aggregate and is expected to recur. The projected additional private savings is ninety-five thousand seven hundred seventeen dollars (\$95,717).

NOTICE OF PUBLIC HEARING AND NOTICE TO SUBMIT COM-MENTS: Anyone may file a statement in support of or in opposition to this proposed amendment with the Department of Natural Resources, Division of Environmental Quality, Water Protection Program, John Madras, PO Box 176, Jefferson City, MO 65102. Comments may be sent with name and address through email to john.madras@dnr.mo.gov. Public comments must be received by November 13, 2013. The public hearing is scheduled at a meeting of the Clean Water Commission to be held at 9 AM, on November 6, 2013, at the Department of Natural Resources, Lewis and Clark State Office Building, LaCharrette/Nightingale Conference Rooms, 1101 Riverside Drive, Jefferson City, Missouri 65101.

FISCAL NOTE PUBLIC COST

I. Department Title: Department of Natural Resources Division Title: Division of Environmental Quality

Chapter Title: Permits

Rule Number and Name:	10 CSR 20-6.011
Type of Rulemaking:	Rule Amendment

II. SUMMARY OF FISCAL IMPACT

Estimated Cost of Compliance in the Aggregate
Estimated Additional Cost of Compliance in the Aggregate:
Lost Revenue Per Year
Projected Additional Revenue Loss: \$41,500
Projected Additional Revenue Loss: \$55,000
Projected Additional Revenue Loss: \$4,000
Total Revenue Loss: \$100,500
Estimated Cost of Compliance
Projected Additional Costs:\$26,034
Projected Additional Costs: \$ 86,382
Projected Additional Costs: \$10,250
Total Additional Costs: \$122,666
FY 2017 Additional Cost of Compliance in the
Aggregate:
\$100,500 (Dept. revenue loss) & \$122,666 (the
other public costs) expected to recur
Note: FY2016 Additional Cost of Compliance in
the Aggregate for the partial fiscal year, is ½ of
FY2017revenue loss for the Dept. and the Cost of Compliance for Other Public State Agencies

III. WORKSHEET

Department of Natural Resources

Permits Projected Additional Revenue Loss

Construction \$41,500

Site-Specific \$55,000

Other Fees \$4,000

Total \$100,500

Other State Agencies & Political Subdivisions

Permits Projected Additional Costs

Construction \$26,034

General \$86,382

Other/Fees \$10,250

<u>Total</u> <u>\$122,666</u>

For detailed information displayed in the Water Protection Program's Rules In Development web page see the electronic spreadsheet at http://www.dnr.mo.gov/env/wpp/rules/wpp-rule-dev.htm for the "Projected Fee Revenues for the Water Protection Program for 2013 for 10 CSR 20-6.011 Fees Rule Amendment" The electronic spreadsheet displays the overall current fee structure, the proposed fee structure as recommended, permit type, and the average number of permits per year. The number of applicants is stated as a public or private percentage of the total number of permit applicants for any one type of permit. All projected revenues to the Department are calculated by multiplying the proposed permit fee amounts by the average number of applicants per year.

Revenues to the Department are costs to the public and private sectors. A savings to the public or private sector, are loss revenues (costs) to the Department.

^{*}Projected Additional Public Savings: \$4,783

^{*}Although there are some projected savings for the Other State agencies & Political Subdivisions, there is an overall increase in costs is \$122,666.

IV. ASSUMPTIONS

This public fiscal note assumes that the proposed fees to be paid by the public entities to the Department are essentially the costs of the projected revenues as displayed in the electronic spreadsheet at http://www.dnr.mo.gov/env/wpp/rules/wpp-rule-dev.htm.

The projected additional revenue lost to the Department, \$100,500, is a projected additional savings to public and private permittees. The projected additional cost to the public agencies and political subdivisions, \$122,666, is projected additional revenue to the Department.

The projected additional revenue to the Department each year is \$1,993,645, while the total projected revenue to the Department, \$6,773,686, per year, the revenue affect. For those interested, total projected revenue details may be viewed in the electronic spreadsheet.

Summary -

The clean water fee structure has not been revised since 2000, but has received a number of extensions from the legislature. The Department met several times with stakeholders over the past two years presenting information on clean water activities, expenditures and funding sources. Clean water fee recommendations are the basis for this public fiscal note. The recommendations include changes to fees and changes to construction permits.

The fee setting process through Commission rulemaking, as established this year in HB 28, is a cyclical process that may be revisited for adjustment through 2023.

The Department is responsible for the implementation of the federal clean water act, as well as the Missouri clean water law. The most visible aspects of these duties are permitting, inspection and enforcement, as these involve direct interactions between the department and the regulated community. The Department's responsibilities also include water quality monitoring and analysis, technical assistance and education, as well as administration of the state revolving loan fund.

Over time, changes to the federal clean water act lead to more responsibilities, the most significant of which is stormwater management, more extensive permitting and, the nonpoint source management effort. Also, the Department's staffing costs have increased over time as well.

Although EPA has previously allowed flexibility in spending funds allocated to other sections within the Clean Water Act, continued flexibility is limited.

In this public fiscal note, the revenue loss of \$100,500 to the Department through construction permits no longer required accounts for only a small reduction of the projected Annual Average Shortfall, of \$2,944,036, the additional amount needed to fully fund clean water activities. While the revenues from the recommended fee structure reduce the shortfall, it is not eliminated. The Department has based this shortfall calculation on average annual revenues from all sources over a four year period.

The projected additional costs to other state agencies and political subdivisions or, \$122,666 (revenue paid to the Department) is the result of the recommended fee structure as proposed for construction, general, and other fee types. Antidegradation is included with the construction permits because of the overlap between the construction permits and those undergoing anti-degradation review.

Department's Loss of Revenues -

Construction Permits – Sewer Extensions – There are projected additional cost for some of the public construction permits, due to fee increases.

Other Sewer Extensions - Construction sewer extensions other - The same fee is proposed and, therefore there is no additional projected revenue.

Ag-Chem and CAFOs – Construction permits for Ag-Chemical and CAFOs (Concentrated Animal Feeding Operations) are no longer required.

Site-Specific – Domestic Sewage Non-POTWs (Non-Publicly Owned Treatment Works) – A minor clean water fee loss revenue to the Department is due to the consolidation of some fees, although overall there is an increase in revenue from this sector.

Other Fees - POTW Minor Modification fees and other fees have decreased. Iscal Note

Other State Agencies and Other Political Subdivisions; City Government, Municipal Districts or other public entity costs –

Construction Permits - Wastewater Treatment - Clean water fees have been increased for construction permits, which is a cost to the public entities.

Antidegradation Reviews - Reviews for construction permits are an additional cost to the public sector.

General Permits – Public Land Disturbance – Public land disturbance fees have increased based on estimated total acreage.

The General Permit for Pesticide applicator permits remain the same, which is used a small number of public agencies.

Other Fees – Water Quality Certifications 401-404 Major Modification and MS4s fees, for general stormwater permits are increased, a cost to the public.

The cost to the public and, or private sectors to comply with the new fees is the costs of the projected revenue, or, the revenue affect. The Department's projected revenues (costs to the public or private entities) may be viewed in detail in the electronic spreadsheet at http://www.dnr.mo.gov/env/wpp/rules/wpp-rule-dev.htm.

FISCAL NOTE PRIVATE COST

I. Department Title: Department of Natural Resources Division Title: Division of Environmental Quality

Chapter Title: Permits

Rule Number and Title:	10 CSR 20-6.011 Fees
Type of Rulemaking:	Rule Amendment

H. SUMMARY OF FISCAL IMPACT

Estimate of the number of entities by class which would likely be affected by the adoption of the rule:	Classification by types of the business entities which would likely be affected:	Estimate in the aggregate as to the cost of compliance with the rule by the affected entities:
Estimated Private Entities	Construction Permits	Estimate in the Aggregate
Total 383	Sewer Extensions or Other Extensions	Projected Additional Cost of Compliance: \$28,566
	Wastewater Treatment < 500,000 or > 500,000	
	Ag Chem & CAFO	
	Antidegradation Water Quality Reviews	
Estimated Private Entities	Private Service Connections	Estimate in the Aggregate
Total 1,654,581	to Publicly Owned Treatment Works Residential Industrial/Commercial	Projected Additional Cost of Compliance: \$174,676
Estimated Private Entities	General Permits	Estimate in the Aggregate
5,367	Land Disturbance Land Disturbance - Multiple Sites Domestic Wastewater	Projected Additional Cost of Compliance: \$1,172,962
	Pesticide Applicators Other - Car Washes, Limestone Quarries, Petro Storage,	
	Metal Fabrication, etc.	

	CAFO NPDES & MSOP Stormwater-excludes MS4 communities	
Estimated Private Entities 2,420	Site-Specific Industrial Process Flows Industrial Stormwater Only Domestic Sewage	Estimate in the Aggregate Projected Additional Cost of Compliance: \$443,900
Estimated Private Entities 606	Other Fees Section 401/404 Certification Fees, Minor Permit by Rule - Hydrostatic Testing Permit Modifications CAFO NPDES Class 1A Other Site-Specific, Major Mods & Minor Mods Permit Variance	Estimate in the Aggregate Projected Additional Cost of Compliance: \$ 50, 875
Estimated Total # All Fees & Permits 1,663,357	Private Permitted Entities	FY2017 Total Projected Additional Costs of Compliance expected to recur: \$ 1,870,979
		Note: FY2016 Total Partial Projected Additional Costs of Compliance, equal to ½ yr. \$ 935,490

III. WORKSHEET Permit Private Entities

Permit Types	Projected Additional Costs
Construction	\$28,566
*Savings	(\$40,717)
Service	\$174,676
General	\$1,172,962
Site-Specific	\$443,900
*Savings	(\$55,000)

Other \$50,875

Total Projected Additional Costs

All Private,

Fees & Permits \$1,870,979

Projected Additional Savings to Private Entities:

\$95,717

Information on the Projected Clean Water Fee Revenues for the "Water Protection Program for 2013 for 10 CSR 20-6.011 Fees Rules Amendment" may be viewed as an electronic spreadsheet on the Water Protection Program's Rules In Development web page at http://www.dnr.mo.gov/env/wpp/rules/wpp-rule-dev.htm. The Water Protection Program's electronic spreadsheet displays the proposed fee structure as recommended, including the overall current fees for permit type, average number of permits per year, and proposed fees, and projected additional revenues. The Department's additional projected revenues from the private sector are the additional projected costs to the private entities.

*A savings to the private sector is a revenue loss to the Department.

IV. ASSUMPTIONS

This private fiscal note assumes that the proposed clean water fees to be paid by the private entities to the Department are essentially the costs of the projected revenues as displayed in electronic spreadsheet.

All proposed fees and, the average number of private permit applicants per year are displayed in the excel spreadsheet. The costs to the private entities are calculated by multiplying the proposed fee amounts by the number of private permit applicants per year. The projected additional revenues to the Department from the private sector are the projected additional costs to the private sector. Projected additional costs to the private sector. Projected additional costs to the private sector are the Estimated Costs in the Aggregate. The footnotes in the electronic spreadsheet provide additional details.

Summary –

Pursuant to HB 28 (2013), the clean water fee setting process through Clean Water Commission rulemaking is a cyclical process that may be revisited for adjustment in odd numbered years through 2023.

There are two types of permits issued by the department, construction and operating, as well as water quality certifications. Construction permits involve review and approval of engineering plans and specifications to assure that wastewater facilities are properly designed. Operating permit reviews involve site-specific and general permits that establish effluent limitations for particular discharges. Water quality certifications are issued for projects requiring federal permits or licenses that may have impacts on water quality.

To maintain delegation of the federal clean water act in Missouri from the U.S. Environmental Protection Agency, the Department must have a program that is robust enough to ensure regulated entities comply with the law. In this proposed fee structure as recommended, the Department recognizes some applicants, as exempted by statute, are assuming the responsibility to build and design their facilities in conformance with state and federal requirements.

Stakeholder interest in expedited permits centers on construction permits and initial operating permits because these permits are necessary for private parties to build and operate facilities to enact their business plans. The electronic spreadsheet on the Department's Rules in Development web site identifies future private construction permit classes exempt from fees, namely, the private industrial facilities and small sewer extensions.

Private Cost or Savings in the Department's recommended fee structure_

Construction Permits – Cost savings accrue to some sewer facility construction activities that are no longer are required to apply for a construction permit.

Wastewater Treatment – Wastewater treatment plants, in line with their design flows have operating fee increases. This excludes the largest Concentrated Animal Feeding Operations, which are capped at \$5000.

Antidegradation Reviews – These reviews are charged on a sliding scale and are new costs to permit applicants who may request anti-degradation review, which are required for new or expanded discharges.

Connection fee to publicly owned treatment works (POTWs) – Individuals connected to POTWs pay connection fees to the state, 5% of which are retained by the POTW for administration costs. These fees are increased 20% but are capped at \$0.80 per year for the smallest systems.

Industrial /Commercial Connections – Fees for connections, depending on the length of the service line, have remained the same, or, have increased.

General Permits -

Land Disturbance - Fees are now paid on a sliding scale, the more acres disturbed the higher the cost incurred.

Multiple-site Permits – Fee costs for a permit issued to a private entity for multiple sites, is paid based upon the estimated acreage of all of the sites, on a graduated fee scale. No private total projected additional revenue for general permits for private parties is projected currently, although fees are proposed.

Domestic Wastewater - The general permit for small Domestic Wastewater is not addressed.

The fee for the Pesticide Applicators remains the same.

General Permits Other - Fees

Fees for car washes, limestone quarries, petroleum storage and metal fabrication, etc. have increased.

NPDES CAFO – Nation Pollution Discharge Elimination System, CAFO (Concentrated Feeding Operations) permit fees for CAFO 1A remains the same, while NPDES CAFO 1B, 1C/II, and MSOP 1B, and MSOP 1C/II, fees are proposed on a sliding scale.

General Stormwater -Permitting fee has been increased, excluding MS4s communities

Site-Specific Permits -

Industrial Process Flows – Fees for the Categoricals and Non-Categoricals have increased, with the exception of the larger categorical where the fee is capped at \$5,000 by statute.

Industrial Stormwater - Fees for the industrial stormwater permits have increased.

Domestic Sewage Sludge Non-POTWs – Fees have increased, with the exception of one Non-POTW permit, where the fee has decreased, (a savings to this permit applicant) due to consolidation of the Non-POTW fees along a sliding scale.

Other Fees -

Section 401/404 Water Quality Certification Fees are required for projects under federal permits or licenses. Both minor and major certifications have an increased fee due to the level of service required. The CAFO General Permit Major modification no longer requires a construction permit, although the operating permit must be modified. Some site-specific major modifications remain the same while other site-specific minor modifications are now charged a flat fee. The Permit by Rule fee has been increased.

The Permit Variance fee remains the same. No additional projected revenue is expected.

Cost Savings provided through technological improvement in the Department's operations-

Expedited permitting will, in many cases, help the Department to issue permits within the regulated deadlines. For instance e-permitting, recently available for land disturbance permits, is a significant time saving for the permit applicant.

Centralization as opposed to regional permitting will, and has, sped up the issuance of the site-specific permit. Newly implemented and future efficiencies and expedited permit processes are expected to help the department sustain and improve permit timeliness.

The Department and regulated entities have found that the current pre-review and exchange of information processes have been instrumental in working out potential issues and in avoiding unnecessary appeals, saving costs and time in permitting and, are a good use of fee revenues by the Department.

The Department must respond to any operation alleged to be causing pollution. Preventing pollution and, pollution control are the most important reasons why a viable clean water fee structure is necessary.

Many stakeholder meetings supported open discussions between stakeholders and department staff. Meetings were open to the public and streamed live over the internet over a period of about two years, and the presentations and videos from the meetings remain available online.

The fiscal focus is on the costs to conduct clean water activities for both private (and public) permit holders, as well as activities that are not regulated through permits. The proposed clean water fees structure helps to make up for the shortfall in clean water funding. Funding from other sources has been used to meet immediate needs. While EPA has previously allowed flexibility in spending funds allocated to other sections within the Clean Water Act, continued flexibility may be limited, and federal support for programmatic work has also decreased.

The private projected additional costs of, \$ 1,870,979, will be paid by private entities. This provides most of the total \$1,983,645 projected additional revenues to the Department to help fund the state clean water programs. Projected Additional Savings to private entities are \$95,717. The private total projected cost is \$6,561,591. The private total projected additional cost to comply provides most of the total projected revenue to the Department, \$6,773,686.

Title 11—DEPARTMENT OF PUBLIC SAFETY Division 75—Peace Officer Standards and Training Program Chapter 17—School Protection Officers

PROPOSED RULE

11 CSR 75-17.010 Minimum Training Standards for School Protection Officer Training Centers

PURPOSE: This rule details the minimum training standards for School Protection Officer Training Centers.

(1) Only those basic training centers licensed pursuant to 11 CSR 75-14.010-14.080, and those Continuing Law Enforcement Education providers licensed pursuant to 11 CSR 75-15.030, shall be approved to deliver the School Protection Officer Training Program.

AUTHORITY: section 590.205, CCS/HCS/SCS/SB 42, First Regular Session, Ninety-seventh General Assembly, 2013. Emergency rule filed Aug. 23, 2013, effective Sept. 2, 2013, expires Feb. 28, 2014. Original rule filed Aug. 23, 2013.

PUBLIC COST: This proposed rule will not cost state agencies or political subdivisions more than five hundred dollars (\$500) in the aggregate.

PRIVATE COST: This proposed rule will not cost private entities more than five hundred dollars (\$500) in the aggregate.

NOTICE TO SUBMIT COMMENTS: Anyone may file a statement in support of or in opposition to this proposed rule with Jeremy Spratt, Missouri Department of Public Safety, Peace Officer Standards and Training (POST) Program Manager, PO Box 749, Jefferson City, MO 65102. To be considered, comments must be received within thirty (30) days after publication of this notice in the Missouri Register. No public hearing is scheduled.

Title 11—DEPARTMENT OF PUBLIC SAFETY Division 75—Peace Officer Standards and Training Program Chapter 17—School Protection Officers

PROPOSED RULE

11 CSR 75-17.020 Minimum Training Standards for School Protection Officer Training Instructors

PURPOSE: This rule details the minimum training standards for School Protection Officer Training Instructors.

(1) Only those instructors licensed as basic training instructors pursuant to 11 CSR 75-14.050(3), 11 CSR 75-14.070, and 11 CSR 75-14.080, shall be approved to deliver the School Protection Officer Training Program.

AUTHORITY: section 590.205, CCS/HCS/SCS/SB 42, First Regular Session, Ninety-seventh General Assembly, 2013. Emergency rule filed Aug. 23, 2013, effective Sept. 2, 2013, expires Feb. 28, 2014. Original rule filed Aug. 23, 2013.

PUBLIC COST: This proposed rule will not cost state agencies or political subdivisions more than five hundred dollars (\$500) in the aggregate.

PRIVATE COST: This proposed rule will not cost private entities more than five hundred dollars (\$500) in the aggregate.

NOTICE TO SUBMIT COMMENTS: Anyone may file a statement in support of or in opposition to this proposed rule with Jeremy Spratt, Missouri Department of Public Safety, Peace Officer Standards and Training (POST) Program Manager, PO Box 749, Jefferson City, MO 65102. To be considered, comments must be received within thirty (30) days after publication of this notice in the Missouri Register. No public hearing is scheduled.

Title 11—DEPARTMENT OF PUBLIC SAFETY Division 75—Peace Officer Standards and Training Program Chapter 17—School Protection Officers

PROPOSED RULE

11 CSR 75-17.030 Minimum Training Standards for School Protection Officers

PURPOSE: This rule details the minimum training standards for School Protection Officers.

- (1) Applicants seeking to be designated a School Protection Officer, pursuant to section 590.205, RSMo, must—
- (A) Successfully complete a one hundred twelve (112) hour School Protection Officer Training Program; or
- (B) Successfully graduate from a Missouri basic training center licensed pursuant to 11 CSR 75-14.010, having completed a minimum of six hundred (600) hours of basic law enforcement training certified pursuant to 11 CSR 75-14.040; or
- (C) Have been issued a Class A peace officer license under the Veteran Peace Officer Police Scale pursuant to 11 CSR 75-13.060.
- (2) Applicants who have had their peace officer license revoked are not eligible to be designated a School Protection Officer.
- (3) The one hundred twelve (112) hours of instruction for School Protection Officers is derived, in part, from the mandatory learning objectives for the six-hundred (600) hour basic training curriculum outlined in 11 CSR 75-14.030, and shall cover the following subject areas:
 - (A) 303 Justification Use of Force 8 hours
 - (B) 809 Emergency Response/Building Searches 9 hours
 - (C) 812 Survival Mentality 4 hours
 - (D) 1502 Handcuffing and Restraint Devices 4 hours
 - (E) 1506 Weapons Retention and Disarming 8 hours
 - (F) 1507 Ground Fighting Techniques 8 hours
 - (G) 1601 Fundamentals of Marksmanship 2 hours
 - (H) 1602 Shooting Stance/Loading/Dry Fire 4 hours
 - (I) 1603 Skill Development Handgun 22 hours
 - (J) 1604 Handgun Qualification 4 hours
 - (K) 1608 Stress Combat Courses 8 hours
 - (L) 1610 Shooting Decisions 6 hours
 - (M) Basic First Aid/CPR 8 hours
 - (N) Combat First Aid 4 hours
 - (O) Practical Application Scenarios 13 hours
- (4) To be eligible for graduation from the School Protection Officer Training Program, trainees shall—
- (A) Be tested for mastery of each subject area. A written or practical examination may test more than one (1) subject area simultaneously.
- 1. A trainee who achieves less than seventy percent (70%) on any written examination may, at the discretion of the training center

director or Continuing Law Enforcement Education provider, retake the examination one (1) time.

- 2. Mastery of firearms shall be tested by practical examination and scored on a numerical scale from zero (0) to one hundred (100). Supplemental written examinations are permitted, but the overall firearms score required for graduation pursuant to paragraph (4)(C)4. of this rule shall be based solely upon the practical examinations. The final grade of the firearms practical examination may, at the discretion of the training center director or Continuing Law Enforcement Education provider, be recorded as a pass or fail.
- 3. Mastery of any training subject areas requiring a trainee to perform a demonstrative skill, including Practical Application Scenarios, shall be tested by practical examination and may be graded on a numerical scale from zero (0) to one hundred (100) or on a pass/fail basis.
- A. A trainee who achieves a failing score on an objective graded pass/fail basis may, at the discretion of the training center director or Continuing Law Enforcement Education provider, reattempt the objective one (1) time.
- B. A trainee who achieves less than seventy percent (70%) on the firearms practical examination may, at the discretion of the training center director or Continuing Law Enforcement Education provider, retake the practical examination one (1) time. The highest score that may be awarded on a retake examination is seventy percent (70%).
- C. The determination to grade an objective pass/fail shall be made before the start of the training course.
- (B) Attend at least ninety-five percent (95%) of the total contact hours of the mandatory basic training curriculum and make up any missed hours in a manner that ensures that the trainee develops a thorough understanding of the mandatory learning objectives that were missed.
 - (C) Achieve-
- 1. A score of no less than seventy percent (70%) on each written exam:
- 2. A final, overall score of no less than seventy percent (70%) for all written exams;
 - 3. A passing score on each objective graded pass or fail; and
- 4. An overall firearms score of no less than seventy percent (70%).

AUTHORITY: section 590.205, CCS/HCS/SCS/SB 42, First Regular Session, Ninety-seventh General Assembly, 2013. Emergency rule filed Aug. 23, 2013, effective Sept. 2, 2013, expires Feb. 28, 2014. Original rule filed Aug. 23, 2013.

PUBLIC COST: This proposed rule will not cost state agencies or political subdivisions more than five hundred dollars (\$500) in the aggregate.

PRIVATE COST: This proposed rule will not cost private entities more than five hundred dollars (\$500) in the aggregate.

NOTICE TO SUBMIT COMMENTS: Anyone may file a statement in support of or in opposition to this proposed rule with Jeremy Spratt, Missouri Department of Public Safety, Peace Officer Standards and Training (POST) Program Manager, PO Box 749, Jefferson City, MO 65102. To be considered, comments must be received within thirty (30) days after publication of this notice in the Missouri Register. No public hearing is scheduled.

Title 11—DEPARTMENT OF PUBLIC SAFETY Division 75—Peace Officer Standards and Training Program Chapter 17—School Protection Officers

PROPOSED RULE

11 CSR 75-17.040 Minimum Continuing Education Training Standards for School Protection Officers

PURPOSE: This rule details the minimum continued training standards for School Protection Officers.

- (1) To maintain their designation, School Protection Officers shall—
- (A) Successfully complete a minimum of twelve (12) hours of annual training. Eight (8) hours of this training shall have a primary focus of responding to active school shootings and shall be delivered by a local, county, or state law enforcement officer qualified to offer a response to active shooter course and who is in possession of a valid peace officer license. The remaining four (4) hours of training shall have a primary focus of weapon retention, firearms skill development, defensive tactics, ground fighting, and handcuffing and restraint devices. The four (4) hours of training shall be delivered by a local, county, or state law enforcement officer qualified to offer this type of training and who is in possession of a valid peace officer license.
- (B) On a quarterly basis, successfully complete a firearm qualification course using the same firearm used in the performance of their duties as a School Protection Officer. This course can be delivered by any local, county, or state law enforcement officer qualified to offer a firearm qualification course and who is in possession of a valid peace officer license.
 - (C) Maintain a secondary/third-party First Aid/CPR certification.
- (2) Written documentation of the completion of the twelve (12) hours of annual training, successful quarterly firearm qualification, and a current copy of his/her secondary/third-party First Aid/CPR certification must be maintained by the school where the School Protection Officer is employed for a period of three (3) years from the date the training, qualifications, and certifications were successfully completed

AUTHORITY: section 590.205, CCS/HCS/SCS/SB 42, First Regular Session, Ninety-seventh General Assembly, 2013. Emergency rule filed Aug. 23, 2013, effective Sept. 2, 2013, expires Feb. 28, 2014. Original rule filed Aug. 23, 2013.

PUBLIC COST: This proposed rule will not cost state agencies or political subdivisions more than five hundred dollars (\$500) in the aggregate.

PRIVATE COST: This proposed rule will not cost private entities more than five hundred dollars (\$500) in the aggregate.

NOTICE TO SUBMIT COMMENTS: Anyone may file a statement in support of or in opposition to this proposed rule with Jeremy Spratt, Missouri Department of Public Safety, Peace Officer Standards and Training (POST) Program Manager, PO Box 749, Jefferson City, MO 65102. To be considered, comments must be received within thirty (30) days after publication of this notice in the Missouri Register. No public hearing is scheduled.

Title 12—DEPARTMENT OF REVENUE Division 10—Director of Revenue Chapter 23—Motor Vehicle

PROPOSED RULE

12 CSR 10-23.500 Optional Second Plate for Commercial Motor Vehicles

PURPOSE: This rule establishes how the Department of Revenue will distinguish the optional second license plate for commercial motor vehicles and sets the fee authorized by section 301.130, RSMo, as amended by House Committee Substitute for House Bill 349, enacted by the 97th General Assembly, 2013.

- (1) When a person registers a property-carrying commercial motor vehicle licensed in excess of twelve thousand (12,000) pounds and requests two (2) license plates, the director of revenue shall issue a second plate to be attached to the rear of the vehicle. The rear plate shall contain a sticker in the upper right corner to distinguish the difference between the front and rear plate and to alert law enforcement that the owner is required to have two (2) license plates.
- (2) The fee for the optional second license plate for a commercial motor vehicle is eight dollars and fifty cents (\$8.50).

AUTHORITY: section 301.130, HCS for HB 349, First Regular Session, Ninety-seventh General Assembly, 2013. Emergency rule filed Aug. 19, 2013, effective Aug. 29, 2013, expires Feb. 27, 2014. Original rule filed Aug. 19, 2013.

PUBLIC COST: This proposed rule will not cost state agencies or political subdivisions more than five hundred dollars (\$500) in the aggregate.

PRIVATE COST: This proposed rule will cost private entities twelve thousand seven hundred fifty dollars (\$12,750) in the aggregate.

NOTICE TO SUBMIT COMMENTS: Anyone may file a statement in support of or in opposition to this proposed rule with the Missouri Department of Revenue, Legal Services Division, PO Box 475, Jefferson City, MO 65105-0475. To be considered comments must be received within thirty (30) days after publication of this notice in the Missouri Register. No public hearing is scheduled.

FISCAL NOTE PRIVATE COST

I. Department Title: 12 - Department of Revenue

Division Title: 10 - Director of Revenue

Chapter Title: 23 - Motor Vehicle

Rule Number and Title:	12 CSR 10-23.500 Optional Second Plate for Commercial Motor Vehicles
Type of Rulemaking:	Proposed Rule

II. SUMMARY OF FISCAL IMPACT

Estimate of the number of entities by class which would likely be affected by the adoption of the rule:	Classification by types of the business entities which would likely be affected:	Estimate in the aggregate as to the cost of compliance with the rule by the affected entities:
1,500	Individual Consumers	\$12,750

III. WORKSHEET

Took the estimated number of entities and multiplied by \$8.50, the fee for the optional second plate. $1,500 \times 8.50 = \$12,750$.

IV. ASSUMPTIONS

In order to determine a revenue impact as a result of transaction fees collected by the Department when processing the optional second plate, the Department is estimating that 1,500 of registered commercial motor vehicles in excess of 12,000 pounds will purchase the optional second plate.

Title 15—ELECTED OFFICIALS
Division 30—Secretary of State
Chapter 15—Initiative, Referendum, New Party
and Independent Candidate Petition Rules

PROPOSED AMENDMENT

15 CSR 30-15.010 Signature Verification Procedures for Initiative, Referendum, New Party and Independent Petitions. The secretary is amending sections (1) and (3).

PURPOSE: The purpose of this amendment is to ensure uniform, complete, and accurate checking of initiative and referendum petition signatures. This rule provides for uniform determination of whether signatures are those of legal voters as required in Article III, Section 50 of the Missouri Constitution. The Missouri Constitution and Chapter 116, RSMo, provide that registered voters may sign initiative and referendum petitions. This amendment clarifies that voters who were properly registered within a local election authority's jurisdiction on the date the voter signed the petition are included in the total of that local election authority.

- (1) Voter signatures will be rejected if-
- (A) They list an address outside of the county as indicated on the petition except as provided in subsection (2)(B) and (3)(F) of this section; or
- (3) Voter addresses will be accepted if they meet one (1) or a combination of the following categories:
- (D) The address as listed on the petition was the voter's registered address on the date the petition was signed; [or]
- (E) The address listed on the petition is different from the address on the voting rolls but within the county named at the top of the page, provided that the local election authority who maintains the registration record of such person shall compare and determine that the individual's signatures on the petition and on the voter's registration record are sufficiently alike to identify the petition signer as the same person who is registered to vote within the jurisdiction. If otherwise valid, the signature of an individual whose address is acceptable under this subsection (3)(E) shall be counted in the totals of the local election authority who has jurisdiction over the address listed on the petition *l.1*; or
- (F) The address listed on the petition is different from the address on the voting rolls but the voter was registered to vote within the county named at the top of the page on the date the petition was signed, provided that the local election authority who maintains the registration record of such person shall compare and determine that the individual's signatures on the petition and on the voter's registration record are sufficiently alike to identify the petition signer as the same person who was registered to vote within the jurisdiction on the date the petition was signed. If otherwise valid, the signature of an individual whose address is acceptable under this subsection (3)(F) shall be counted in the totals of the local election authority who has jurisdiction over the address named at the top of the petition page.

AUTHORITY: section[s] 115.335.7, RSMo [Supp. 1998] 2000, and section 116.130.5, RSMo Supp. [1999] 2012. Original rule filed Nov. 22, 1985, effective March 24, 1986. For intervening history, please consult the Code of State Regulations. Amended: Filed Aug. 30, 2013.

PUBLIC COST: This proposed amendment will not cost state agencies or political subdivisions more than five hundred dollars (\$500) in the aggregate.

PRIVATE COST: This proposed amendment will not cost private entities more than five hundred dollars (\$500) in the aggregate.

NOTICE TO SUBMIT COMMENTS: Anyone may file a statement in support of or in opposition to this proposed amendment with the Office of the Secretary of State, c/o Dee Dee Straub, 600 West Main St., Jefferson City, MO 65101 or by email at deedee.straub@sos.mo.gov. To be considered, comments must be received within thirty (30) days after publication of this notice in the Missouri Register. No public hearing is scheduled.

Title 15—ELECTED OFFICIALS Division 30—Secretary of State Chapter 15—Initiative, Referendum, New Party and Independent Candidate Petition Rules

PROPOSED AMENDMENT

15 CSR 30-15.020 Processing Procedures for Initiative, Referendum, New Party and Independent Candidate Petitions. The secretary is amending section (1).

PURPOSE: This amendment is to ensure uniform, complete, and accurate checking of initiative and referendum petition signatures. This rule provides for uniform processing of petitions once a determination has been made as to the validity of a name on a petition. This rule provides for uniform determination of whether signatures are those of legal voters as required in Article III, Section 50 of the Missouri Constitution. The Missouri Constitution and Chapter 116, RSMo, provide that registered voters may sign initiative and referendum petitions. This amendment clarifies that voters who were properly registered within a local election authority's jurisdiction on the date the voter signed the petition are included in the total of that local election authority. This amendment also clarifies each previously referenced acronym.

- (1) Each local election authority shall check each signature designated by the secretary of state against voter registration records and annotate each signature, according to their findings in red ink in the left margin, on the copies of petition pages sent to him/her in the following manner:
- (A) If the name, address and signature are acceptable pursuant to 15 CSR30-15.010 "R" to denote "Registered";
- (B) Where possible, if the voter's address on an "R" designated signature is acceptable pursuant to 15 CSR 30-15.010 (3)(F), where the address listed on the petition is different from the address on the voting rolls but the voter was registered to vote within the county named at the top of the petition page on the date the petition was signed, and the local election authority determined that the individual's signatures on the petition and on the voter's registration record are sufficiently alike to identify the petition signer as the same person who was registered to vote within the jurisdiction on the date the petition was signed, the local election authority listed on the top of the petition page shall designate the signature as "R";

[(B)](C) Where possible, if the voter's address on an "R" designated signature is acceptable pursuant to 15 CSR 30-15.010 (3)(E), where the address listed on the petition is different from the address on the voting rolls but within the county named at the top of the page, and the local election authority determined that the individual's signatures on the petition and on the voter's registration record are sufficiently alike to identify the petition signer as the same person who is registered to vote within the jurisdiction, the local election authority shall add to the "R" designation "DA" (i.e., "RDA" to denote "Registered, Different Address");

[(C)](**D**) If the name on the petition does not appear in the election authority's registration file as an eligible voter in that jurisdiction "NR" to denote "Not Registered";

[(D)](E) If the address on the petition is not an address within the county named at the top of the petition page except as provided in

15 CSR 30-15.010 and subsection (1)(B) of this section "WA" to denote "Wrong Address";

[(E)](F) If the name and address are acceptable pursuant to 15 CSR 30-15.010, but the signature appears different than that on file with the election authority "WS" to denote "Wrong Signature";

[(F)](G) If a name selected in a random sample for a particular congressional district is actually in another district in the county and otherwise properly registered "OD" to denote "Other District"; and

[(G)](H) If a person is registered, but the correct congressional district is not indicated on the petition, the incorrect number should be crossed out and the correct number entered in the right margin.

AUTHORITY: section[s] 115.335.7, RSMo [Supp. 1998] 2000, and section 116.130.5, RSMo Supp. [1999] 2012. Original rule filed Nov. 22, 1985, effective March 24, 1986. For intervening history, please consult the Code of State Regulations. Amended: Filed Aug. 30, 2013.

PUBLIC COST: This proposed amendment will not cost state agencies or political subdivisions more than five hundred dollars (\$500) in the aggregate.

PRIVATE COST: This proposed amendment will not cost private entities more than five hundred dollars (\$500) in the aggregate.

NOTICE TO SUBMIT COMMENTS: Anyone may file a statement in support of or in opposition to this proposed amendment with the Office of the Secretary of State, c/o Dee Dee Straub, 600 West Main St., Jefferson City, MO 65101 or by email at deedee.straub@sos.mo.gov. To be considered, comments must be received within thirty (30) days after publication of this notice in the Missouri Register. No public hearing is scheduled.

Title 15—ELECTED OFFICIALS Division 30—Secretary of State Chapter 90—Uniform Commercial Code

PROPOSED AMENDMENT

15 CSR 30-90.010 Definitions. The division is deleting subsection (1)(G), amending (1)(W), adding (1)(O) and relettering as needed.

PURPOSE: This amendment is to update language within the rule to reflect the changes made to Chapter 400.9, RSMo in House Bill 212. Specifically, House Bill 212 amended section 400.9-518, RSMo to change the term "correction statement" to "information statement."

- (1) As used in this chapter, the following terms mean:
- [(G) "Correction statement" means a UCC record that indicates that a financing statement is inaccurate or wrongfully filed;]
- [(H)](G) "Fees" include all fees required by statute, including fees for the Technology Trust Fund;
- [(//)](H) "File number" shall have the meaning prescribed by section 400.9-519, RSMo;
- [(J)](I) "Filing office" means the appropriate place for filing UCC documents at the Office of the Secretary of State or county recorder of deeds;
- [(K)](J) "Filing officer" means the secretary of state or the county recorders of deeds;
- [(L)](K) "Filing officer statement" means a statement of correction entered into the filing office's information system to correct an error by the filing office;
- [(M)](L) "Financing statement" shall have the meaning prescribed by section 400.9-102, RSMo;

[(N)](M) "Image" means the image of a document as stored in the UCC information management system;

[(O)](N) "Individual" means a human being, or a decedent who was a debtor:

- (O) "Information statement" means a UCC record that indicates that a financing statement is inaccurate or wrongfully filed;
- (W) "UCC record" means an initial financing statement, an amendment, an assignment, a continuation, a termination or an *[correction]* information statement and shall not be deemed to refer exclusively to paper or paper-based writing; and

AUTHORITY: section 400.9-526, RSMo Supp. [2001] 2012. Original rule filed Sept. 30, 2002, effective March 30, 2003. Emergency amendment filed Aug. 16, 2013, effective Aug. 28, 2013, expires Feb. 27, 2014. Amended: Filed Aug. 16, 2013.

PUBLIC COST: This proposed amendment will not cost state agencies or political subdivisions more than five hundred dollars (\$500) in the aggregate.

PRIVATE COST: This proposed amendment will not cost private entities more than five hundred dollars (\$500) in the aggregate.

NOTICE TO SUBMIT COMMENTS: Anyone may file a statement in support of or in opposition to this proposed amendment with the Office of the Secretary of State, c/o Bridget Williams, 600 West Main St., Jefferson City, MO 65101 or by email at bridget.williams@sos.mo.gov. To be considered, comments must be received within thirty (30) days after publication of this notice in the Missouri Register. No public hearing is scheduled.

Title 15—ELECTED OFFICIALS Division 30—Secretary of State Chapter 90—Uniform Commercial Code

PROPOSED AMENDMENT

15 CSR 30-90.090 Refusal to File; Cancellation; Defects in Filing. The division is amending section (8), amending and renumbering current sections (9) and (10), and adding a new section (9).

PURPOSE: This amendment is to update language within the rule to reflect the changes made to Chapter 400.9, RSMo in House Bill 212. Specifically, House Bill 212 amended section 400.9-518, RSMo to change the term "correction statement" to "information statement." The bill also expanded the terms under which the secretary of state shall cancel a previously filed record.

- (8) The secretary of state shall cancel a previously filed record if[:]—
- (A) An *[correction]* information statement alleging that a previously filed record was wrongfully filed and that it should have been rejected under section (7) of this rule;
- (B) Such *[correction]* information statement includes a written certification, under oath, by the person that the contents of the *[correction]* information statement are true and accurate to the best of the person's knowledge; and
- (9) The secretary of state shall cancel a previously filed record if...
- (A) An information statement alleging that the person who filed the record was not entitled to do so under section 400.9-509(d);
- (B) The person filing the information statement is a secured party of record with respect to the financing statement to which the record relates;
- (C) Such information statement includes a written certification, under oath, by the person that the contents of the information

statement are true and accurate to the best of the person's knowledge; and

(D) The secretary of state, without undue delay, determines that the person who filed the contested record was not entitled to do so under section 400.9-509(d) and should have been rejected. In order to determine whether the person who filed the record was not entitled to do so, the secretary of state may require the person filing the information statement and the person who filed the contested record to provide any additional relevant information requested by the secretary of state, including an original or a copy of any security agreement that is related to the record. If the secretary of state finds that the person who filed the record was not entitled to do so, the secretary of state shall cancel the record and it shall be void and of no effect.

[(9)](10) If the secretary of state cancels a record under section (8) or (9), the secretary shall communicate to the person that presented the record the fact of and reason for the cancellation.

[(10)](11) If the secretary of state refuses to accept a record for filing pursuant to section (7) of this rule or cancels a wrongfully filed record pursuant to section (8) of this rule, or cancels a record pursuant to section (9) of this rule, the secured or affected party may file an appeal within thirty (30) days after the refusal or cancellation in the Circuit Court of Cole County.

- (A) Filing a petition requesting to be allowed to file the document commences the appeal. The petition shall be filed with the court and the secretary of state and shall have the record attached to it. Upon the commencement of an appeal, it shall be advanced on the court docket and heard and decided by the court as soon as possible.
- (B) Upon consideration of the petition and other appropriate pleadings, the court may order the secretary of state to file the record or take other action the court considers appropriate, including the entry of orders affirming, reversing, or otherwise modifying the decision of the secretary of state. The court may order other relief, including equitable relief, as may be appropriate.
- (C) The court's final decision may be appealed as in other civil proceedings.

AUTHORITY: section 400.9-526, RSMo Supp. [2001] 2012. Emergency rule filed Feb. 10, 2003, effective Feb. 20, 2003, expired March 30, 2003. Original rule filed Sept. 30, 2002, effective March 30, 2003. Emergency amendment filed Aug. 16, 2013, effective Aug. 28, 2013, expires Feb. 27, 2014. Amended: Filed Aug. 16, 2013.

PUBLIC COST: This proposed amendment will not cost state agencies or political subdivisions more than five hundred dollars (\$500) in the aggregate.

PRIVATE COST: This proposed amendment will not cost private entities more than five hundred dollars (\$500) in the aggregate.

NOTICE TO SUBMIT COMMENTS: Anyone may file a statement in support of or in opposition to this proposed amendment with the Office of the Secretary of State, c/o Bridget Williams, 600 West Main St., Jefferson City, MO 65101 or by email at bridget.williams@sos.mo.gov. To be considered, comments must be received within thirty (30) days after publication of this notice in the Missouri Register. No public hearing is scheduled.

Title 15—ELECTED OFFICIALS Division 30—Secretary of State Chapter 90—Uniform Commercial Code

PROPOSED AMENDMENT

15 CSR 30-90.170 Status of Parties upon Filing an [Correction]

Information Statement. The division is amending the title and sections (1) and (2).

PURPOSE: This amendment is to update language within the rule to reflect the changes made to Chapter 400.9, RSMo in House Bill 212. Specifically, House Bill 212 amended section 400.9-518, RSMo to change the term "correction statement" to "information statement."

- (1) The filing of an *[correction]* information statement shall not affect the status of any party to the financing statement.
- (2) An [correction] information statement shall not affect the status of the financing statement.

AUTHORITY: section 400.9-526, RSMo Supp. [2001] 2012. Original rule filed Sept. 30, 2002, effective March 30, 2003. Emergency amendment filed Aug. 16, 2013, effective Aug. 28, 2013, expires Feb. 27, 2014. Amended: Filed Aug. 16, 2013.

PUBLIC COST: This proposed amendment will not cost state agencies or political subdivisions more than five hundred dollars (\$500) in the aggregate.

PRIVATE COST: This proposed amendment will not cost private entities more than five hundred dollars (\$500) in the aggregate.

NOTICE TO SUBMIT COMMENTS: Anyone may file a statement in support of or in opposition to this proposed amendment with the Office of the Secretary of State, c/o Bridget Williams, 600 West Main St., Jefferson City, MO 65101 or by email at bridget.williams@sos.mo.gov. To be considered, comments must be received within thirty (30) days after publication of this notice in the Missouri Register. No public hearing is scheduled.

Title 20—DEPARTMENT OF INSURANCE, FINANCIAL INSTITUTIONS AND PROFESSIONAL REGISTRATION

Division 400—Life, Annuities and Health Chapter 2—Accident and Health Insurance in General

PROPOSED AMENDMENT

20 CSR 400-2.160 Mental Health Services Allowed Out-of-Network. The department is amending this rule to reflect the language contained in section 376.811.4, RSMo, which was amended by SCS HB 326 and CCS HCS SB 296 (2009).

PURPOSE: This amendment effectuates or aids in the interpretation of section 376.811.4, RSMo Supp. 2012.

Pursuant to section 376.811.4, RSMo Supp. [1997] 2012, an insurance company, health services corporation or health maintenance organization, must offer in all health insurance policies at least two (2) sessions per year for the purpose of diagnosis or assessment of mental health. This offer may not limit the choice of psychiatrist, licensed psychologist, licensed professional counselor or licensed clinical social worker, or, subject to contractual provisions, a licensed marital and family therapist who provides the service. An insured or enrollee may seek these services outside an insurer's network if he or she is covered by an insurance company, a health services corporation, or a point of service plan provided by a health maintenance organization.

AUTHORITY: section[s] 376.811.4, Supp. 2012, and section 376.814, RSMo [1994] 2000. Original rule filed Nov. 3, 1997, effective June 30, 1998. Amended: Filed Aug. 19, 2013.

PUBLIC COST: This proposed amendment will not cost state agencies or political subdivisions more than five hundred dollars (\$500) in the aggregate.

PRIVATE COST: This proposed amendment will not cost private entities more than five hundred dollars (\$500) in the aggregate.

NOTICE OF PUBLIC HEARING AND NOTICE TO SUBMIT COM-MENTS: Anyone may file a statement in support of or in opposition to this proposed amendment with the Department of Insurance, Financial Institutions and Professional Registration, Attention: Carolyn H. Kerr, Senior Counsel, Legal Section, PO Box 690, Jefferson City, MO 65102. To be considered, comments must be received within thirty (30) days after publication of this notice in the Missouri Register. A public hearing is scheduled for 10:00 a.m. on Friday, November 1, 2013, at the Harry S Truman State Office Building, Room 530, 301 West High Street, Jefferson City, Missouri.

SPECIAL NEEDS: If you have any special needs addressed by the Americans With Disabilities Act, please notify us at (573) 751-2619 at least five (5) working days prior to the hearing.

Title 20—DEPARTMENT OF INSURANCE, FINANCIAL INSTITUTIONS AND PROFESSIONAL REGISTRATION

Division 2200—State Board of Nursing Chapter 4—General Rules

PROPOSED AMENDMENT

20 CSR 2200-4.030 Public Complaint Handling and Disposition Procedure. The board is adding new sections (1) and (2), renumbering the remaining sections accordingly, and amending the new sections (3), (5), and (7).

PURPOSE: This amendment clarifies the types of action that do not require reporting and encourages complainants to alert employers so corrective action can be initiated in a timely manner.

- (1) Only complaints containing sufficient information to investigate and alleging conduct that would violate the Nursing Practice Act shall be investigated in the manner set forth in sections (3) through (10) below.
- (2) The Board of Nursing encourages potential complainants to immediately alert the nurse and administration of the facility where the nurse is employed of the concern or complaint in an effort to provide the facility with the opportunity to address and correct concerns immediately.

[(1)](3) The State Board of Nursing shall receive and process each complaint made against any licensee or permit holder, which complaint alleges certain acts or practices which may constitute one (1) or more violations of the provisions of Chapter 335, RSMo. This only applies to complaints where there is sufficient information to investigate and the allegation(s), if true, would be a violation of the Nursing Practice Act. Any member of the public or profession, or any federal, state, or local officials may make and file a complaint with the board. No member of the State Board of Nursing shall file a complaint with this board while holding that office, unless that member is excused from further board deliberations or activity concerning the matters alleged within that complaint. The executive director or any staff member of the board may file a complaint pursuant to this rule in the same manner as any member of the public.

[(2)](4) Complaints should be mailed, faxed, or delivered to the following address: Executive Director, Missouri State Board of

Nursing, 3605 Missouri Boulevard, PO Box 656, Jefferson City, MO 65102-0656.

[(3)](5) All complaints shall be made in writing and shall fully identify the complainant by name and address. Complaints may be made on forms which are provided by the board and available upon request or can be accessed at the board's website.

[(4)](6) Each complaint received under this rule shall be logged in a book maintained by the board for that purpose. Complaints shall be logged in consecutive order as received. The logbook shall contain a record of each complainant's name and address; the name and address of the subject(s) of the complaint; the date each complaint is received by the board; a brief statement of the acts complained of; a notation whether the complaint resulted in its dismissal by the board or informal charges being filed with the Administrative Hearing Commission; and the ultimate disposition of the complaint. This logbook shall be a closed record of the board.

[(5)](7) Each complaint received under this rule shall be acknowledged in writing. The complainant shall be informed as to whether the complaint is being investigated and later, **if applicable**, as to whether the complaint has been dismissed by the board. The complainant shall be notified of the disciplinary action taken, if any. The provisions of this section shall not apply to complaints filed by staff members of the board based on information and belief, acting in reliance on third-party information received by the board.

[(6)](8) Both the complaint and any information obtained as a result of the investigation of the complaint shall be considered a closed record and shall not be available for inspection by the general public.

[(7)](9) This rule shall not be deemed to limit the board's authority to file a complaint with the Administrative Hearing Commission charging a licensee of the board with any actionable conduct or violation, whether or not such a complaint exceeds the scope of the acts charged in a preliminary public complaint filed with the board and whether or not any public complaint has been filed with the board.

[(8)](10) The board interprets this rule, which is required by law, to exist for the benefit of those members of the public who submit complaints to the board and for those persons or entities within the legislative and executive branches of government having supervisory or other responsibilities or control over the professional licensing boards. This rule is not deemed to protect or insure to the benefit of [,] those licensees, permit holders, registrants or other persons against whom the board has instituted or may institute administrative or judicial proceeding concerning possible violations of the provisions of Chapter 335, RSMo.

AUTHORITY: section[s] 335.036 [and 620.010.15(6)], RSMo Supp. [2007] 2012. This rule originally filed as 4 CSR 200-4.030. Original rule filed Feb. 10, 1982, effective May 13, 1982. Amended: Filed June 28, 2002, effective Dec. 30, 2002. Moved to 20 CSR 2200-4.030, effective Aug. 28, 2006. Amended: Filed May 27, 2008, effective Nov. 30, 2008. Amended: Filed Aug. 28, 2013.

PUBLIC COST: This proposed amendment will not cost state agencies or political subdivisions more than five hundred dollars (\$500) in the aggregate.

PRIVATE COST: This proposed amendment will not cost private entities more than five hundred dollars (\$500) in the aggregate.

NOTICE TO SUBMIT COMMENTS: Anyone may file a statement in support of or in opposition to this proposed amendment with the Missouri State Board of Nursing, Lori Scheidt, Executive Director, PO Box 656, Jefferson City, MO 65102, by fax at (573) 751-0075, or

via email at nursing@pr.mo.gov. To be considered, comments must be received within thirty (30) days after publication of this notice in the Missouri Register. No public hearing is scheduled.

Title 22—MISSOURI CONSOLIDATED HEALTH CARE PLAN Division 10—Health Care Plan Chapter 2—State Membership

PROPOSED AMENDMENT

22 CSR 10-2.094 Tobacco-Free Incentive Provisions and Limitations. The Missouri Consolidated Health Care Plan is amending sections (2), (3), (4); and adding section (7).

PURPOSE: This amendment establishes the policy of the board of trustees in regard to the tobacco-free incentive benefit.

- (2) Limitations and exclusions—The following members are not eligible to participate in the tobacco-free incentive:
- (C) [Medicare or] TRICARE Supplement Plan terminated vested subscriber;
- (D) [Medicare or] TRICARE Supplement Plan long-term disability subscriber;
- (E) [Medicare or] TRICARE Supplement Plan survivor subscriber;
- (F) [Medicare or] TRICARE Supplement Plan COBRA subscriber;
- (G) [Medicare or] TRICARE Supplement Plan retiree subscriber;
- (H) [Medicare or] TRICARE Supplement Plan spouses covered by any other eligible subscriber; and
- (3) Incentive Participation Requirement.
- (B) To receive the incentive beginning on January 1, [2013] 2014, eligible members must do one (1) of the following:
 - 1. Tobacco-free attestation.
- A. The member must complete a tobacco-free attestation online through myMCHCP or submit a completed form by fax or mail during the period of October 1, [2012] 2013, through November 30, [2012] 2013. The form must be received by November 30, [2012] 2013; or
 - 2. Tobacco cessation program attestation.
- A. [Participate in an MCHCP-approved tobacco cessation program as defined in sections (4) and (5) and] The member must complete a tobacco cessation program attestation online through myMCHCP or submit a completed form by fax or mail during the period of October 1, [2012] 2013, through November 30, [2012] 2013. The form must be received by November 30, [2012] 2013. The member also must participate in an MCHCP-approved tobacco cessation program as defined in sections (4) and (5).
- (I) If a subscriber and/or his/her spouse become and remain tobacco-free three (3) months prior to May 31, [2013] 2014, s/he may continue to receive the incentive through December 31, [2013] 2014, if s/he completes a tobacco-free attestation through myMCHCP or submits a completed form by fax or mail by May 31, [2013] 2014. The form must be received by May 31, [2013] 2014.
- (C) [For a new employee or a]An employee adding medical coverage with an effective date from [December] November 1, [2012] 2013, through May [3]1, [2013] 2014;[, and his/her spouse to receive the incentive from the employee's effective date of coverage, the employee] must complete a tobaccofree attestation or tobacco cessation program attestation [at the time of enrollment] within thirty-one (31) days of the subscriber's effective date. A covered spouse's attestation must be completed within thirty-one (31) days of enrollment. The incentive will start on the subscriber's effective date. If a subscriber and/or his/her spouse complete the tobacco cessation program attestation and

become and remain tobacco-free three (3) months prior to May 31, [2013] 2014, s/he can continue to receive the incentive through December 31, [2013] 2014, if s/he completes a tobacco-free attestation through myMCHCP or submits a completed form by fax or mail by May 31, [2013] 2014. A form must be received by May 31, [2013] 2014.

- (D) [A new] An employee [and spouse] adding medical coverage with an effective date after May [3]1, [2013] 2014[,] must complete the tobacco-free attestation form to receive the incentive within thirty-one (31) days of [enrollment] the subscriber's effective date. A covered spouse's attestation must be completed within thirty-one (31) days of enrollment. The incentive will start on the subscriber's effective date.
- (4) MCHCP-approved tobacco cessation programs for a subscriber
- (A) [StayWell Tobacco NextSteps: phone coaching (866-564-5235)] Tobacco cessation coaching provided by the wellness vendor
- (B) [Missouri Tobacco Quitline: 800-QUIT-NOW (800-784-8669)] Strive for Wellness tobacco cessation programs (for active employee subscribers only); or
- (7) Tobacco-free incentive—The tobacco-free incentive is forty dollars (\$40) per month per eligible participant.

AUTHORITY: section 103.059, RSMo 2000. Emergency rule filed Nov. 1, 2011, effective Nov. 25, 2011, expired May 22, 2012. Original rule filed Nov. 1, 2011, effective April 30, 2012. Emergency amendment filed Aug. 28, 2012, effective Oct. 1, 2012, terminated Feb. 27, 2013. Amended: Filed Aug. 28, 2012, effective Feb. 28, 2013. Emergency amendment filed Aug. 23, 2013, effective Oct. 1, 2013, expires March 29, 2014. Amended: Filed Aug. 23, 2013.

PUBLIC COST: This proposed amendment will not cost state agencies or political subdivisions more than five hundred dollars (\$500) in the aggregate.

PRIVATE COST: This proposed amendment will cost private entities \$2,451,420 in the aggregate.

NOTICE TO SUBMIT COMMENTS: Anyone may file a statement in support of or in opposition to this proposed amendment with the Missouri Consolidated Health Care Plan, Judith Muck, PO Box 104355, Jefferson City, MO 65110. To be considered, comments must be received within thirty (30) days after publication of this notice in the Missouri Register. No public hearing is scheduled.

FISCAL NOTE PRIVATE COST

I. Department Title: 22 - Missouri Consolidated Health Care Plan

Division Title: Division 10 Chapter Title: Chapter 2

Rule Number and Title:	22 CSR 10-2.094 Tobacco-Free Incentive Provisions and Limitations
Type of Rulemaking:	Proposed Amendment

II. SUMMARY OF FISCAL IMPACT

Estimate of the number of entities by class which would likely be affected by the adoption of the rule:	Classification by types of the business entities which would likely be affected:	Estimate in the aggregate as to the cost of compliance with the rule by the affected entities:
13,619 individuals that do not attest to being tobacco-free or participating in tobacco cessation program for CY 2014	Eligible subscribers and spouses that do not attest to being tobacco-free or participating in a tobacco cessation program in CY 2014	\$2,451,420

III. WORKSHEET

Estimated cost is the annual additional premium cost to MCHCP eligible subscribers and spouses that do not attest to being tobacco-free or participating in a tobacco cessation program for calendar year 2014.

IV. ASSUMPTIONS

 Projected 13,619 eligible subscribers and spouses do not attest to being tobacco-free or participating in a tobacco cessation program

Title 22—MISSOURI CONSOLIDATED HEALTH CARE PLAN Division 10—Health Care Plan Chapter 2—State Membership

PROPOSED AMENDMENT

22 CSR 10-2.120 Wellness Program. The Missouri Consolidated Health Care Plan is amending sections (1), (3), (4), (6), and (7).

PURPOSE: This amendment establishes the policy of the board of trustees in regards to the Strive for Wellness program.

- (1) Program—The wellness program is called Strive for Wellness and is administered through [StayWell Health Management (]a wellness vendor[]]. Strive for Wellness is voluntary. Subscribers are responsible for enrolling, participating, and completing requirements by applicable deadlines.
- (3) Limitations and exclusions—The following members are not eligible to participate in the wellness program:
- (D) [Medicare or] TRICARE Supplement Plan terminated vested subscriber;
- (E) [Medicare or] TRICARE Supplement Plan long-term disability subscriber;
- (F) [Medicare or] TRICARE Supplement Plan survivor subscriber:
- (G) [Medicare or] TRICARE Supplement Plan COBRA subscriber;
- (H) [Medicare or] TRICARE Supplement Plan retiree subscriber; and

(4) Participation—

(A) Subscribers **and new employees** may earn an incentive by completing the following:

1. Subscribers—

A. The online Partnership Agreement by November 30, [2012] 2013;

[2.]B. The online Health Assessment by November 30, [2012] 2013; and

- [3. Receive an annual wellness exam] C. Complete a preventive lab screening (cholesterol and glucose) between June 1, [2012] 2013, and May 31, [2013] 2014, and submit the [Health Care Provider Form that] Preventive Lab form to MCHCP's wellness vendor by May 31, 2014. The vendor must receive the form by May 31, 2014. The form must include[s] the [subscriber's height, weight, blood pressure, date of exam, and health care provider name and signature to MCHCP's wellness vendor by May 31, 2013. The vendor must receive the form by May 31, 2013.] following:
 - (I) The health care provider's name and signature;
 - (II) The subscriber's name and signature; and
- (III) The date the preventive lab screening was completed.

2. New employees—

A. An employee adding medical coverage with an effective date from November 1, 2013, through May 1, 2014, must complete the Partnership Agreement within thirty-one (31) days of the effective date and the Health Assessment by May 31, 2014 or within sixty (60) days of the effective date, whichever is earlier, to receive the partnership incentive. The incentive will start at the beginning of the second month after the eligible subscriber completes the Health Assessment.

B. To continue the incentive July through December 2014, the employee must complete a preventive lab screening (cholesterol and glucose) between June 1, 2013 and May 31, 2014, and submit the Preventive Lab form to MCHCP's wellness vendor by May 31, 2014. The Partnership Agreement and Health

Assessment must be completed by May 31, 2014 or within sixty (60) days of the effective date of coverage, whichever is earlier. The vendor must receive the Preventive Lab form no later than May 31, 2014.

C. An employee with an effective date after May 1, 2014, will be eligible to participate in the wellness program at the next open enrollment period;

(B) Preventive Lab form—

- [A. Health Care Provider] 1. Preventive Lab form. The [Health Care Provider] Preventive Lab form is unique for each subscriber and may only be obtained by the subscriber through myMCHCP. The form must be downloaded by each subscriber for his/her use only[.];
- [B. Health Care Provider] 2. Preventive Lab form errors. Forms submitted with errors will not be accepted. Unacceptable errors include, but are not limited to:

[(//)]A. Form not unique to submitting subscriber;

[(///]**B.** Provider printed name not legible;

[(///)]C. Provider name or signature missing;

[(IV) Height missing or not legible] D. Subscriber printed name not legible;

[(V) Weight missing or not legible] E. Subscriber name or signature missing:

[(VI) Blood pressure] F. Date preventive lab screening completed missing or not legible; and

[(VII) Date of physical exam missing or not legible; and

(VIII)] **G.** Handwritten changes made to the preprinted name and unique ID contained on the form[.];

[C. Annual wellness exam. An annual wellness exam is an annual preventive exam for men or women; and]

[D.]3. Qualified health care provider. The [Health Care Provider] Preventive Lab form must be completed by the health care provider who [conducted] ordered the [annual wellness exam;] preventive lab screening;

[(B) A new employee or eligible subscriber adding medical coverage due to a life event from November 1, 2012, through May 31, 2013, must complete the Partnership Agreement and Health Assessment within sixty (60) days of the effective date of coverage to receive the partnership incentive. The incentive will start the beginning of the second month after the eligible subscriber completes the Health Assessment. To continue the incentive July through December 2013, the employee must receive an annual wellness exam between June 1, 2012, and May 31, 2013, and submit the Health Care Provider form that includes the subscriber's height, weight, blood pressure, date of exam, and health care provider name and signature to MCHCP's wellness vendor by May 31, 2013. The Partnership Agreement and Health Assessment must be completed within sixty (60) days of the effective date of coverage or May 31, 2013 whichever is earlier and the vendor must receive the Health Care Provider form no later than May 31, 2013.

- 1. Health Care Provider form. The Health Care Provider form is unique for each subscriber and may only be obtained by the subscriber through myMCHCP. The form must be downloaded by each subscriber for his/her use only.
- 2. Health Care Provider form errors. Forms submitted with errors will not be accepted. Unacceptable errors include, but are not limited to:
 - A. Form not unique to submitting subscriber;
 - B. Provider printed name not legible;
 - C. Provider name or signature missing;
 - D. Height missing or not legible;
 - E Weight missing or not legible;
 - F. Blood pressure missing or not legible;
 - G. Date of physical exam missing or not legible; and

- H. Handwritten changes made to the preprinted name and unique ID contained on the form.
- 3. Annual wellness exam. An annual wellness exam is an annual preventive exam for men or women;
- (C) An employee hired after May 31, 2013, will be eligible to participate in the wellness program at the next open enrollment period;]
- *[(D)](C)* Subscribers with disabilities may request special accommodations regarding participation. Appropriately documented reasonable requests will be accommodated to the extent possible;
- [(E)](D) When Medicare becomes a retiree subscriber's primary insurance payer, the subscriber is no longer eligible to participate and will lose the partnership incentive the first day of the month in which Medicare becomes primary;
- [(F)](E) Health Coaching. Subscriber data from the Health Assessment [and Health Care Provider form] will be used to identify health risks. Subscribers identified to be at moderate to high health risk for weight, eating, stress, exercise, tobacco use, back care, blood pressure, and cholesterol will be offered voluntary phone health coaching to reduce their risk. Health coaching is not required to receive the partnership incentive; and
- [(G)](F) Subscribers failing to fulfill all requirements of the Partnership Agreement by said deadlines will lose the partnership incentive and will not be eligible for health coaching.
- (6) Partnership incentive—The partnership incentive is [fifteen] twenty-five dollars [(\$15)] (\$25) per month as reflected in the partnership premium.
- (7) Each subscriber is responsible for confirming vendor receipt and acceptability of his/her [Health Care Provider] Preventive Lab form by checking his/her wellness information on myMCHCP. If the information is not reflected within a reasonable time period, it is the subscriber's responsibility to contact the vendor regarding the status of his/her [Health Care Provider] Preventive Lab form [at (866) 564-5235].
- AUTHORITY: section 103.059, RSMo 2000. Emergency rule filed Aug. 28, 2012, effective Oct. 1, 2012, terminated Feb. 27, 2013. Original rule filed Aug. 28, 2012, effective Feb. 28, 2013. Emergency amendment filed Aug. 23, 2013, effective Oct. 1, 2013, expires March 29, 2014. Amended: Filed Aug. 23, 2013.
- PUBLIC COST: This proposed amendment will not cost state agencies or political subdivisions more than five hundred dollars (\$500) in the aggregate.
- PRIVATE COST: This proposed amendment will not cost private entities more than five hundred dollars (\$500) in the aggregate.
- NOTICE TO SUBMIT COMMENTS: Anyone may file a statement in support of or in opposition to this proposed amendment with the Missouri Consolidated Health Care Plan, Judith Muck, PO Box 104355, Jefferson City, MO 65110. To be considered, comments must be received within thirty (30) days after publication of this notice in the Missouri Register. No public hearing is scheduled.