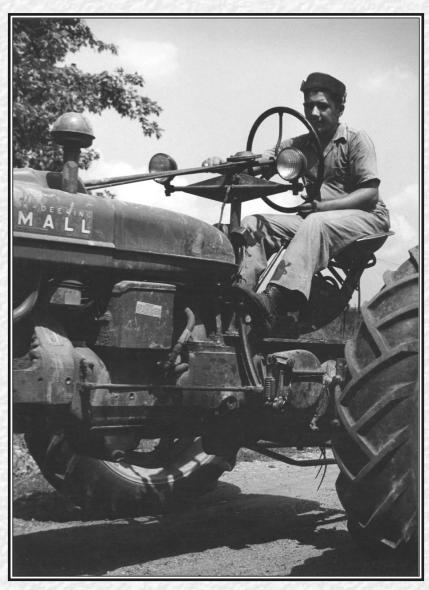
# Chapter 2 Executive Branch



**"The Iron Horse"** (Missouri State Archives, Putman Collection)





### Matt Blunt

#### Governor

Elected November 2, 2004 Term expires January 2009

MATT BLUNT (Republican) was commissioned by the people to chart a course of economic growth and fiscal responsibility. He was elected as Missouri's 54th Governor by more than 80,000 votes on November 2, 2004, carrying 101 of our state's 114 counties.

Blunt ran on a platform of change. He pledged to make public schools the top priority in the budget; to create a state government that lives within the taxpayers' means; and to establish an economic environment in Missouri which encourages the creation of good, family-supporting jobs.

Before taking office, he fashioned a new state budget to increase funding for classrooms, reject job-killing tax increases on working families, and control rapidly rising state spending. His budget priorities were adopted in his first legislative session as governor, including more than \$158 million in new funding for Missouri classrooms.

On his first full day as governor, Blunt began to make good on other promises. He closed the state lobbying office in Washington, D.C., in order to save Missouri tax dollars and halted the purchase of cell phones and non-emergency vehicles. Later, he established a citizen's commission to recommend the first executive branch reorganization in 30 years and he immediately began to consolidate services and personnel within state government.

A productive first legislative session included enactment of litigation reform to improve the quality of and access to health care by reducing unmerited lawsuits against physicians and other caregivers, and changes to prevent abuse of the compensation fund for injured workers.

Blunt was born November 20, 1970, in Springfield. He attended public schools in Strafford and graduated from Jefferson City High School. He graduated from the United States

Naval Academy in Annapolis, Maryland, in May 1993 with a Bachelor of Science degree in history.

His active duty naval service included assignments as an Engineering Officer aboard the USS JACK WILLIAMS (FFG-24) and as the Navigator and Administrative Officer on the USS PETERSON (DD-969). He served in Operation Support Democracy, involving the international blockade of Haiti; missions to interdict drug traffic at sea; and the interdiction of Cuban migrants. He received numerous commendations, including four Navy and Marine Corps Achievement medals.

Blunt is the only statewide official in Missouri history called to active military duty in wartime, serving for six months in Operation Enduring Freedom, America's response to the terrorist attacks of September 11, 2001. He continues to serve as a Lieutenant Commander in the Naval Reserves.

As secretary of state, Blunt improved the speed and quality of service to the public while reducing the costs for Missouri taxpayers, and won enactment of bills to reform elections and provide new consumer protections for investors. Blunt's vigorous oversight of the elections process and his landmark election reform bill helped restore Missourians' confidence in the state elections process and contributed to record-setting voter turnout in the August and November 2004 elections.

Prior to being elected secretary of state, Blunt served in the Missouri House of Representatives from Greene County.

Governor Blunt married Melanie Anderson Blunt in March 1997. The couple recently welcomed their first child, William Branch Blunt, who was born on March 9, 2005. The Blunts attend a Baptist church near their home. Governor Blunt is a member of the American Legion and the Missouri Farm Bureau.

# Office of Governor

State Capitol Jefferson City 65102 Telephone: (573) 751-3222 FAX: (573) 751-1588 www.gov.mo.gov

#### Qualifications

The chief executive officer of the State of Missouri must be at least 30 years old, a U.S. citizen for at least 15 years and a resident of Missouri for at least 10 years before being elected governor.

The governor is elected to a four-year term during the same year as a presidential election and may seek re-election to a second four-year term. No person may hold the office for more than two terms.

#### Responsibilities

The governor appoints the members of all boards and commissions, the heads of all departments in state government and fills all vacancies in public offices unless otherwise provided by law. The board members of Missouri's state universities and colleges are appointed by the governor. The governor also selects the members of the Supreme and Appellate Courts of Missouri from names submitted by the State Judicial Commission. The governor appoints members to the state's six urban election boards, two police boards and the Board of Probation and Parole. Most appointments require the advice and consent of the Senate.

The governor addresses the General Assembly on the state of government and recommends changes or other actions to be taken. A budget is submitted by the governor to the General Assembly within 30 days after the assembly convenes. The budget contains the governor's estimates of available state revenues and an itemized plan for proposed expenditures.

All bills and joint resolutions passed by both houses of the legislature are submitted to the governor for consideration. The governor must return the legislation to the house of its inception within 15 days after receiving it. The governor may either approve a bill, making it law, or return it to the legislature with objections. When the legislature is adjourned, the governor has 45



KEN McCLURE Chief of Staff



ROB MONSEES Deputy Chief of Staff-Policy



JOHN RUSSELL Deputy Chief of Staff Administration



LINDA IMHOFF Assistant to the Governor

days in which to consider a bill.

The governor may object to one or more items or portions of items of an appropriations bill while approving other items or portions of the appropriations bill. Upon signing the appropriations bill, appended to it is a statement of the items or portions of items to which there are objections and such items or portions will not take effect. The governor may control the rate of expenditure in other areas whenever the actual revenues are less than the revenue estimates upon which the appropriations were based.

#### **Additional Duties and Powers**

The governor performs many other duties assigned by constitution, statute or custom. For example, the governor issues writs of election to fill vacancies in either house of the General Assembly. The governor also has the power to grant reprieves, commutations and pardons, but this does not include the power to parole.

In addition to other duties, the governor is a member of a number of boards and commissions, such as the Board of Public Buildings and the State Board of Fund Commissioners.

Moreover, the governor is the conservator of peace throughout Missouri and is commander-



SPENCE JACKSON Director of Communications



JESSICA ROBINSON Press Secretary



TERRY M. JARRETT General Counsel



OMAR D. DAVIS Deputy General Counsel



CAROLYN LOETHEN Director of Scheduling



MARY CRAVEN Executive Assistant to the First Lady



JARED CRAIGHEAD Senior Policy Advisor and Director of Federal Affairs



CHUCK PRYOR Director of Legislative Affairs

in-chief of the state's militia. The militia may be called out to execute laws, suppress threats of danger to the state, and prevent and repel invasion.

The governor has the authority to remit fines and forfeitures when it is believed that an injustice was done or great hardship was suffered by the defendant.

#### **Executive Department**

The executive department consists of all state elective and appointive employees, except those of the legislative and judicial departments.



BRENT KAHLER Director-Kansas City Office



TOM REISS Director-St. Louis Office



Governor's Mansion (MaryAnn Craigg)

#### Missouri Governor's Mansion

Governor Matt Blunt, First Lady Melanie Blunt and their son William Branch Blunt are honored to call the Missouri Governor's Mansion their home.

First occupied by Governor B. Gratz Brown and his family in 1872, this stately three-story brick building is one of the oldest and most beautifully restored Governors' homes in the United States. The Mansion is perched on a bluff near the State Capitol Building and provides a scenic glimpse of the Missouri River. Built and finished in the renaissance revival style, the residence entry consists of an imposing portico with four dignified pink granite columns. A victorian atmosphere greets visitors as they enter the great hall with its dramatic 17-foot ceilings, a rare free-flowing staircase of solid walnut, and one of the best collections of period furnishings in the country. Designed by George Ingham Barnett of St. Louis, the mansion has been listed on the National Register of Historic Places since May 21, 1969.

Missouri First Ladies' portraits are featured throughout the mansion along with loaned works of art created by world famous Missouri artists George Caleb Bingham, who also served as Missouri state treasurer from 1862–1865; Thomas Hart Benton and the Harry S Truman family portrait by Greta Kempton.

The Blunts enjoy making "the people's house" their home and appreciate the opportu-

nity for their son Branch to grow up surrounded by stories and pictures that showcase Missouri's rich history and heritage. Branch is the first baby raised in the mansion since Christopher "Kit" Bond was governor more than 20 years ago. Governor Blunt and Mrs. Blunt appreciate the challenges of raising a baby in the residence.

Faith is the cornerstone of the Blunt family and has influenced Mrs. Blunt's commitment to public service. She feels strongly that individuals need to play an active part in maintaining the overall health of Missouri and its local communities. Mrs. Blunt is a member of the Regional Board of Directors for Boys and Girls Town of Missouri and has mentored students at the Boys and Girls Club learning creative writing skills. As a past member of the executive board and board of directors of the Junior League of Springfield, the first lady has led projects aimed at addressing disability awareness and also represented the League on the State Public Affairs Committee.

Mrs. Blunt always enjoys visiting classrooms and interacting with young people. Her interest in what is going on in Missouri's schools is not new. She helped to coordinate a program in Springfield public schools called "Kids on the Block." This innovative program brought schoolchildren a positive message about diversity and acceptance.

Approximately 60,000 people visit the Missouri governor's mansion annually.

Historical Listing, Governor	istorical L	isting,	Governors
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His	storical Listing, Governors				
	Name and (party)	Term	County	Born	Died
1.	Alexander McNair (D) <sup>1</sup>	1820-24	St. Louis	5/5/1775	3/18/1826
2.	Frederick Bates (D)1	1824-25	St. Louis	6/23/1777	8/4/1825
3.	Abraham J. Williams (D)1	1825-26	Boone	2/26/1781	12/30/1839
4.	John Miller (D)1	1826-32	Howard	11/25/1781	3/18/1846
5.	Daniel Dunklin (D)	1832-36	Washington	1/14/1790	8/25/1844
6.	Lilburn W. Boggs (D)	1836-40	Jackson	12/14/1792	3/14/1860
7.	Thomas Reynolds (D)	1840-44	Howard	3/12/1796	2/9/1844
8.	Meredith Miles Marmaduke (D) <sup>2</sup>	1844	Saline	8/25/1791	3/26/1864
9.	John Cummins Edwards (D)	1844-48	Cole	6/24/1806	9/17/1888
10.	Austin Augustus King (D)	1848-53	Ray	9/21/1802	4/22/1870
11.	Sterling Price (D)	1853-57	Chariton	9/1809	9/29/1867
12.	Trusten Polk (D) <sup>3</sup>	1857	St. Louis	5/29/1811	4/16/1876
13.	Hancock Lee Jackson (D)4	1857	Randolph	5/12/1796	3/19/1876
14.	Robert Marcellus Stewart (D)	1857-61	Buchanan	3/12/1815	9/21/1871
15.	Claiborne Fox Jackson (D)	1861	Saline	4/4/1806	12/6/1862
16.	Hamilton Rowan Gamble (U)5, 6	1861-64	St. Louis	11/29/1798	1/31/1864
17.	Willard Preble Hall (U)6	1864–65	Buchanan	5/9/1820	11/3/1882
18.	Thomas Clement Fletcher (R)7	1865-69	St. Louis	1/22/1827	3/25/1899
19.	Joseph Washington McClurg (R)7	1869–71	Camden	2/22/1818	12/2/1900
20.	Benjamin Gratz Brown (R)8	1871–73	St. Louis	5/28/1826	12/13/1885
21.	Silas Woodson (D)	1873–75	Buchanan	5/18/1819	10/9/1896
22.	Charles Henry Hardin (D)	1875–77	Audrain	7/15/1820	7/29/1892
23.	John Smith Phelps (D)	1877–81	Greene	12/14/1814	11/20/1886
24.	Thomas Theodore Crittenden (D)	1881–85	Johnson	1/1/1832	5/29/1909
25.	John Sappington Marmaduke (D)	1885–87	St. Louis City	3/14/1833	12/28/1887
26.	Albert Pickett Morehouse (D)	1887–89	Nodaway	7/11/1835	9/23/1891
27.	David Rowland Francis (D)	1889–93	St. Louis City	10/1/1850	1/15/1927
28.	William Joel Stone (D)	1893–97	Vernon	5/7/1848	4/14/1918
29.	Lon Vest Stephens (D) <sup>9</sup>	1897–1901	Cooper	12/1/1858	1/10/1923
30.	Alexander Monroe Dockery (D)	1901–05	Daviess	2/11/1845	12/26/1926
31.	Joseph Wingate Folk (D)	1905–09	St. Louis City	10/28/1869	5/28/1923
32.	Herbert Spencer Hadley (R)	1909–13	Jackson	2/20/1872	12/1/1927
33.	Elliott Woolfolk Major (D)	1913–17	Pike	10/20/1864	7/9/1949
34. 35.	Frederick Dozier Gardner (D)	1917–21	St. Louis City	11/6/1869	12/18/1933
35. 36.	Arthur Mastick Hyde (R) Sam Aaron Baker (R)	1921–25 1925–29	Grundy Cole	7/12/1877 11/7/1874	10/17/1947 9/16/1933
37.	Henry Stewart Caulfield (R)	1929–33	St. Louis	12/9/1873	5/11/1966
37. 38.	Guy Brasfield Park (D)	1933–37	Platte	6/10/1872	10/1/1946
39.	Lloyd Crow Stark (D)	1937–41	Pike	11/23/1886	9/17/1972
40.	Forrest C. Donnell (R)	1941–45	St. Louis	8/20/1884	3/3/1980
41.	Phil M. Donnelly (D)	1945–49	Laclede	3/6/1891	9/12/1961
42.	Forrest Smith (D)	1949–53	Ray	2/14/1886	3/8/1962
43.	Phil M. Donnelly (D)	1953–57	Laclede	3/6/1891	9/12/1961
44.	James T. Blair Jr. (D)	1957–61	Cole	3/15/1902	7/12/1962
45.	John M. Dalton (D)	1961–65	Dunklin	11/9/1900	7/7/1972
46.	Warren E. Hearnes (D)	1965–73	Mississippi	7/24/1923	7/7/13/2
47.	Christopher S. (Kit) Bond (R)	1973–77	Audrain	3/29/1939	
48.	Joseph P. Teasdale (D)	1977–81	Jackson	3/6/1939	
49.	Christopher S. (Kit) Bond (R)	1981–85	Audrain	3/29/1939	
50.	John Ashcroft (R) <sup>10</sup>	1985–93	Greene	5/9/1942	
51.	Mel Carnahan (D) <sup>10</sup>	1993–2000	Phelps	2/11/1934	10/16/2000
52.	Roger Wilson (D) <sup>11</sup>	2000-01	Boone	10/10/1948	
53.	Bob Holden (D)	2001-05	Shannon	8/24/1949	
54.	Matt Blunt (R)	2005	Greene	11/20/1970	

<sup>&</sup>lt;sup>1</sup>At the time of the elections of McNair, Bates and Williams, and of the first election of Miller in 1825, there were no organized political parties in Missouri. Individual popularity prevailed. All called themselves Jeffersonian Republicans, or what now are called Democrats.

<sup>&</sup>lt;sup>2</sup>Marmaduke was elected Lt. Governor in 1840. Upon the death of Governor Thomas Reynolds in February 1844, Marmaduke became Governor. He served the remainer of that year, because John Cummins Edwards was elected.

#### 62 OFFICIAL MANUAL

<sup>3</sup>Soon after Polk was inaugurated the Legislature convened and elected him by 101 votes to be a U.S. Senator—he resigned to take that office in February 1857—His occupancy of the Governor's office was shorter than any other Governor of Missouri.

<sup>4</sup>Jackson was Lt. Governor under Polk and became acting governor after Polk resigned in February 1857—He served until October of that year when Robert Stewart took office. Stewart was elected at a special election in August 1857.

<sup>5</sup>Gamble was elected provisional governor by the Missouri State Convention on July 31, 1861. The office had been declared vacated after Governor Claiborne Fox Jackson joined the Confederacy.

6Unionist.

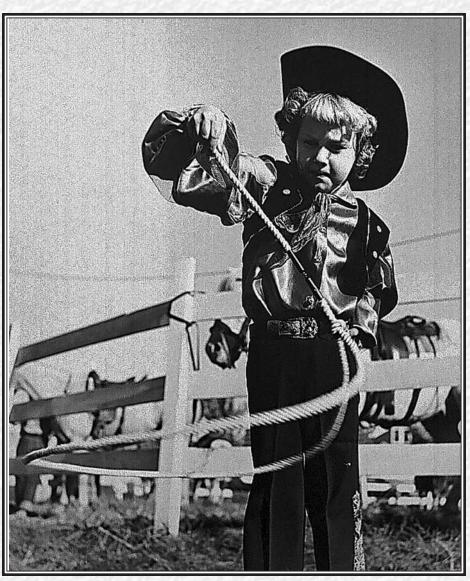
7Radical.

8Liberal.

<sup>9</sup>Gov. Stephens is credited with creating the State Fair in Sedalia.

10Some held multiple terms as governor. In consideration of space, some are listed here only once.

<sup>11</sup>Wilson became Missouri's 52nd governor on October 17, 2000, upon the death of Mel Carnahan.



"Junior Roper" (Missouri State Archives, Putman Collection)





## Peter D. Kinder

#### Lieutenant Governor

Elected November 2, 2004 Term expires January 2009

PETER D. KINDER (Republican) was elected Missouri's 46th Lieutenant Governor on November 2, 2004, carrying 91 of Missouri's 114 counties. He is the second Republican elected to this office since 1928.

Peter Kinder was born and raised in Cape Girardeau. As the son of one of the last Missouri pediatricians to make house calls, he learned the value of service, responsibility, and hard work. This example of service is one that Peter has sought to emulate in serving the citizens of Missouri.

After attending Cape Girardeau Public Schools, he continued his education at Southeast Missouri-State University and the University of Missouri-Columbia. He graduated from St. Mary's University School of Law in San Antonio, Texas, in 1979, and was admitted to the Missouri Bar in 1980.

From 1981 to 1983, he served as a member of U.S. Representative Bill Emerson's Washington staff and then worked as an attorney and real estate representative for Drury Industries. In 1987, he became associate publisher of the Southeast Missourian.

In 1992, Peter was elected to the Missouri Senate. In 2001, the Republicans gained a majority in the Missouri Senate. As a result, Kinder was elected President *Pro Tem*, the top elected official in the Missouri Senate, and led that body for four years. When he ran for lieutenant governor in 2004, he became the second sitting President *Pro Tem* to be elected lieutenant governor.

As lieutenant governor, Kinder serves as the official Senior Advocate for Missouri. While in the Missouri Senate, he sponsored and passed the Elderly Protection Act in 2003. Through his leadership as President *Pro Tem*, Missouri crafted the Missouri Senior Rx plan. He continues to work to protect Missouri seniors. Kinder is promoting MOSAFE (Missourians Stopping Adult Financial Exploitation).

In 2005, the Government Review Commission was created by Executive Order, naming Kinder to the twenty-member board. This commission reviews every executive department, identifying opportunities to restructure, consolidate, or reduce areas of state government to produce the most cost-effective service for Missouri taxpayers. As a member of the executive and legislative branches of government, he is in a position to help eradicate waste and abuse of taxpayer dollars.

During his time in office, Kinder has led the fight for veterans issues. As Senate President *Pro Tem*, he chaired an interim committee that studied subsidizing veterans' homes and providing assistance to Missouri troops returning home from combat in Afghanistan, Iraq, and other parts of the world. In 2005, Kinder proposed and helped to pass the Missouri Military Family Relief Fund, which benefits family members of the Missouri National Guard and Reserves personnel who have been called to active duty.

The lieutenant governor is a member of several boards and commissions including: Missouri Rx Prescription Drug Program (chair); Tourism Commission; Community Service Commission; Missouri Development Finance Board (chair); and the Missouri Housing Development Commission.

In 1996, he was named chair of the Education Task Force of the American Legislative Exchange Council (ALEC), a Washington, D.C. based association of state legislators.

Kinder is a member of the United Methodist Church, Beta Theta Pi social fraternity, Missouri Farm Bureau, Cape Girardeau Chamber of Commerce, and the Lions Club. He is active in many civic causes, including Southeast Missouri Port Authority, United Way, Nature Conservancy, American Cancer Society and Boy Scouts of America.

Kinder has been honored by various groups including: Boy Scouts of America, Eagle Scout; a special award, St. Louis Children's Hospital, SSM Cardinal Glennon Hospital and Children's Mercy of Kansas City; March of Dimes; Southeast Missouri State University Alumni Association, Distinguished Service; St. Louis Business Journal Legislative Award; Missouri Farm Bureau, Outstanding Service to Agriculture; St. Louis Regional Commerce and Growth Association, Lewis and Clark Statesman Award; Missouri Restaurant Association, Distinguished Service; Associated Industries of Missouri, Voice of Missouri Business; Missouri Right to Life, Defender of Life; Southeast Missouri Alliance for Disability Independence, Elected Official Award; SSM Cardinal Glennon Hospital, Child Advocate Award for Civic Commitment; National Federation of Independent Businesses, Guardian of Small Business; Missouri State Medical Association, for dedication to improving health care; and Missouri Chamber of Commerce and Industry, Spirit of Enterprise.

# Office of Lieutenant Governor

121 State Capitol, Jefferson City 65101

Telephone: (573) 751-4727 FAX: (573) 751-9422 www.ltgov.mo.gov

#### Qualifications

Missouri's lieutenant governor must be at least 30 years old, a U.S. citizen for at least 15 years and a resident of Missouri for at least 10 years before being elected to the office.

The lieutenant governor is elected for a fouryear term and is subject to re-election.

#### **Duties**

The lieutenant governor is the only statewide elected official that is part of both the executive and legislative branches in Missouri. The lieutenant governor is elected separately from the governor, and each can be a member of different political parties. Under the constitution, the lieutenant governor is ex officio president of the Missouri Senate. Upon the governor's death, conviction, impeachment, resignation, absence from the state or other disabilities, the lieutenant governor shall act as governor. By law, the lieutenant governor is a member of the Board of Public Buildings, the Board of Fund Commissioners, the Missouri Community Service Commission, the Missouri Finance Development Board, the Missouri Housing Development Commission, the Missouri Rural Economic Development Council, and the Tourism Commission. The lieutenant governor is an advisor to the Department of Elementary and Secondary Education on early childhood education and Parents-as-Teachers program, and the state's official advocate for senior citizens. The lieutenant governor also refers citizen questions and concerns to appropriate state agencies.

#### Roles of the Office

In recent years, the lieutenant governor has embraced more responsibilities, especially relating to seniors, veterans, tourism and service. These modern developments have made the lieutenant governor more visible and accessible to Missourians. The 15 different boards and



BENJAMIN JONES Chief of Staff



ERIC FELTNER
Deputy Lieutenant
Governor/Senior Advocate

commissions on which the lieutenant governor serves provide many more opportunities to help Missouri citizens. These boards and commissions cover a wide variety of functions for Missouri's diverse population.

By statute, the lieutenant governor is the official Senior Advocate for the State of Missouri. This office investigates problems and issues on behalf of senior citizens. The lieutenant governor's office works very closely with the Department of Health and Senior Services to ensure the safety and well-being of our Missouri senior citizens. The lieutenant governor now chairs the Missouri Rx Prescription Drug Program.

Our military veterans exemplify the meaning of service. They have answered the call of duty, boldly facing death, injury, and uncertainty. Their sacrifice should never be forgotten. The lieutenant governor has been appointed to the Governor's Council for Veterans' Affairs and is proud to advocate for issues vital to our Missouri veterans.

Lt. Governor Peter Kinder is also actively promoting the Missouri Military Family Relief Fund, a state administered fund assisting families of Missouri Resident Guard and reservists who have been deployed for the global war on terrorism. Those interested in donating should contact the Missouri Veterans' Commission at (573)-522-4220.

Serving on the Missouri Tourism Commission, the lieutenant governor helps market Missouri to the rest of the world. Out-of-state visitors bring new revenues to Missouri businesses, and new tax dollars to Missouri. Centrally located in the United States and bordering eight other states, Missouri hosts tens of millions of visitors each year. The Tourism Commission plans campaigns to show our visitors all that we have to offer.

As part of the Missouri Community Service Commission, the lieutenant governor is honored to continue a legacy of service to citizens across







JERRY DOWELL Director of Policy and Legislative Affairs



STACEY BLOMBERG Director of Constituent Services



BARRY BENNETT Director of Communications



BILL FIX Assistant Director of Communications/Assistant Senior Advocate

teerism by encouraging an atmosphere that enables citizen service to prosper. By partnering with national service programs, the commission promotes volunteer opportunities to interested citizens. Each year, the Community Service Commission joins with the lieutenant governor to honor those who are actively volunteering in their neighborhoods. Lt. Governor Kinder is also sponsoring the Senior Service Award to promote and highlight the positive accomplishments senior citizens selflessly provide their communities. Quarterly winners are recognized from every region of the state. More information may be found at www.ltgov.mo.gov.

Missouri. This commission nurtures volun-

The lieutenant governor also serves on the Missouri Housing Development Commission. As such, the lieutenant governor has the opportunity to help ensure quality affordable housing for Missourians, regardless of income.

As a board member of the Rural Economic Development Council, the lieutenant governor works to help foster sustainable community and economic development programs in rural areas.

The lieutenant governor devotes much time and commitment to all of the boards and commissions the office serves including: Board of Fund Commissioners; Board of Public Buildings; Government Review Commission; Governor's Council on Veterans' Affairs; Governor's Council on Physical Fitness and Health; Missouri Development Finance Board; Missouri Community Service Commission; Missouri Housing Development Commission; Missouri Rx Prescription Drug Program; Missouri Tourism Commission; Missouri Rural Economic Development Council; Personal Independence Commission; Second State Capitol Commission; Statewide Safety Steering Committee; Special Health, Psychological, and Social Needs of Minority Older Individuals Commission; and serves as an advisor to the Department of Elementary and Secondary Education on early childhood education.

This compilation of the lieutenant governor's

responsibilities demonstrates how this office can assist all Missourians. Citizens are urged to contact the lieutenant governor's office regarding issues that affect seniors by calling (800)-699-2377, or through the web site at <a href="https://www.ltgov.mo.gov">www.ltgov</a>. mo.gov.

#### **Historical Listing, Lieutenant Governors**

1 113	storical Listing, Lieutenant O				
	Name and (party)	Term	County	Born	Died
1.	William Henry Ashley (D)	1820–24	St. Louis	1785	3/26/1839
2.	Benjamin Harrison Reeves (D)	1824–28	Howard	3/21/1787	4/16/1849
3.	Daniel Dunklin (D)	1828-32	Washington	1/14/1790	8/25/1844
4.	Lilburn W. Boggs (D)	1832-36	Jackson	12/14/1792	3/14/1860
5.	Franklin Cannon (D)	1836-40	Cape		
			Girardeau	3/12/1794	6/13/1863
6.	Meredith Miles Marmaduke (D)	1840-44	Saline	8/28/1791	3/26/1864
7.	James Young (D)	1844-48	Lafayette	5/11/1800	1/9/1868
8.	Thomas Lawson Price (D) <sup>1</sup>	1848-52	Cole	1/19/1809	7/15/1870
9.	Wilson Brown (D) <sup>2</sup>	1853-57	Cape		
			Girardeau	8/27/1804	8/27/1855
10.	Hancock Lee Jackson (D)	1857-61	Randolph	5/12/1796	3/19/1876
11.	Thomas Caute Reynolds (D)	1860-61	St. Louis	10/11/1821	3/30/1887
12.	William Willard Preble Hall (U)1,3	1861-64	Buchanan	5/ 9/1820	11/3/1882
13.	George Smith (R)	1865-69	Caldwell	2/2/1809	7/14/1881
14.	Edwin Obed Standard (R)4	1869-71	St. Louis	1/5/1832	3/12/1914
15.	Joseph Jackson Gravely (R)5	1871-73	Cedar	9/25/1828	4/28/1872
16.	Charles Phillip Johnson (R)5	1873-75	St. Louis	1/8/1836	5/21/1920
17.	Norman J. Colman (D)	1875-77	St. Louis	3/16/1827	11/3/1911
18.	Henry Clay Brockmeyer (D)	1877-81	St. Louis City	8/12/1828	7/26/1906
19.	Robert Alexander Campbell (D)	1881-85	St. Louis City	9/2/1832	4/2/1926
20.	Albert Pickett Morehouse (D)	1885-89	Nodaway	7/11/1835	9/23/1891
21.	Stephen Hugh Claycomb (D)	1889-93	Jasper	8/11/1847	6/6/1930
22.	John Baptiste O'Meara (D)	1893-97	St. Louis City	6/24/1852	7/22/1926
23.	August Henry Bolte (D)	1897-1901	Franklin	9/3/1854	6/24/1920
24.	John Adams Lee (D)	1901-03	St. Louis City	6/28/1851	10/10/1928
25.	Thomas Lewis Rubey (D)6	1903-04	Laclede	Unknown	9/2/1928
26.	John C. McKinley (R)	1905-09	Putnam	11/20/1859	5/1/1927
27.	Jacob Friedrich Gmelich (R)	1909-13	Cooper	7/23/1839	2/21/1914
28.	William Rock Painter (D)	1913-17	Carroll	8/27/1863	7/1/1947
29.	Wallace Crossley (D)	1917-21	Johnson	10/4/1874	12/13/1943
30.	Hiram Lloyd (R)	1921-25	St. Louis	7/27/1875	9/10/1942
31.	Phillip Allen Bennett (R)	1925-29	Dallas	3/5/1881	12/7/1942
32.	Edward Henry Winter (R)	1929-33	Cole	4/5/1879	6/29/1941
33.	Frank Gaines Harris (D)7	1933-45	Boone	4/25/1871	12/30/1944
34.	Walter Naylor Davis (D)	1945-49	St. Louis	11/29/1876	9/16/1951
35.	James T. Blair Jr. (D)7	1949–57	Cole	3/15/1902	7/12/1962
36.	Edward V. Long (D)	1957-61	Pike	7/18/1908	11/6/1972
37.	Hilary A. Bush (D)	1961-65	Jackson	6/21/1905	5/11/1966
38.	Thomas F. Eagleton (D)	1965-69	St. Louis	9/4/1929	
39.	William S. Morris (D)	1969-73	Jackson	11/8/1919	3/5/1975
40.	William C. Phelps (R)7	1973-81	Jackson	4/5/1934	
41.	Kenneth J. Rothman (D)	1981-85	St. Louis	10/11/1935	
42.	Harriett Woods (D)	1985-89	St. Louis	6/2/1927	
43.	Mel Carnahan (D)	1989-93	Phelps	2/11/1934	10/16/00
44.	Roger B. Wilson (D)7	1993-2000	Boone	10/10/1948	
45.	Joe Maxwell (D)8	2000-05	Audrain	3/17/1957	
46.	Peter D. Kinder (R)	2005	Cape		
			Girardeau	5/12/1954	

<sup>&</sup>lt;sup>1</sup>Unionist. <sup>2</sup>Wilson Brown died in office in August 1855. The office remained vacant until the 1856 election.

<sup>&</sup>lt;sup>3</sup>Hall was elected provisional lieutenant governor by the Missouri State Convention on July 31, 1861. The office had been declared vacated after Governor Claiborne Fox Jackson joined the Confederacy.

<sup>&</sup>lt;sup>4</sup>The Constitution of 1865 shortened Lt. Gov. terms to two years. In 1876, terms went back to four years.

<sup>&</sup>lt;sup>5</sup>Liberal. <sup>6</sup>Was president pro tem of Senate and served as lieutenant governor following Lee's resignation.

<sup>&</sup>lt;sup>7</sup>Some held multiple terms as lieutenant governor. In consideration of space, they are listed here only once.

Naxwell became Missouri's 45th lieutenant governor finishing the remaining months of Roger Wilson's term, who became governor on October 17th, 2000, upon the death of Mel Carnahan.



#### MISSOURI'S STATE CAPITOL

State government in Missouri focuses on the state's beautiful, domed Capitol, dominating the bluffs of the Missouri River in Jefferson City.

The dome, rising 238 feet above ground level and topped by a bronze statue of Ceres, goddess of agriculture, is the first view of Jefferson City for travelers arriving from the north. The structure is Jefferson City's leading tourist attraction and is a mecca for school groups who arrive by busloads, particularly during General Assembly sessions when they fill the galleries to watch the Senate and House of Representatives in action.

In addition to housing the two legislative bodies, the Capitol provides office space for the governor, lieutenant governor, secretary of state, treasurer, state auditor and some administrative agencies. The structure is also notable for its architectural features, including its eight 48-foot columns on the south portico and six 48-foot columns on the north side; its 30-foot-wide grand stairway and its bronze front doors, each 13 by 18 feet—the largest cast since the Roman era.

The Capitol's first floor features the State Museum. Outstanding paintings, pediments and friezes decorate the Capitol interior. A prime attraction is a series of Thomas Hart Benton murals in the House Lounge.

Statuary is a prominent feature of the Capitol grounds. Heroic bronze figures depicting Missouri's two great rivers, the Mississippi and Missouri, and a 13-foot statue of Thomas Jefferson dominate the south entrance. A bronze relief

depicting the signing of the Louisiana Purchase by Livingston, Monroe and Marbois and the Fountain of the Centaurs are the most outstanding features on the north grounds.

The present Capitol, completed in 1917, and dedicated in 1924, is the fourth Capitol in Jefferson City. Before the seat of government was moved to Jefferson City, the functions of Missouri territorial government were based in the Mansion House, a hotel in St. Louis, and later at the Missouri Hotel, also in St. Louis. The upper story of a mercantile store in St. Charles was designated as temporary capital of the state in 1821 and remained the seat of government until 1826 when Jefferson City became the permanent capital city. The first Capitol in Jefferson City was located on land now occupied by the Governor's Mansion. It burned in 1837, apparently after some hot coals fell out of one of its fire places. A second structure completed in 1840 and expanded in 1887-88 burned when the dome was struck by lightning on February 5, 1911. A temporary Capitol made of lathe and stucco was erected in an area to the east of the present building and was used from 1912 into 1917.

The present Capitol was constructed for \$4,215,000, including site and furnishings. It is five stories high, 437 feet long, 300 feet wide in the center and 200 feet wide in the wings. The dome is 238 feet high and the height of the wings is 88 feet. It includes over 650,000 square feet of floor space.





## Robin Carnahan

### Secretary of State

Elected November 2, 2004 Term expires January 2009

Prior to her election as Secretary of State, Robin Carnahan had a career with broad experience in law, business, and elections. She became Missouri's 38th Secretary of State in January 2005, following a campaign in which she focused on protecting consumers, providing improved customer service, and empowering citizens through fair and accessible elections.

Secretary Carnahan grew up in Rolla, Missouri, and is a member of the Baptist Church. After graduating from Rolla High School, her studies continued at William Jewell College in Liberty, Missouri, and the University of Southhampton in England. She earned a bachelor's degree in Economics, graduating magna cum laude. Carnahan went on to earn a juris doctor degree from the University of Virginia Law School, where she served as Executive Editor of the Virginia Journal of International Law. Carnahan then returned to Missouri to practice business law with the St. Louis law firm of Thompson & Mitchell.

In 1990, Carnahan went to work in central and eastern Europe with the National Democratic Institute where she helped rebuild the region's democracies and economies by drafting voting laws, training new political leaders, and monitoring elections. Since then, she has worked in six countries to promote democracy and free elections.

Carnahan also served as an executive at the Export-Import Bank of the United States where she explored innovative ways to help American companies increase their sale of goods and services abroad. Today, as a small business owner, Carnahan manages her family's 900-acre farm and Angus cattle operation outside of Rolla.

Since taking office, Secretary Carnahan has worked to protect consumers, especially Missouri seniors, by cracking down on firms that attempt to defraud investors and by educating Missourians about investing. The Secretary of State's office has initiated and settled a number of high profile securities cases, including two landmark settlements during Carnahan's first eight months in office. Her development of an "Investor Bill of Rights" further highlights her commitment to responsible investing and the elimination of investment fraud. Carnahan's efforts on behalf of Missouri investors have gained her recognition as a national leader in securities law enforcement.

Secretary Carnahan is serving Missouri cus-

tomers by providing outstanding service from the Secretary of State's office, cutting red tape for businesses, and making information more accessible. Carnahan has promoted opportunity for Missouri's small businesses to grow by using technology to streamline office interactions for businesses. During her first six months in office, online filings of corporate annual reports increased by over 41%, which saved Missouri's businesses over \$1.4 million. She also launched an online limited liability company (LLC) registration program and created a small business advocate position to help small businesses navigate state government.

Secretary Carnahan believes good customer service includes making information more accessible to Missourians. In her role as the administrator of Missouri's State Archives, she has ensured the preservation of historical documents and made more records available on the Internet. As overseer of the Missouri State Library, she has helped further modernize and strengthen Missouri's public libraries through grants to help libraries improve access to information through technology.

As Missouri's chief election official, Secretary Carnahan is empowering citizens by providing confidence, convenience, and privacy in voting. Her top priority is to ensure fairness in the elections system so Missourians have confidence that their votes are counted. She is bringing Missouri's election process into compliance with the 2002 federal Help America Vote Act (HAVA) by implementing a statewide voter registration database, providing accessible voting equipment in all polling places, phasing out punch card voting systems, and educating voters.

Secretary Carnahan is committed to using common sense methods in fulfilling the duties of the Secretary of State. It is her belief that public service is about helping to improve peoples' lives, whether a consumer, customer, or citizen.

Carnahan's family has a lengthy history of devotion to public service. Her father, Mel Carnahan, served as Missouri's State Treasurer, Lt. Governor, and Governor, and her mother, Jean Carnahan, was the first woman to serve Missouri in the U.S. Senate. Her grandfather, A.S.J. Carnahan, a congressman from south-central Missouri for fourteen years, was appointed by President John F. Kennedy to be U.S. Ambassador to Sierra Leone in west Africa.

# Office of Secretary of State

600 W. Main and 208 State Capitol PO Box 1767 lefferson City 65102

Telephone: (573) 751-4936 / FAX: (573) 526-4903 sosmain@sosmail.mo.gov / www.sos.mo.gov

With approximately 260 employees, the Secretary of State's office serves Missourians in a number of diverse and important ways. The major divisions within the Secretary of State's office are: Business Services, Elections, Securities, State Library, Records Services, and Administrative Services.

At Secretary Robin Carnahan's direction, the office has focused on providing outstanding service to its broad scope of customers and making information more accessible to all Missourians. In business services, Carnahan has cut red tape for small businesses and streamlined operations with her office. Through the securities division, she has also protected consumers by cracking down on firms that defraud investors and educating Missourians about responsible investing. As Missouri's chief elections official, Carnahan has worked to ensure Missouri voters get the confidence, convenience, and privacy they expect and deserve.

In addition, the office is responsible for compiling, storing and publishing a variety of documents. As the keeper of the Great Seal of the State of Missouri, the Secretary of State is also responsible for authenticating official acts of the Governor.

#### **Business Services Division**

The Business Services Division has three sections: Commissions, Corporations and Uniform Commercial Code. The division also oversees regional offices for corporate filings in St. Louis, Kansas City and Springfield. The division is committed to providing excellent customer service by developing online filing applications for all its products.



MARK R. READING Executive Deputy Secretary of State



MINDY MAZUR Chief of Staff



STACIE L. TEMPLE Communications Director



RICH LAMB Director of Policy and Governmental Affairs



MIKE SEITZ Deputy Communications Director



JESSICA SIMMONS Deputy Director of Policy and Governmental Affairs

#### **Commissions**

Kirkpatrick State Information Center 600 W. Main, PO Box 784 Jefferson City 65102 Telephone (573) 751-2783 / FAX: (573) 751-8199

The secretary of state appoints and commissions notaries public. The section maintains the oath of office and official signature of each notary, as well as the official bond. Missouri currently has approximately 75,000 notaries. The unit certifies notaries for foreign documents, elected officials,



PHYLLIS ALLSBURY Executive Secretary to the Secretary of State



MARGIE PETERS Executive Assistant/Scheduler for the Secretary of State



JOYCE E. STEVENS Executive Secretary to the Executive Deputy Secretary of State



MILTON MCHENRY Special Assistant to the Secretary of State



CAROL FISCHER
Deputy Secretary of State
for Business Services



MATT KITZI Director of Corporations



BRENDA RIEKE Director of Commissions



JOHN BEAKLEY Small Business Advocate



MONICA MAYAKA Director, Business Services Kansas City Office



DEBORAH EVANS Director, Business Services Springfield Office



ETHEL BYNDOM Director, Business Services St. Louis Office

and the State Registrar of Vital Statistics. Many of these documents are for foreign adoptions.

A second major responsibility of the Commissions section is authenticating official acts of the governor. Each year the section affixes the Great Seal of the State of Missouri to over 35,000 documents, which include: all commissions of state and county elected officials; appointments made by the governor to fill vacancies in elected offices and boards and commissions; and proclamations, executive orders, extraditions, commutations of sentence, and restoration of citizenship issued by

the governor. The Commissions section maintains bonds and oaths of office for state officials.

The section also registers trademarks and service marks and keeps a registry of all marks. Over 18,000 trademarks and service marks have been registered with this office.

The section is also responsible for facsimile signature filings, resident agent filings and service of process.

#### **Corporations**

Kirkpatrick State Information Center 600 W. Main, PO Box 778 Jefferson City 65102

Telephone: (573) 751-4153 / FAX: (573) 751-5841

The secretary of state is responsible for the registration of all Missouri and out-of-state business entities doing business in Missouri. These entities include for profit and nonprofit corporations, and specialized business entities such as: professional corporations; close corporations; agricultural cooperatives; mutual associations; limited liability companies; limited partnerships; limited liability partnerships; limited liability limited partnerships; and other business entities. Missouri corporations must file articles of incorporation. Out-of-state corporations must obtain a certificate of authority. In addition, corporations file various documents required by law, such as annual reports and articles of amendment, merger, consolidation, dissolution, termination and withdrawal.

There are approximately 326,000 active corporations now doing business in Missouri and 502,000 inactive corporations on file. New general business corporation filings are trending downward while limited liability company filings are increasing. Annually more than 13,000 new corporations and 26,000 new limited liability companies are filed with the Corporations section. More than 27,500 new fictitious name registrations are processed annually.

Each corporation must annually register with the Corporations section the names of its officers and directors in order to remain in good standing with the secretary of state. The section processes more than 167,000 annual reports each year; over 65 percent of the annual reports are filed online with a reduced fee by using the secretary of state's web site, saving businesses millions of dollars each year.

Filing articles of organization for a limited liability company (LLC) is the newest service available online. Other online services include filing a fictitious name registration; obtaining and verifying a certificate of good standing; printing copies of available filed documents; obtaining forms for filing a new business entity; accessing the business entity database to search for a business or corporation; and linking to the *Revised Statutes of Missouri* and other web sites helpful to those seeking to do business in Missouri. All documents filed with the Business Services Division are now imaged and available online from the secretary of state's web site.

#### **Uniform Commercial Code**

Kirkpatrick State Information Center 600 W. Main, PO Box 1159 Jefferson City 65102

Telephone: (573) 751-4628 / FAX: (573) 751-3855

The secretary of state's office is the centralized office for the perfecting of personal property liens and other creditor interests under the Uniform Commercial Code (UCC). These liens are "perfected" by filing a financing statement in the accepted national format indicating the debtor name and address and the secured party name and address along with a description of the collateral. Once a lien or other interest is filed and perfected, the public is considered "on notice" regarding those liens. These financing statements are effective for five years; they may be continued for an additional five-year term by filing a continuation statement within six months prior to expiration. Eighty-five percent of the UCC filings with the secretary of state are now completed online. An electronic search of all UCC information is available on the secretary of state's web site as well. UCC forms and the fee schedule for filing are also available online.

#### **Business Services - Regional Offices:**

Wainwright State Office Bldg. 111 N. Seventh St., Rm. 234, Second Fl.

St. Louis 63101

Telephone: (314) 340-7490 / FAX: (314) 340-7500

Springfield State Office Bldg.

149 Park Central Sq., Rm. 624, Sixth Fl.

Springfield 65806

Telephone: (417) 895-6330 / FAX: (417) 895-6537

Fletcher Daniels State Office Bldg. 615 E. 13th St., Rm. 513, Fifth Fl.

Kansas City 64106

Telephone: (816) 889-2925 / FAX: (816) 889-2879

#### **Elections Division**

Kirkpatrick State Information Center 600 W. Main, PO Box 1767 Jefferson City 65102

Telephone: (573) 751-2301 / FAX: (573) 526-3242

The office of the secretary of state administers all statewide elections for both candidates and issues. Candidates for the six constitutional state offices, U.S. Congress, the General Assembly, and circuit judges not covered by the nonpartisan court plan, file for election with the secretary of state. Statewide ballots for primary, general, and certain special elections are also prepared by the secretary of state, and certified copies of those ballots are sent to local election officials. The secretary of state also certifies statewide ballot measures that are proposed by the General Assembly or through the initiative petition process. The full texts of ballot issues are published in newspapers around the state and printed for display at polling places. It is also the



BETSY BYERS Director of Elections Democrat



KAY DINOLFO Director of Elections Republican



DAVID B. COSGROVE Commissioner of Securities



MARY HOSMER Assistant Commissioner of Securities

responsibility of the office to canvass, certify and publish election results.

The office, including the oversight of signature verification, also handles initiative petitions. Before an initiative petition can be circulated, groups must first have the form of the petition and a summary statement approved by the Secretary of state and attorney general. The state auditor adds a fiscal impact statement. For an initiative changing state law to be placed on the ballot, signatures must be obtained from registered voters equal to five percent of the total votes cast in the last gubernatorial election in six of Missouri's nine congressional districts. For an initiative changing the state constitution to be placed on the ballot, signatures are needed from registered voters equal to eight percent of the votes cast in the last gubernatorial election in six of the state's nine congressional districts. In 2004, 11 initiative petitions were approved for circulation and two were returned with signatures for approval. Both were ultimately certified for the November 2004 ballot. The elections division also oversees the collection of signatures for new political parties and the nomination of independent candidates for offices that file with the secretary of state.

Missouri has nearly 3.7 million registered voters, and in the 2004 November general election, 2.7 million voters went to the polls.

In addition to assisting the 116 local election authorities interpret and administer state election laws, the secretary of state promulgates rules governing elections and electronic voting systems. The secretary of state is required to publish the *Missouri Election Laws* for use by county clerks and election boards. Additionally, the office produces various election materials including instructions for poll workers, calendars of annual election deadlines, a web site, training videos and a manual for election authorities. The office cooperates with other officials, schools and civic organizations to provide materials to support voter registration, responsibility and

education.

The secretary of state is responsible for bringing Missouri into compliance with federal law, specifically the Help America Vote Act of 2002 (HAVA). HAVA requires accessible voting equipment, increased training for election officials, poll workers and voters and an interactive, computerized voter registration database. Revisions to Missouri's current database will improve the ability of local election officials to manage voter registration records and allow for cross checking for duplicate registrations anywhere in the state.

#### **Securities Division**

Kirkpatrick State Information Center 600 W. Main, PO Box 1276 Jefferson City 65102

Telephone: (573) 751-4136 / FAX: (573) 526-3124

Investor Hotline: (800) 721-7996

The secretary of state is responsible for ensuring compliance with state securities laws through activities of the Securities Division. This responsibility includes enforcement of the law when violations occur, and the regulation of investment sales through registration of securities, broker-dealers, agents, investment advisers and investment adviser representatives. State securities laws are intended to protect investors from unfair practices and fraudulent investment schemes. The secretary of state appoints the securities commissioner, who administers these laws and oversees the Securities Division.

The enforcement section of the Securities Division receives and investigates complaints from Missouri investors. A toll-free Investor Hotline (800-721-7996) is maintained so investors can report complaints or make inquiries about members of the securities business. Each year the office initiates around 300 investigations, many of which result in cease and desist orders, licensing revocations, civil injunctions and penalties, or criminal prosecutions. The enforcement section typically collects over one million

dollars in penalties and restitution each year. The audit unit of the enforcement section performs random examinations of the offices of broker-dealers and investment advisers to ensure compliance with the Missouri securities laws.

The registration section regulates the registration of individuals, firms and securities.

As of June 30, 2005, there were 98,949 agents, 1,742 broker-dealers, 5,232 investment adviser representatives and 1,362 investment advisers registered in Missouri. Prior to granting each registration an application review process is conducted to determine if applicants are sufficiently qualified to participate in the securities business. The registration section also reviews proposed securities offerings to ensure that Missouri approved offerings are "fair, just and equitable." The staff receives filings of federal covered securities and reviews requests for exemption from the registration provisions, provides interpretative opinions of the securities laws and assists Missouri issuers seeking to raise funds with securities law compliance. In the 2005 fiscal year, the registration section staff received filings for 1,697 securities offerings and collected over \$11 million in revenues for the state of Missouri during the 2005 fiscal year, which ended June 30, 2005.

The Securities Division also has an investor education section that creates and promotes investor education initiatives designed to educate and assist both current and future investors. The office is involved in educational programs with elementary, middle and high school students, and for seniors within the state. Free educational material is available to help investors protect themselves and members of their families.

#### Missouri State Library

Kirkpatrick State Information Center 600 W. Main, PO Box 387 Jefferson City 65102

Telephone: (573) 751-3615 / FAX: (573) 751-3612

In 1907, the General Assembly created the Missouri State Library Commission, which promoted the development of libraries across the state. Under Missouri's new constitution in 1945, the commission went out of existence and the Missouri State Library was put under the Department of Higher Education. Legislation passed in 1991 moved the State Library from the Department of Higher Education to the office of the secretary of state, effective July 1, 1993.

Responsibilities of the Missouri State Library are to: provide library and reference services to Missouri state government; provide library services to the blind and physically disabled; and promote the development and improvement of library services throughout the state.

#### **Library Development**

Telephone: (800) 325-0131 / (573) 751-0586

The Library Development section supports libraries through consulting, administration of grant programs, continuing education and statewide programs. The consultant staff works with librarians and library governing boards to improve the quality of library service for all Missouri citizens. Through research, publications and personal contacts, consultants provide assistance on general library issues and needs, promote the organization and development of library services, manage statewide projects and maintain statistics on library services in the state.

The State Library administers several programs to provide funds and services for libraries. State-funded programs include state aid for public libraries, both per capita funds and equalization payments to libraries in poor counties. The State Library also coordinates the funding for the REAL (Remote Electronic Access to Libraries) Program which provides Internet access for public libraries through MOREnet. The federal Library Services and Technology Act provides grant money to fund grants to libraries and statewide projects, including continuing education and training, interlibrary loan support and development of library service for seniors. The State Library also partners with several private sponsors to administer projects and grants to support library services.

Ongoing projects include coordination of the Missouri Summer Reading Program; coordination of the Virtually Missouri web site, a statewide portal for Missouri digital collections; development of library literacy programs in libraries and coordination of literacy efforts with other state agencies and the statewide literacy center; a statewide calendar for library-related continuing education events; collection of public library statistics. A bi-monthly newsletter, Newsline, serves to inform library staff and trustees of Missouri library events. The State Library works intensively with local libraries on development and support of the use of technology to improve library services for Missourians.

As one of 50 state affiliates with the National Center for the Book in the Library of Congress, the Missouri Center for the Book promotes the state's book culture and library heritage.

The State Library also serves as the lead agency for the State Census Data Center program, a cooperative venture with the U.S. Census Bureau.

#### **Reference Services**

Telephone: (573) 751-3615 / FAX: (573) 526-1142

The Reference Services section delivers library services to meet the informational and research needs of state government. This objective is achieved through current awareness, library materials collections in multiple formats, monthly publications and online databases provided at the state employee's desktop.

Keeping Up, a daily newspaper clipping summary of news about the activities and operation of state government, is distributed electronically to the legislature and state agencies. Table of contents service allows state employees to receive the table of contents and full text articles from periodicals that assist in the performance of their duties. It is distributed electronically as the current periodicals become available on the statewide periodicals database. Info-to-Go, a subject bibliography of periodical articles, and Missouri State Government Publications, a bibliography of state publications, are published monthly on the secretary of state's web site.

To support the research work done for state government, the State Library maintains a materials collection of 50,000 books, 436 magazine subscriptions, 24 newspaper subscriptions and 451,000 microfilm holdings of government documents, journals and newspapers. The State Library participates in MOBIUS, the statewide union catalog of academic libraries. State employees have access to MOBIUS collection through the State Library. In addition, Reference Services subscribes to a number of online databases geared to the needs of state government. Some of these databases are provided to state employees at their desktop.

The State Library is a congressionally designated library depository for the U.S. government publications. As a selective depository, over 100,000 documents are housed. The library is statutorily responsible for collecting state government publications and providing access to them for the citizens of Missouri. This is now done through a trusted, electronic repository making the full text of the publications available via the Internet.

While the State Library's research services and service-initiatives are directed to the members of the legislature and employees of the agencies of state governmentis, it is open for use by the general public.

#### **Wolfner Library**

Kirkpatrick State Information Center 600 W. Main, PO Box 387 Jefferson City 65102

Telephone: (573) 751-8720 / FAX: (573) 526-2985



SARA PARKER State Librarian



BARBARA READING Director of Library Development



LINDA HARRIS Director of Reference Services



RICHARD SMITH Director of Wolfner Library

Toll-free: (800) 392-2614 / TTY: (800) 347-1379 www.sos.mo.gov/wolfner/

Wolfner Library for the Blind and Physically Handicapped serves as the public library for Missourians unable to use standard print because of a visual or physical disability. The library loans a half million books and magazines in Braille, recorded cassette, and large print, from its collection of more than 300,000 volumes. Playback machines are also loaned to users of the recorded materials.

More than 12,000 individuals and 983 institutions, including nursing homes, schools, hospitals and hospices, etc., use the library. In addition to the circulation of books and magazines, Wolfner provides reference and information services, children's programming, interlibrary loan, a newsletter, catalogs and bibliographies, descriptive videos and access to the collection via an online public access catalog (OPAC).

Wolfner services are available to qualified Missourians at no charge. Applications for service are available directly from the library, a local Missouri public library, or on the secretary of state's web site. Wolfner is Missouri's regional library in the national network of libraries serving individuals who are blind and disabled, in cooperation with the National Library Service of

the Library of Congress.

The Wolfner Library for the Blind and Physically Handicapped has a rich history of library service, predating the Missouri State Library and the Library of Congress network. Loaning Braille books to a multi-state region in 1924, Wolfner became one of the first 13 regional libraries for the blind designated by the Library of Congress. Named in memory of noted ophthalmologist Henry L. Wolfner and originally administered by the St. Louis Public Library, Wolfner became part of the Missouri State Library in 1977, and physically moved to Jefferson City in 1985.

#### **Records Services Division**

Kirkpatrick State Information Center 600 W. Main, PO Box 1747 Jefferson City 65102

The Records Services Division is responsible for managing both current and historical records of the state to ensure those records are accessible to Missouri citizens. It is also responsible for assisting local governments in records preservation and management.

#### Missouri State Archives

Telephone: (573) 751-3280 / FAX: (573) 526-7333

The Missouri State Archives is the official repository for government records of permanent value. Its mission is to foster an appreciation of Missouri history and illuminate contemporary public issues by preserving and making available the state's permanent records to its citizens and their government.

Records in the Archives holdings date from 1770 to the present. They include executive, legislative and judicial records; records of state departments and agencies; Missouri's history under French and Spanish colonial and U.S. territorial rule; military records from the War of 1812 through World War I; the region's role in the nineteenth century fur trade and America's westward expansion; trademarks and business records; and women and African-American history.

Holdings currently total more than 157 million pages of paper; 261,000 photographs; 77,000 reels of microfilm, and 188,000 microfiche. The Missouri State Archives is one of the most used state repositories in the nation and has been formally acknowledged by historical and genealogical organizations for its service to its patrons. While thousands of researchers visit or write to the Archives each year, a dramatic upsurge in use has come from visits to the Missouri State Archives growing web pages—up 36% in Fiscal Year 2005 (www.sos.mo.gov).



KENNETH H. WINN State Archivist



SHELLY CROTEAU Assistant State Archivist



LYNN MORROW Director of Local Records



GREG SCHILDMEYER Director of Records Management

Over the last few years the Missouri State Archives has become involved in a number of important initiatives: The African-American History Initiative is designed to stimulate interest in African-American History through educational projects and the promotion of a broad awareness of the holdings of the Missouri State Archives. The Missouri State Archives has also played a leading role in the bicentennial commemoration of the Lewis and Clark Expedition. The nationally recognized St. Louis Circuit Court Records Project, begun as a traditional archival project, is now part of a complex consortium. At the instigation of the Missouri State Archives, an academic advisory board composed of scholars representing nine institutions helps guide its work.

While the St. Louis Circuit Court records project is large, it is just one of many court projects currently being conducted across Missouri. The Archives preserves on microfilm the probate and circuit court records of Missouri's county courts. To date, the Archives has been involved in 410 preservation projects in 113 of 114 counties. There are currently 66 such projects. The Missouri State Archives also partners with the Supreme Court of Missouri Historical Society, which funds two legal internships and a legal fellowship for the Archives.

The Archives is devoted to educating the

public about materials in the Archives' holdings. It accordingly provides programs for schools and civic groups, sponsors traveling exhibits based on its collections, offers tours, supports and maintains a regular calendar of monthly educational programs and historical entertainments. The "Friends of the Missouri State Archives," formed in 1989, which promotes and supports the Archives has underwritten many of the costs associated with these outreach activities. The State Archives also hosts student interns and practicum students from Missouri colleges and universities; creates historical exhibits, offers a public speakers series, sponsors regional National History Day activities; and provides tours and talks for school and civic organizations.

Volunteers are an important resource of the Missouri State Archives. In Jefferson City they provide invaluable assistance to the staff, helping with reference services, acting as guides. Across Missouri volunteers help process county and court records, and special collections. More novel is the Archives innovative, "e-volunteer" program in which volunteers can provide indexing and transcription services for the Archives from their home computers. Completed transcriptions are posted online providing greater access to the collection. Access to the Archives is provided through the research room. The reference staff answers written requests. Hours are 8 a.m. to 5 p.m. Monday, Tuesday, Wednesday and Friday and 8 a.m. to 9 p.m. on Thursday. Saturday hours are from 8:30 a.m. to 3:30 p.m.

#### Missouri Historical Records Advisory Board

The Missouri Historical Records Advisory Board was created by statute in 1989 and assigned to the office of the secretary of state. The board is the central advisory body for historical records planning within the state. In addition, the board serves as the state affiliate of the National Historical Publications and Records Commission, the grant-awarding arm of the National Archives and Records Administration, and is responsible for reviewing grant proposals to that program from Missouri applicants, as well as applications to the Local Records Preservation Grant Program.

#### Missouri Historical Records Advisory Board

**Carnahan, Robin,** chair, state historical records coordinator, secretary of state;

Winn, Dr. Kenneth H., deputy coordinator, state archivist;

Adams, Joseph L., mayor, University City, 2005; Backer, Gracia Y., former State Representative, 2004;

**Bennett, Marcia,** Executive Director, St. Joseph Convention and Visitors Bureau, 2005;

**Doswell, Raymond,** curator and education director, Negro League Baseball Museum, Kansas City, 2005;

**Gietschier, Dr. Steven P.,** director of historical records, *The Sporting News*, St. Louis, 2004;

**Longford, Nicola J.M.,** vice president for community services, Missouri Historical Society, 2006;

**Neumann, Robert,** director, Greene County Archives, 2006;

**Richards, David,** head, Special Collections and Archives Department, Meyer Library, Southwest Missouri State University, Springfield, 2004;

**Rottman, Anne G.,** head librarian, Legislative Library, Jefferson City, 2004;

**Zinkgraf, Jeannette,** records manager, St. Louis County Government Records Center, 2005;

**Zobrist, Dr. Benedict K.,** emeritus director, Harry S Truman Library, 2006;

**Kremer, Dr. Gary R.,** executive director, State Historical Society of Missouri, *ex officio*.

#### Missouri State Archives Local Records Program

Telephone: (573) 751-9047 / FAX: (573) 526-3867

The Missouri State Archives Local Records Preservation program is an effort to improve long-term local public record management by advising, educating and encouraging the custodians of those records in the use of sound records management and archival practices. Archivists provide their expertise to local government officials on the retention of records. A part time local records and analyst program began in 1986. In 1989 legislation converted this to a full time program. Through the local records program documents of great historical value have been identified and preserved and the State Archives reference collections have been dramatically enhanced. Because of this program, all records are more accessible to the public. They are properly organized and in many instances space in public buildings is freed up for purposes other than storage.

More than 3,500 governmental entities can receive assistance managing records. There are 10 field archivists located around Missouri. Local officials in every county of the state have been assisted.

The Local Records Grant program began in 1991 and is funded through a fee placed on documents filed with county recorders. In Fiscal Year 2004, the secretary of state, with the advice of the Missouri Historical Records Advisory Board, awarded \$242,000 in grant funds to 36 projects. For Fiscal Year 2005, \$327,000 was

awarded to 44 projects. Approximately 60 percent of the funds will be used for microfilming local permanent records to ensure their preservation and to position them for potential digitization. The grants require local matches.

Records conservation staff provides an advisory service to local government offices on all aspects of record care and preservation, as well as advice on disaster recovery.

A Local Records Board reviews and makes decisions on retention schedules for records of local governments.

#### Missouri State Archives Local Records Board

Barnett, Diane, Marshfield, 2005; Black, Dennis, St. Charles, 2007; Chorpening, Jeff, Gravois Mills, 2004; Colgan, Dan, St. Joseph, 2008; Copeland, Ann, New Madrid, 2008; Doanne, Pam, Sedalia, 2006; Gumm, Melinda, Stockton, 2007; Habjan, Virginia, Nevada, 2008; Jones, Kathy, Shelbyville, 2007; Parrie, May, St. Louis, 2007; McGaugh, Peggy, Carrollton, 2005; Mosbaugh, Ron, Joplin, 2006; O'Connor, Barbara, Cameron, 2006; Vansaghi, Tom, Kansas City, 2008.

#### **Records Management Division**

Telephone: (573) 751-3319 / FAX: (573) 526-5327

The mission of the Records Management Division is to provide state agencies with the necessary instruments to effectively and efficiently manage information and records, in order to promote the efficiency and continuity of government, document the rights of Missouri citizens, hold state officials accountable for their activities, and preserve Missouri's heritage. To achieve this mission, the division is divided into three sections: Records Management Services, the State Records Center, and Imaging Services.

Sound records management programs consist of a planned and coordinated set of policies, procedures and activities to manage recorded information in all media. Records Management Services assists state government agencies in identifying and effectively managing their official records. Records analysts are assigned to specified agencies. They consult with agency officials to develop retention schedules that list the agency's records and specify the retention period and the ultimate disposition of inactive records. Analysts also advise agencies on cost and technical matters related to microfilm and digital imaging, and low-cost storage of inactive and semi-active records.

The State Records Center provides state agencies with a storage solution for inactive records. Inactive records are those with a reference rate that does not warrant retention in expensive agency office or storage space. Records Center services include pick-up, delivery, reference pulls and re-filing, and destruction of agency records. All records remain in the legal custody of the transferring agency and access to stored documents is restricted to the agency's authorized personnel. The transferring of inactive files to the Records Center allows agencies to free up office space and equipment, saving Missouri taxpayers millions of dollars of costs over the life of the records. State agencies are not charged for these services. As of June 2005, 269,000 cubic feet of records were stored in the State Records Center's three facilities.

Imaging Services provides micro graphics and digital imaging services to state agencies and local governments. Agencies can reduce the volume of, improve access to, and ensure archival preservation for their long-term and significant records by utilizing these services. Micro graphics services include microfilming, processing, quality control, and silver and diazo microfilm duplication. Digital imaging services include image production from microfilm or original documents. The unit provides secure protection for silver original microfilm within a climate-controlled vault.

#### **State Records Commission**

Chapter 109.250, RSMo established the State Records Commission. The commission's task is to guide the records management program in the determination of retention periods for all records in the executive branch of state government. Currently, more than 900 state agencies, commissions, bureaus and boards operate under approved record retention schedules. Additionally, the commission reviews, approves and recommends guidelines for the management of electronic records generated by the state of Missouri.

#### **State Records Commission**

Carnahan, Robin, chair, secretary of state; Winn, Dr. Kenneth H., commission secretary, State Archivist;

Behnen, Bob, State Representative;

**Berri, Brett,** designee for Attorney General Jeremiah W. Nixon;

**Kuster, Ken,** designee for State Auditor Claire McCaskill;

**Kremer, Dr. Gary R.,** executive director, State Historical Society;

Nodler, Gary, State Senator;

Ross, Dan, Missouri Chief Information Officer.



CARL E. GREESON Director of Administrative Services



CARL L. MEDLEY II Director of Information Technology



KRISTA S. MYER Director of Publications



BARBARA WOOD General Counsel and Director of Administrative Rules



LESLYE M. WINSLOW Senior Counsel



NATHAN SOENDKER Deputy General Counsel

#### **Administrative Services Division**

The Administrative Services Division provides fiscal, budget, procurement, human resources and facilities management support and general office services to the office of secretary of state. The Fiscal section ensures efficient and effective internal financial operations including processing vendor payments, purchasing and preparing financial reports for the various divisions of the office. The Human Resources section supports personnel recruitment, compensation, benefits, training and performance evaluation for all divisions. The Administrative Services Division also provides mail delivery, shipping and receiving support as well as central supplies and vehicle support for all personnel.

#### Information Technology

The Information Technology Services Division (ITSD) acts as a key business facilitator for the secretary of state's office. Through analysis, coordination, development and implementation, and support of information technology solutions, the ITSD assists the secretary of state's office in effectively carrying out its diverse responsibilities and efficiently meeting the information needs of Missouri's citizens.

#### **Publications**

Kirkpatrick State Information Center 600 W. Main, PO Box 1767 Jefferson City 65102 Telephone: (573) 751-4218 / FAX: (573) 526-2970 www.sos.mo.gov/pubs

The Division of Publications handles a variety of printing and graphic arts responsibilities for the office of the secretary of state. The division coordinates the publication of the *Official Manual* with production, content and distribution administered in accordance with Chapter 11, RSMo. The division compiles information and photographs from every area of state government, edits the material and compiles it in

finished form for this premier publication of the State of Missouri. The *Official Manual* is produced biennially in odd-numbered years.

The Division of Publications also prepares other widely distributed publications, including the Missouri Roster, General Assembly Roster, Missouri Constitution, Missouri Election Laws Handbook, Missouri Notary Public Handbook, Newsline (a Library newsletter) and other various newsletters and forms.

#### **Administrative Rules Division**

Kirkpatrick State Information Center 600 W. Main, PO Box 1767 Jefferson City 65102 Telephone: (573) 751-4015 / FAX: (573) 751-3032

Since 1976, the Administrative Rules Division has published rulemakings proposed by state agencies that implement legislation in the Missouri Register. After the agencies provide public notice, receive comments and revise the proposed rulemaking based on these comments, the division publishes the final version of the rulemaking in the Missouri Register and subsequently in the Missouri Code of State Regulations. The Missouri Register is published twice a month and the Missouri Code of State Regulations is published once a month. The 14-volume

Missouri Code contains approximately 10,000 pages of final agency rules and has a subject index and a cross-index to the *Missouri Revised Statutes*. These publications ensure that Missourians are aware of any changes that may affect their businesses and daily life.

The Administrative Rules Division also publishes emergency rules in the *Missouri Register*. An agency may file an emergency rule if the agency finds an immediate danger to the public health, safety or welfare requiring emergency action or if the emergency rule is necessary to preserve a compelling governmental interest that requires an early effective date. An emergency rule is only in effect for a limited period of time and normally has a corresponding proposed rulemaking that allows for public notice and comment. Once an emergency rule is approved and processed, it may be viewed on the secre-

tary of state's web site Emergency Rules section until it is published in the *Missouri Register*, at which time it may be viewed online in the Missouri Register.

The Missouri Register and Code of State Regulations are available on the secretary of state's web site. Currently, email notification of rule filings by rule number or subject matter is available. Future plans call for enhanced methods to automate the rulemaking process.

The Administrative Rules Division is charged by the *Revised Statutes of Missouri* to set uniform standards, procedures and guidelines for the preparation and publishing of rules in the *Missouri Register* and the *Code of State Regulations*. The division provides a user's guide for the proper preparation and filing of agency rules entitled *Rulemaking 1-2-3, Missouri Style*.

#### Historical Listing, Secretaries of State

1 113	ristorical Listing, Secretaires of State							
	Name and (party)	Term	County	Born	Died			
1.	Joshua Barton (D)	1820-21	St. Louis	1788	6/28/1823			
2.	William Grymes Pettus (D)1	1821-24	St. Charles	12/31/1794	Unknown			
3.	Hamilton Rowan Gamble (D)	1824-26	St. Louis	11/29/1789	1/31/1864			
4.	Spencer Darwin Pettis (D)	1826-28	St. Louis	1802	8/27/1831			
5.	Priestly Haggin McBride (D)	1829-30	Boone	1796	5/21/1869			
6.	John Cummins Edwards (D)	1830-35	St. Louis	6/24/1806	9/17/1888			
7.	Henry Shurlds (D)	1835-37	Washington	11/21/1796	8/2/1852			
8.	John Cummins Edwards (D)	1837	Cole	6/24/1806	9/17/1888			
9.	Peter Garland Glover (D)	1837-39	Callaway	1/14/1792	10/27/1851			
10.	James Lawrence Minor (D)	1839-45	Marion	6/ 9/1813	6/2/1897			
11.	Faulkland Heard Martin (D)	1845-49	Jefferson	1804	11/16/1856			
12.	Ephriam Brevard Ewing (D)	1849-53	Ray	5/1819	6/2/1873			
13.	John M. Richardson (D)	1853-57	Greene	1820	5/1/1899			
14.	Benjamin Franklin Massey (D)	1857-61	Jasper	1811	12/18/1879			
15.	Mordecai Oliver (U)2,3	1861-65	Greene	1819	4/25/1898			
16.	Francis A. Rodman (R)	1865-71	Buchanan	1829	1/1/1888			
17.	Eugene F. Weigel (D)	1871-75	St. Louis	1844	10/23/1896			
18.	Michael Knowles McGrath (D)	1875-89	St. Louis	9/1833	1/28/1913			
19.	Alexander A. Lesueur (D)4	1889-1901	Lafayette	11/25/1842	1/29/1924			
20.	Sam Baker Cook (D)	1901-05	Audrain	7/11/1852	2/5/1931			
21.	John Ephriam Swanger (R)	1905-09	Sullivan	6/22/1864	10/19/1936			
22.	Cornelius Roach (D)4	1909-17	Jasper	8/9/1863	9/3/1934			
23.	John Leo Sullivan (D)	1917-21	Pettis	10/14/1877	1/11/1936			
24.	Charles U. Becker (R)4	1921-33	Polk	10/21/1868	5/21/1934			
25.	Dwight H. Brown (D)4	1933-44	Butler	1/12/1887	5/8/1944			
26.	Gregory C. Stockard (R)5	1944-45	Cole	8/3/1904	10/14/1993			
27.	Wilson Bell (D)	1945-47	Washington	5/24/1897	5/20/1947			
28.	Edgar C. Nelson (D)6	1947-49	Cooper	8/17/1883	10/9/1970			
29.	Walter H. Toberman (D)4	1949-60	St. Louis	4/19/1879	2/13/1960			
30.	Robert W. Crawford (D)7	1960–61	Vernon	11/11/1926	2/20/1991			
31.	Warren E. Hearnes (D)	1961–65	Mississippi	7/24/1923				
32.	James C. Kirkpatrick (D)8	1965–73	Henry	6/15/1905	12/26/1997			
	James C. Kirkpatrick (D)	1973-77	Barton	6/15/1905	12/26/1997			
	James C. Kirkpatrick (D)	1977-85	Cole	6/15/1905	12/26/1997			
33.	Roy D. Blunt (R)4	1985-93	Greene	1/10/1950				
34.	Judith K. Moriarty (D)	1993-94	Pettis	2/2/1942				
35.	Richard Hanson (D)9	1994	Cole	2/20/1940				
36.	Rebecca McDowell Cook (D)10	1994-2001	Cape Girardeau	7/18/1950				
37.	Matt Blunt (R)	2001-05	Greene	11/20/1970				
38.	Robin Carnahan (D)	2005	Phelps	8/4/1961				

<sup>&</sup>lt;sup>1</sup>Appointed when Barton resigned to become U.S. District Attorney for Missouri in September, 1821. Additionally, Secretarys of State were appointed until 1852.

<sup>&</sup>lt;sup>2</sup>Unionist

<sup>&</sup>lt;sup>3</sup>Oliver was elected provisional secretary of state by the Missouri State Convention on July 31, 1861. The office had been declared vacated after Governor Jackson joined the Confederacy.

<sup>&</sup>lt;sup>4</sup>Some held multiple terms as secretary of state. In consideration of space, some are listed here only once.

<sup>&</sup>lt;sup>5</sup>Appointed upon death of Dwight H. Brown.

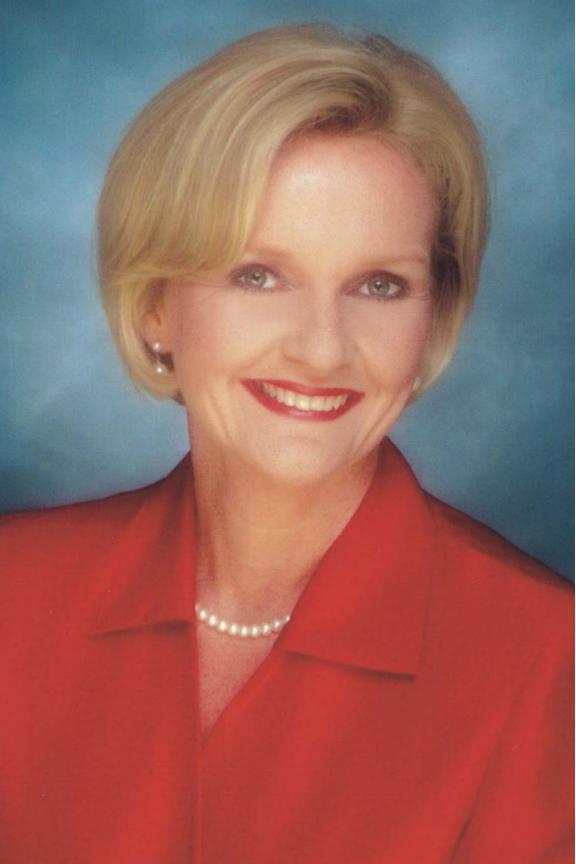
<sup>&</sup>lt;sup>6</sup>Appointed upon death of Wilson Bell.

<sup>&</sup>lt;sup>7</sup>Appointed upon death of Walter H. Toberman.

<sup>&</sup>lt;sup>8</sup>James Kirkpatrick served as secretary of state for five, four-year terms, from 1965-1985. He is listed here three times because of his county declaration.

<sup>&</sup>lt;sup>9</sup>Appointed upon impeachment of Judith K. Moriarty.

<sup>&</sup>lt;sup>10</sup>Appointed upon resignation of Richard Hanson.





## Claire McCaskill

#### State Auditor

Elected November 5, 2002 Term expires January 2007

CLAIRE McCASKILL (Democrat), began her second term as Missouri's 34th state auditor in 2003, by continuing to commission ground-breaking financial and performance audits of all state-funded institutions, state agencies and most counties. Many of the notable audits undertaken by the state auditor's office reviewed the effectiveness of child care programs, education funding, senior health initiatives, and efforts to meet state transportation needs. Since 1999, the state auditor and her staff have released hundreds of useful audit reports to the public, lawmakers and government officials, which can be accessed at www.auditor.mo.gov.

A state audit of Missouri's foster care system pointed out the need for better management in order to ensure children are safe. McCaskill's audit of child support collections found that at one time the state had left over \$1 billion uncollected. Her look into childcare facilities found that state child care bureau officials do not know how many unlicensed providers operate in Missouri.

In addition, McCaskill authorized audits of domestic violence shelters and the state's child abuse hotline. The domestic violence report cited more than 5,000 victims turned away from shelters throughout Missouri, even though more monies were available to help. Conversely, the child abuse audit found it sometimes difficult for the state to remove children from dangerous settings and financial support lacking.

With budget cuts threatening education operations, the state auditor's office examined the issuance of student loans as well as university and college tuition rates throughout Missouri. Meanwhile, reviews of elementary and secondary education found unequal per pupil funding among Missouri school districts and problems across the state recruiting and retaining teachers.

Noticing most public bonds were privately placed, McCaskill commissioned an audit of general obligation bonds registered with her office. The audit concluded public school districts, and other taxing authorities, paid more than \$83 million in excess interest costs over a three-year period by not conducting open competitive bond sales.

As requested by McCaskill, the state auditor's office conducted an audit of the Division of Aging which found more than a thousand

employees caring for Missouri nursing home residents who were forbidden to work with children and the mentally handicapped. State lawmakers passed legislation prohibiting the dangerous double standard, and McCaskill was called to testify on her audit's findings before the United States Senate Special Committee on Aging.

McCaskill was the first auditor to aggressively enforce provisions of the Hancock Amendment that afford protection to Missourians from being overcharged on their property taxes.

The Missouri Press Association's Sunshine Award was presented to Auditor McCaskill for her establishment of audits, at all levels of government, questioning information access costs and compliance to Missouri's Sunshine Law. McCaskill was also awarded the State Employment Advocate Award for her commitment to hiring and retaining minorities.

McCaskill attended the University of Missouri– Columbia graduating in 1975 with a B.S. in political science. She is also a graduate of the University of Missouri Law School.

In 1982, she was elected to the Missouri House of Representatives where she later chaired the House Civil and Criminal Justice Committee, along with serving on the Budget Committee and Judiciary Committee. McCaskill was also the first woman to give birth as a state lawmaker.

While serving as a county lawmaker in 1992, McCaskill was the first woman to be elected Jackson County Prosecutor, the largest prosecutor's office in the state. She was re-elected in 1996.

As a result of her work McCaskill has held a variety of national and state leadership positions including founding member and chair of the National Association of Drug Court Professionals, vice president of the National District Attorney's Association, president of Missouri's Association of Prosecuting Attorneys, and member of the White House Commission for Drug Free Communities.

In April 2002, McCaskill married St. Louis businessman Joseph Shepard. Together, they have seven children.

# Office of State Auditor

224 State Capitol, Jefferson City 65101 Telephone: (573) 751-4824 Truman State Office Bldg., Room 880 Telephone: (573) 751-4213 www.auditor.mo.gov

The state auditor's office is Missouri's independent watchdog agency, charged with auditing all state agencies, boards and commissions; the state court system; and the 89 counties in Missouri that do not have a county auditor. The state auditor may also be called on to audit local units of government by citizen petition.

The time auditors spend working for the office counts toward the mandatory experience required for licensure by the Missouri State Board of Accountancy as a certified public accountant.

Audits are performed to determine if tax dollars are being spent efficiently, economically and legally and to determine how well governmental units and agencies protect against fraud and abuse of the public funds under their control. Approximately 200 state agencies and programs, the state's 45 judicial circuits (including approximately 400 municipal divisions) and 89 counties must be regularly audited by the state auditor. Approximately 20 audits of local government entities, petitioned by local voters, are performed each year.

In addition to fulfilling the primary auditing duties of the office, the state auditor designs accounting systems for state and local governments, approves depositaries selected by the state treasurer and serves as a member of the Board of Fund Commissioners and the State Records Commission. The state auditor also examines and registers general obligation bonds issued by political subdivisions of the state to ensure that each one complies with state law and reviews all property tax rates set by local political subdivisions.

The powers of the state auditor are constitutional, derived from Article IV, Section 13 of the Missouri Constitution. Legal duties are detailed in Chapter 29 of the Revised Statutes of Missouri.

#### Organization

The deputy state auditor works with the state auditor in developing office policies, supervising







PEG GUSTAFSON General Counsel

special projects and consulting with directors concerning the day-to-day operations of the office. The deputy works with areas such as personnel recruiting, budget preparation and staff assignment. The assistant to the deputy state auditor reviews all audit reports and consults with the audit directors on all fraud-related audits. Three directors aid the state auditor and supervise the work of audit managers in charge of auditing various aspects of government operations or government agencies and units. The managers review audit reports before the audits are delivered to the state auditor for final review and approval.

In addition to coordinating audits, audit managers also track changes in policies and laws affecting the agencies or areas of government for which they are responsible, so the state auditor's office can revise audit plans or priorities as needed to review compliance.

Individual audits are supervised by an auditor-in-charge, who normally is a senior auditor. Audit fieldwork is performed by senior auditors, staff auditors and audit assistants.

Approximately 150 persons are employed by the state auditor's office. About 50 percent of the audit staff are CPAs. That figure represents a marked increase from earlier years; in 1974 only about 10 percent of the staff were CPAs.

The office hires only degreed individuals as auditors, primarily through recruiting on the campuses of Missouri colleges and universities. Most recruits are native Missourians. Audit staff members are hired on the basis of professional and academic qualifications.

#### **Types of Audits**

The state auditor's office performs financial and compliance audits, which also include reviews of management practices. Financial records of auditees are examined to determine how the funds under the auditee's control were actually recorded and spent. The systems and controls the auditee uses to protect funds and







TOM KREMER, CPA Director of Audits



KEN KUSTER, CPA Director of Audits



CINDY EBERTING HALL Audit Development Coordinator

property against fraud, waste and abuse are checked. The auditee's compliance with constitutional provisions, laws, legislative appropriation levels and administrative rules is also reviewed. Management practices are reviewed for efficiency and accountability.

Audits are conducted in accordance with generally accepted government auditing standards. Completed financial audits contain a formal opinion from the state auditor regarding the fair presentation of financial statements. A management advisory report listing any management weaknesses disclosed during the audit is also included. Findings and recommendations in the management advisory report are reviewed with the auditee at an exit conference prior to the release of the audit so the responses from the auditee to the recommendations may be printed in the final report.

The state auditor performs special reviews and audits of selected programs or agencies when needed.

The state auditor works closely with other audit agencies and offices at the local, state and national level. This is done to avoid duplication of effort in the audits of programs that may receive funding from several sources.

#### **Citizen Awareness**

Information from citizens, legislators and government employees aid the state auditor when performing special reviews and audits.

Citizens are urged to contact the state auditor if they have information they feel would be useful to auditors performing the audits. All sources of information are kept confidential. Citizens can contact the state auditor's office by calling the toll-free Government Waste Hotline at (800) 347-8597, or through our web site at www.auditor.mo.gov.

#### **Public Information**

All audit reports produced by the state auditor's office are public documents after they have



EWELL LAWSON
Director of Governmental



GLENN CAMPBELL Director of Communication and Policy



JOAN DOERHOFF Executive Assistant to State Auditor

been signed by the state auditor. Reports dealing with state agencies are provided to the press corps covering the state Capitol and to any regional or local newspaper, radio or television station requesting a copy. Audits dealing with county or local government are delivered to the media in that area. Reports are also delivered to the Missouri State Library and are available through the state's library system. Citizens may request copies of any audit report by writing to PO Box 869, Jefferson City 65102, or calling (573) 751-4213.

#### **Historical Listing, State Auditors**

1113	torical Listing, State Additions				
	Name and (party)	Term	County	Born	Died
1.	William Christy (D)	1820-21	St. Louis	1/10/1764	4/3/1837
2.	William V. Rector (D)	1821-23	St. Louis	Unknown	9/16/1829
3.	Elias Barcroft (D)	1823-33	St. Louis	1778	8/26/1851
4.	Henry Shurlds (D)	1833-35	Washington	11/21/1796	8/2/1852
5.	Peter Garland Glover (D)	1835-37	Callaway	1/14/1792	10/27/1851
6.	Hiram H. Baber (D)	1837-45	Cole	9/10/1795	10/23/1873
7.	William Monroe (D)	1845	Morgan	Unknown	4/9/1846
8.	James W. McDearmon (D)	1845-48	St. Charles	8/31/1805	3/20/1848
9.	George W. Miller (D)	1848-49	Cole	Unknown	3/19/1879
10.	Wilson Brown (D)	1849-52	Cape Girardeau	8/27/1804	8/27/1855
11.	Abraham Fulkerson (D)	1852	Cole	Unknown	10/2/1892
12.	William H. Buffington (D)	1853-61	Cole	Unknown	9/26/1899
13.	W.S. Moseley (D)	1861-65	New Madrid	Unknown	1/3/1879
14.	Alonzo Thompson (R)	1865-69	Nodaway	2/22/1832	4/9/1913
15.	Daniel M. Draper (R)	1869-73	Montgomery	1839	5/26/1915
16.	George Boardman Clark (D)	1873-75	Washington	Unknown	12/8/1903
17.	Thomas Holladay (D)	1875-81	Madison	1834	7/31/1904
18.	John Walker (D)	1881-89	Howard	Unknown	9/30/1892
19.	James Monroe Seibert (D)	1889-1901	Cape Girardeau	2/3/1847	1/23/1935
20.	Albert Otis Allen (D)	1901-05	New Madrid	12/12/1842	4/4/1926
21.	William Werner Wilder (R)	1905-09	Ste. Genevieve	12/13/1857	8/26/1930
22.	John Pemberton Gordon (D)	1909-17	Lafayette	4/29/1866	3/5/1949
23.	George Ernst Hackman (R)	1917-25	Warren	8/10/1877	12/29/1954
24.	Lorenzo Dow Thompson (R)	1925-33	Callaway	11/22/1873	10/1/1951
25.	Forrest Smith (D)	1933-49	Ray	12/14/1886	3/8/1962
26.	W.H. Holmes (D)	1949-53	Maries	4/21/1883	3/31/1953
27.	Haskell Holman (D)1	1953-71	Randolph	11/29/1908	7/12/1974
28.	Christopher S. (Kit) Bond (R	1971-73	Audrain	3/6/1939	
29.	John Ashcroft (R) <sup>2</sup>	1973-74	Greene	5/9/1942	
30.	George W. Lehr (D)	1974-77	Jackson	3/10/1937	3/21/1988
31.	Thomas M. Keyes (D) <sup>3</sup>	1977-78	Jackson	2/4/1914	4/3/1987
32.	James Antonio (R)5	1978-84	Cole	6/30/1939	
33.	Margaret B. Kelly (R)4,5	1984-99	Cole	9/17/1935	
34.	Claire McCaskill (D) <sup>5</sup>	1999	Jackson	7/24/1953	

<sup>&</sup>lt;sup>1</sup>Appointed upon death of W.H. Holmes.

<sup>&</sup>lt;sup>2</sup>Appointed upon resignation of Christopher S. Bond.

 $<sup>^{\</sup>scriptscriptstyle 3}\textsc{Appointed}$  upon resignation of George W. Lehr.

<sup>&</sup>lt;sup>4</sup>Appointed upon resignation of James Antonio.

<sup>&</sup>lt;sup>5</sup>Some held multiple terms as state auditor. In consideration of space, they are listed here only once.



"Chariot Hitchhiker" (Missouri State Archives, Putman Collection)





# Sarah Steelman

# State Treasurer

Elected November 2, 2004 Term expires January 2009

SARAH STEELMAN is the first Republican woman in Missouri history to be elected to the office of state treasurer. She was administered the oath of office by her father, John Hearne, to become Missouri's 44th state treasurer on January 10, 2005.

Treasurer Steelman is responsible for the management of more than \$19 billion in Missouri's annual revenue. She oversees the investment of more than \$3 billion in long- and shorterm investments in the state's portfolio, and is in charge of the state's efforts to return more than \$300 million in cash and valuables to citizens through the unclaimed property program.

An economist, Steelman reshaped the state's financial priorities by correcting Missouri's overall investment policy from simple comparative yield to a cumulative yield strategy to increase the benefits Missouri's investments bring to all citizens. She eliminated foreign-owned investment companies from the state's preferred list of financial broker-dealers. She advocated and won passage of a comprehensive overhaul of state banking laws to bring Missouri tax dollars back to the state. She won legislative approval for changes to allow Missouri deposits to be directly invested in Missouri communities to strengthen local and state economies, create jobs and bolster Missouri farms and agricultural business.

Steelman is the first treasurer in Missouri history to win approval for a plan to make the state's treasury self-funded.

Treasurer Steelman serves as chair of the state's Higher Education Savings Board, which administers the Missouri Saving for Tuition (MO\$T) program. This program helps citizens save for the college education of their children through a tax-advantaged investment program. She serves as a member on: the Missouri Housing Development Commission; the governing board of the Missouri State Employees' Retirement System; and the Board of Fund Commissioners.

In 1998 and 2002, Steelman was elected to serve as Senator for the 16th Senatorial District. While in the Senate, Steelman served as chair of the Committee on Commerce and the Environment; Committee on Aging, Families, Mental and Public Health; Committee on Education; and Committee on Governmental Accountability and Fiscal Oversight. She also served on the Missouri Consolidated Health Plan Board of Trustees; the Joint Task Force on Terrorism, Bio-Terrorism and Homeland Security; and the Joint Committee on Rules.

As a state lawmaker, Steelman passed a number of key measures, including major economic development bills, revisions to the sunshine law making it easier for citizens to get access to records and open meetings, a bill requiring hospitals to implement measures to reduce and prevent staph infections, and a charitable check-off that allows taxpayers to contribute to medical research to find cures for serious illnesses. She also introduced and placed on the ballot Constitutional Amendment No. 2, the Sanctity of Marriage Act, which was adopted by voters in August 2004.

Steelman's awards include: Missouri Watch-Defender of Patient Safety; MPS Award of Excellence for making CCW a reality; the James Kirkpatrick Award; NAMI Legislative Hero; Associated Industries Voice of Missouri Business; Disabled Citizens Alliance; the Pathways Partnership; The Eagles Forum God/Family/Country Award; Sheriff's Association Senator of the Year; Missouri Osteopathic Distinguished Health Legislative; and Outstanding Legislator Award by the Speech, Language and Hearing Association.

Previously, Steelman served as deputy director of the Missouri Department of Natural Resources–Division of Geology and Land Survey. She also worked as an economist for the Department of Revenue and as an adjunct professor in economics at Lincoln University. She served as an investment broker and as the director of the Big Brothers and Big Sisters program in Rolla, a not-for-profit organization.

Steelman holds a Bachelor of Arts degree in History and a Master of Arts degree in economics from the University of Missouri-Columbia.

She and her husband, David, make their home in Rolla with their three sons, Sam, Joe and Michael. She has a stepdaughter, Amanda.

# Office of State Treasurer

Room 229, State Capitol Rooms 157, 780, Truman Bldg. PO Box 210, Jefferson City 65102 Telephone: (573) 751-2411 www.treasurer.mo.gov

# **Duties**

The state treasurer is Missouri state government's chief financial officer. The treasurer's office manages Missouri's \$19 billion in annual state revenues; directs the state's banking services; and manages Missouri's \$3.1 billion investment portfolio. The office safeguards more than \$300 million in unclaimed assets that have been turned over to the state by banks, businesses, insurance companies and government agencies, and tries to locate the rightful owners. The state treasurer also serves on the management boards of a number of public entities.

The state treasurer is one of six statewide elected officials, and serves a term of four years. A person may only serve as state treasurer for two terms.

# **Banking Services**

The state treasurer serves as Missouri government's banking director. While not a bank itself, the treasurer's office must authorize payments and balance accounts. To protect taxpayers' money, the state treasurer maintains a separate accounting system to provide a check and balance on the state accounting system, and distributes investment earnings to the proper funds.

The office contracts with Missouri banks to process the state's receipts and disbursements; handle money and security transfers; report on the state's accounts, balances and payment activities; and provide related banking services such as data processing. Bidding for state banking services contracts is open to all Missouri banks.

#### **Investments**

The state treasurer determines the amount of state funds not needed for current operating expenses and invests those funds in interest-bearing time deposits in Missouri banks, U.S. treasury and federal agency securities, repurchase agreements, banker's acceptances and top-rated commercial paper. Safety is the treasurer's number one priority in the investment of the public's funds. Staff investment specialists monitor major investment markets and work to maximize the state's return on investments without compromis-



DOUG GASTON Assistant State Treasurer



SCOTT HARPER Assistant Deputy State Treasurer



JANE K. DUDECK Chief of Staff

ing safety. The office has an average of \$3.1 billion invested daily, earning the state millions of dollars in interest income each year.

# BIG Missouri Linked Deposit Program

A portion of the state's investable funds can be used by the treasurer to help Missouri industries create jobs, to help small businesses grow, to help farmers prosper, and to bring affordable housing within reach of more Missouri families.

Under the **BIG MISSOURI** program, the treasurer's office is authorized to place up to \$350 million in state funds in Missouri financial institutions and accept a below-market rate of return. The institutions pass on the savings in the form of low-interest loans for agriculture, job creation, small business, drought relief, and affordable multi-family housing.

# **Unclaimed Property**

The treasurer's office is responsible for Missouri's largest "lost and found," the state's Unclaimed Property program. State law requires financial institutions, insurance companies, public agencies and other business entities to turn over assets that belong to a customer, client, employee or other rightful owner if there have been no documented transactions or contact with the owner for five or more years. The treasurer's office oper-







MARK MATHERS Director of Investments

ates an aggressive program to return unclaimed assets to the original owners or their legal heirs.

Most unclaimed property consists of cash from bank accounts, stocks, bonds, and contents of safe deposit boxes that have been abandoned. It also can include uncollected insurance policy proceeds, government refunds, utility deposits and wages from past jobs. The Unclaimed Property section does not handle real property such as land, houses, cars and boats.

The office currently is holding more than \$220 million in unclaimed property and funds in more than 1.5 million owner accounts. All unclaimed property is held in trust by the state forever and can be claimed at any time. To recover unclaimed property, claimants must be either the original owner of the property or a legal heir. All services of the Unclaimed Property program are free of charge.

People can check to see if the treasurer's office is holding unclaimed property that may belong to them using its searchable database at www.showmemoney.com.

#### Dollar\$ & \$ense

Dollar\$ & \$ense, created in 1995, is a state-wide bank-at-school program available to Missouri elementary schools to teach children to make informed decisions as savers, spenders, borrowers and money managers. It is a partnership program of the state treasurer's office, local Missouri financial institutions, the Missouri Council on Economic Education, the Missouri Bankers Association and the Consumer Credit Counseling Service of the Mississippi River Valley.

Participating schools are provided with program curricula and partnered with a local bank. The bankers arrange in-school banking hours to accept deposits into student accounts. They work with teachers to provide opportunities for students to learn and practice money management skills.

# Missouri Saving for Tuition (MO\$T)

The state treasurer chairs the Missouri Saving for Tuition, or MO\$T, board. Developed by the state treasurer's office in 1999, the MO\$T pro-



SCOTT PETERS Director of Information Technology and Operations



MARK HUGHES Director of Policy and Communications



JANE O'TOOLE General Counsel



JIM DUNN Director of Constituent Outreach

gram encourages Missouri families to save for higher education while taking advantage of significant federal and state tax benefits. Accounts can be opened with as little as \$25 or \$15 through payroll deduction. Contributions of up to \$8,000 annually can be deducted from Missouri income taxes and all earnings are free of state and federal income taxes. Funds from MO\$T accounts can be used to pay for all eligible educational expenses at virtually any two- or four-year college or university, vocational, technical or professional school anywhere in the country.

For more information about MO\$T, call toll free: 1-888-414-MO\$T, or visit the web site at www.missourimost.org.

# **Boards and Commissions**

The state treasurer serves on the governing boards of three large public entities: the Missouri Housing Development Commission, which assists in the creation of affordable housing for low- and moderate-income Missourians; Missouri State Employees' Retirement System, which manages retirement funds for more than 56,000 state employees and retirees; and Board of Fund Commissioners, which issues, redeems and cancels state general obligation bonds and other debt. The state treasurer also serves on the boards of the Missouri Investment Trust and the Missouri Historical Society.

# **Historical Listing, State Treasurers**

Historical Listing, State Treasurers								
Nar	ne and (party)	Term	County	Born	Died			
1.	John Peter Didier (D)	1820-21	St. Louis	Unknown	8/25/1823			
2.	Nathaniel Simonds (D)1	1821-29	St. Louis	1775	4/7/1850			
3.	James Earickson (D)	1829-33	Howard	1792	1844			
4.	John Walker (D)	1833-38	Cole	10/17/1772	5/26/1838			
5.	Abraham McClellan (D)	1838-43	Jackson	1775	9/18/1851			
6.	Peter Garland Glover (D)1	1843-51	Cole	1/14/1792	10/27/1851			
7.	Alfred William Morrison (D)1	1851-61	Howard	11/25/1802	8/24/1883			
8.	George Caleb Bingham (D)1	1862-65	Jackson	3/20/1811	7/7/1879			
9.	William Bishop (R)	1865-69	Clark	1822	5/2/1879			
10.	William Quintilis Dallmeyer (R)	1869-71	Gasconade	10/23/1829	3/15/1908			
11.	Samuel Hays (R)	1871-73	Buchanan	Unknown	10/8/1897			
12.	Harvey Wallis Salmon (D)	1873-75	Henry	2/26/1839	4/27/1927			
13.	Joseph Wayne Mercer (D)	1875-77	Jackson	2/25/1846	3/13/1906			
14.	Elijah Gates (D)	1877-81	Buchanan	1827	3/4/1915			
15.	Phillip Edward Chappel (D)	1881-85	Cole	8/18/1837	2/23/1908			
16.	James Monroe Seibert (D)	1885-89	Cape Girardeau	12/3/1847	1/23/1935			
17.	Edward T. Noland (D)	1889-90	Cape Girardeau	3/24/1847	6/20/1926			
18.	Lon Vest Stephens (D)	1890-97	Cooper	12/1/1855	1/10/1923			
19.	Frank Littleton Pitts (D)	1897-1901	Monroe	4/25/1841	2/4/1905			
20.	Robert Prewitt Williams (D)	1901-05	Howard	9/8/1841	7/11/1910			
21.	Jacob Friedrich Gmelich (R)	1905-09	Cooper	Unknown	2/21/1941			
22.	James Cowgill (D)	1909-13	Jackson	4/2/1848	1/20/1922			
23.	Edwin P. Deal (D)	1913-17	Mississippi	4/19/1859	12/10/1945			
24.	George H. Middlekamp (D)	1917-21	Warren	4/20/1880	10/5/1966			
25.	Lorenzo Dow Thompson (R)	1921–25	Callaway	11/22/1873	10/1/1951			
26.	C. Eugene Stephens (R)	1925-29	St. Louis	12/20/1889	6/25/1970			
27.	Larry Brunk (R)	1929-33	Lawrence	2/9/1883	11/22/1956			
28.	Richard R. Nacy (D)	1933–37	Cole	11/7/1895	1/10/1961			
29.	Robert William Winn (D)	1937-41	Ralls	6/9/1895	8/13/1948			
30.	Wilson Bell (D)	1941–45	Washington	5/24/1897	5/20/1947			
31.	Robert William Winn (D)	1945–48	Ralls	6/9/1895	8/13/1948			
32.	Richard R. Nacy (D) <sup>2</sup>	1948–49	Cole	11/7/1895	1/10/1961			
33.	Mount Etna Morris (D)	1949–53	Grundy	9/1/1900	7/8/1988			
34.	George Hubert Bates (D)	1953–57	Lafayette	12/8/1884	7/22/1978			
35.	Mount Etna Morris (D)	1957–61	Grundy	9/1/1900	7/8/1988			
36.	Milton Carpenter (D)	1961–65	St. Louis City	3/4/1905	11/19/1996			
37.	Mount Etna Morris (D)	1965–69	Grundy	9/1/1900	7/8/1988			
38.	William Edmond Robinson (D)	1969–73	Cole	6/1/1920	10/16/1992			
39.	James I. Spainhower (D)1	1973–81	Cole	8/3/1928				
40.	Mel Carnahan (D)	1981–85	Phelps	2/11/1934	10/16/2000			
41.	Wendell Bailey (R)1	1985–93	Howell	7/31/1940				
42.	Bob Holden (D)1	1993–2001	Shannon	8/24/1949				
43.	Nancy Farmer (D)	2001–05	St. Louis City	9/11/1956				
44.	Sarah Steelman (R)	2005	Phelps	5/3/1958				

<sup>&</sup>lt;sup>1</sup>Some held multiple terms as state treasurer. In consideration of space, they are listed here only once.

<sup>&</sup>lt;sup>2</sup>Bingham was appointed state treasurer by provisional Governor Gamble when Morrison refused to take a loyalty oath.

<sup>&</sup>lt;sup>3</sup>Appointed upon the death of Robert William Winn.



"Old Goat"
(Missouri State Archives, Putman Collection)





# Jeremiah W. Nixon

# Attorney General

Elected November 2, 2004 Term expires January 2009

JAY NIXON (Democrat) is serving his fourth term as Attorney General of Missouri. Nixon was first elected Attorney General on November 3, 1992, on a platform of fighting crime, cleaning up government corruption, and protecting consumers and the environment. Nixon is Missouri's 40th attorney general.

As attorney general, Nixon serves as the state's chief legal officer, working with law enforcement daily to fight crime and prosecute criminals. He has been a leader nationally in criminal law and victims' rights issues and successfully worked for the reform of Missouri juvenile laws to protect society and to instill more accountability in the juvenile justice system.

One of the most popular and successful programs instituted by Nixon has been the state's No Call program. More than 3.5 million Missourians are a part of the No Call list, which reduces unwanted telemarketing calls. Nixon also has recovered more than \$1.2 million from telemarketers who violated the No Call law. The Missouri No Call program has been held up as a model for other states starting their own programs.

Nixon's landmark victory in the United States Supreme Court in Nixon v. Shrink reinstated Missouri's campaign contribution limits and cleared the way nationally for campaign finance reform. In two other cases of significance, Nixon's work in the Blue Cross and Blue Shield and the Health Midwest cases have resulted in the formation of the state's two largest health-care foundations, which will use more than \$1.5 billion to help provide health-care services to underserved populations of the state. Litigation by Nixon against tobacco companies for illegally marketing cigarettes to young people resulted in the largest settlement in the history of the state.

Nixon has been a national leader in fighting crime on the Internet, obtaining convictions and restitution from those who have defrauded Missourians through Internet scams and establishing through court rulings that Missouri laws can be enforced on the Internet.

As attorney general, Nixon obtained almost \$25 million for Missourians in 2003-2004 from companies and individuals accused of fraudulent activities, including \$5.5 million returned to consumers by the Consumer Protection Division through out-of-court mediation. Nixon's actions to protect the elderly have put telemarketers in prison and fraudulent sweepstakes companies out of business.

As attorney general, Nixon created the Agriculture and Environment Division to enforce Missouri's environmental laws and protect agricultural productivity. Successful litigation by the division has resulted in the cleanup of polluted sites and millions of dollars awarded to the state. Nixon also has led the fight to protect the state's interests in the management of the Missouri River as well as to preserve some of the state's most valuable natural resources, such as Church Mountain and the waterways of the White River basin.

Prior to becoming attorney general, Nixon served as a state senator for six years where he was recognized by the Conservation Federation of Missouri for his environmental work.

Attorney General Nixon is a native of De Soto. He earned his political science and law degrees from the University of Missouri–Columbia in 1978 and 1981, respectively. He was in private practice when he was elected to the Senate in 1986. He is married to Georganne Wheeler Nixon. They have two children, Jeremiah and Will.

# Office of Attorney General

Office of Attorney General Supreme Court Building PO Box 899, Jefferson City 65102 Telephone: (573) 751-3321 www.ago.mo.gov

The attorney general is the attorney for the state, representing the legal interests of Missouri and its state agencies.

As the state's chief legal officer, the attorney general must prosecute or defend all appeals to which the state is a party, including every felony criminal case appealed to the Missouri Supreme Court and courts of appeal. The attorney general also is required to institute, in the name and on behalf of the state, all civil suits and other proceedings that are necessary to protect the state's rights, interests or claims. The attorney general may appear, interplead, answer or defend any proceedings that involve the state's interests, or appear on behalf of the state in declaratory judgment proceedings when the constitutionality of a statute is challenged.

The attorney general also renders official opinions to the executive and legislative branch and the county prosecuting attorneys on questions of law relating to their duties. The attorney general may institute quo warranto proceedings against anyone unlawfully holding office or move to oust any public official for malfeasance in office.

The attorney general's office was created in 1806 when Missouri was part of the Louisiana Territory. Missouri's first Constitution in 1820 provided for an appointed attorney general, but since the 1865 Constitution, the attorney general has been elected.

By law, the attorney general is a member of the Board of Fund Commissioners, the Board of Public Buildings, the Governor's Committee on Interstate Cooperation, the Missouri Highway Reciprocity Commission and the Missouri Housing Development Commission.

To fulfill these and other responsibilities, the attorney general's office is organized into nine divisions.



KAREN KING MITCHELL Deputy Attorney General



EDWARD R. ARDINI Counsel to Attorney General



SCOTT HOLSTE Director of Communications



JOHN WATSON Chief of Staff

# **Agriculture and Environment Division**

The Agriculture and Environment Division aggressively protects Missouri's natural resources and agricultural productivity. Attorneys take legal action to stop pollution of the state's air, water and soil and penalize polluters through fines, penalties and, in the most serious cases, incarceration. The division also works to protect and enhance agriculture and the quality of life for rural Missourians by enforcing the law and advocating responsible public policy.

The attorney general has taken action in recent years to protect the state's interests in management of the Missouri River and to protect some of the state's most valuable resources, such as the waterways of the White River basin and Church Mountain.

Division attorneys represent the Department of Natural Resources and its constituent boards and commissions. The division is responsible for hundreds of active enforcement cases, including administrative appeals before civil commissions. Enforcement litigation is filed primarily in state courts to seek preliminary and permanent injunctions to assure compliance with Missouri's environmental laws. The division also obtains civil penalties and recovers costs and damages for the state. Division attorneys cooperate with the U.S. attorney's office in the Environmental



DOUG NELSON
Deputy Chief of Staff
Director of Administration



PAUL WILSON Deputy Chief of Staff Litigation



JIM LAYTON State Solicitor



JOSEPH BINDBEUTEL Chief Counsel, Agriculture and Environment Division

Crimes Task Force to enforce state and federal laws with civil and criminal penalties.

# **Consumer Protection Division**

The attorney general has the responsibility of protecting the public's interests in an open and honest marketplace. The Consumer Protection Division enforces Missouri's Consumer Protection Act and antitrust laws, and has the responsibility of representing the commissioner of securities of the secretary of state's office.

Missouri's consumer protection statutes prohibit deception, fraud, unfair practices and misrepresentation or concealment of material facts in the sale or advertisement of goods or services. These laws authorize the attorney general to take action against Internet fraud, stop the promotion of pyramid sales schemes, prevent the altering of vehicle odometers and ensure that consumers' rights are protected under laws dealing with home solicitations. The division also has an active consumer education program.

Consumers who wish to file complaints or obtain information may call the attorney general's Consumer Hotline at (800) 392-8222 or go online to www.ago.mo.gov. The division receives more than 85,000 consumer complaints and inquiries each year. Under the Missouri Antitrust Law, the attorney general has the authority to represent the state or any of its political subdivisions, public agencies, school districts or municipalities in actions to prohibit monopolies and trade restraints. The attorney general also may act under federal antitrust statutes to bring civil actions in the name of the state and on behalf of Missouri residents to recover damages for injuries caused by certain antitrust violations.

The attorney general is the state's chief prosecutor for securities fraud, and may initiate legal actions for civil injunctive relief, penalties and restitution under the Missouri Merchandising Practices Law.



Chief Counsel
Consumer Protection Division



DEBBIE DANIELS Chief Counsel Criminal Division

The No Call program to reduce telemarketing calls also is under the Consumer Protection Division. Missourians may register for No Call by calling 1-866 NO CALL 1 or by going online to www.ago.mo.gov.

# **Criminal Division**

The attorney general represents the state in every felony case appealed to the Supreme Court of Missouri and Missouri Court of Appeals and also defends the state in all habeas corpus actions filed by prison inmates in state and federal courts. Each year, attorneys in the Criminal Division brief and argue more than 700 cases in the Missouri Court of Appeals and the Supreme Court of Missouri and litigate some 500 federal habeas corpus actions in the federal district courts, the Eighth Circuit Court of Appeals, and the U.S. Supreme Court. Division attorneys also assist with extraditions to and from Missouri of those charged in criminal cases.

#### **Financial Services Division**

The Financial Services Division protects Missourians by recouping money owed to the state or state agencies, including money owed by inmates to reimburse the state for the cost of



KIP STETZLER Chief Counsel Einancial Services Division



JANE RACKERS Chief Counsel Governmental Affairs Division



LEE SCHAEFER Chief Counsel Labor Division



GAIL VASTERLING Chief Counsel Litigation Division



The Governmental Affairs Division protects the safety and well-being of Missourians by ensuring that regulated professionals adhere to state laws and disciplinary rules, by enforcing state ethics and campaign finance laws, and by removing caregivers who abuse or neglect vulnerable citizens. They also help mentally ill and physically challenged Missourians obtain guardians to help get care, and seek redress for claims of discrimination based on race, color, religion, national origin, ancestry, gender, disability, age and familial status.

Division attorneys provide legal advice to the Missouri Ethics Commission, the Health Facilities Review Committee and more than 30 professional licensing boards including the Board of Registration for the Healing Arts, which regulates the practice of medicine. Attorneys in the division also address questions about Missouri's open meetings and records law, commonly known as the Sunshine Law.

# known as the Sunshine Law. Governmental Entities Division

The division represents or provides legal advice to state officers, including the governor, secretary of state, treasurer and auditor, as well as several state departments and agencies, such as Agriculture, Revenue and Social Services. Division attorneys also defend constitutional challenges to state laws and ballot issues.

Attorneys in this division protect Missourians by recouping money owed to the state, state officers or state agencies and by enforcing compliance with state laws by trusts, foundations and nonprofit corporations.

### **Labor Division**

The Labor Division provides general counsel



ANDREA SPILLARS Chief Counsel Public Safety Division

and litigation services for the Missouri Department of Labor and Industrial Relations and its officers and agencies. The division also represents the state in prevailing wage disputes, crime victims' claims and workers' compensation cases of state employees, including claims involving the Second Injury Fund. Labor Division attorneys represent individuals before the Missouri Human Rights Commission when they have employment discrimination claims.

# **Litigation Division**

Litigation Division attorneys handle major and complex litigation for Missouri, providing legal defense to state agencies and their employees. Cases in the division include damage claims, contract actions, classaction lawsuits, employment issues, constitutional challenges and other types of civil litigation. The division also defends the state in lawsuits brought by inmates of Missouri's correctional facilities.

# **Public Safety Division**

The Public Safety Division is involved in a wide range of criminal prosecutions at the trial level. The division's special prosecution unit prosecutes or assists in the prosecution of cases

throughout Missouri, many of them homicide cases. The meth prosecution unit specializes in handling criminal cases involving the manufacture, sale or possession of methamphetamine.

The workers' compensation fraud unit prosecutes fraud or misconduct involving workers' compensation, and the Medicaid fraud control unit prosecutes cases involving fraud of the state Medicaid program by health professionals, or abuse or neglect of Medicaid recipients by caregivers.

The division's high technology and computer crime unit assists local law enforcement with investigations and prosecutions of computer and Internet crime cases.

The sexually violent predator unit seeks the civil commitment of sexual predators who suffer from a mental abnormality making them more likely than not to commit additional predatory acts of sexual violence.

Attorneys in the division also serve as legal counsel for the Department of Public Safety, Highway Patrol, Water Patrol and other state law enforcement agencies, and represent those agencies in all civil litigation in which they are a party.

# Historical Listing, Attorneys General

1 113	riistoricai Listing, Attorneys General								
	Name and (party)	Term	County	Born	Died				
1.	Edward Bates (D)	1820-21	St. Louis	9/ 4/1793	3/25/1869				
2.	Rufus Easton (D)	1821–26	St. Louis	3/4/1774	7/ 5/1834				
3.	Robert William Wells (D)	1826-36	St. Charles	11/29/1795	9/22/1861				
4.	William Barclay Napton (D)	1836-39	Howard	1808	1/ 8/1883				
5.	Samuel Mansfield Bay (D)	1839-45	Cole	1810	7/1849				
6.	Benjamin F. Stringfellow (D)	1845-49	Chariton	9/ 3/1816	4/25/1891				
7.	William A. Robards (D)	1849-51	Boone	5/ 3/1817	9/ 3/1851				
8.	James B. Gardenhire (Whig)	1851–57	Buchanan	1821	2/20/1862				
9.	Ephraim B. Ewing (D)	1857-58	Ray	5/1819	6/ 2/1873				
10.	J. Proctor Knott (D)	1858-61	Scotland	8/29/1830	6/18/1911				
11.	Aikman Welch (D)1	1861–64	Johnson	5/25/1827	7/28/1864				
12.	Thomas Theodore Crittenden (D) <sup>2</sup>	1864	Johnson	1/22/1832	5/29/1909				
13.	Robert Franklin Wingate (D)	1865-69	St. Louis	1/24/1822	11/12/1897				
14.	Horace B. Johnson (R)	1869–71	Cole	8/14/1842	3/30/1904				
15.	Andrew Jackson Baker (R)3	1871-72	Schuyler	6/ 6/1832	4/23/1911				
16.	Henry Clay Ewing (D)	1873-75	Cole	8/15/1828	3/22/1907				
17.	John A. Hockaday (D)	1875-77	Callaway	1837	11/20/1903				
18.	Jackson Leonidas Smith (D)	1877-81	Cole	1/31/1837	11/13/1908				
19.	Daniel Harrison McIntyre (D)	1881-85	Audrain	5/ 5/1833	1/ 1/1910				
20.	Banton Gallitin Boone (D)	1885-89	Henry	10/23/1838	2/11/1900				
21.	John M. Wood (D)	1889-93	Clark	1850	1/25/1926				
22.	Robert Franklin Walker (D)	1893-97	Morgan	11/29/1850	11/19/1930				
23.	Edward Coke Crow (D)	1897-1905	Jasper	12/19/1861	5/ 9/1945				
24.	Herbert Spencer Hadley (R)	1905-09	Jackson	2/20/1872	12/ 1/1927				
25.	Elliott Woolfolk Major (D)	1909-13	Pike	10/20/1864	7/ 9/1949				
26.	John Tull Barker (D)	1913-17	Macon	8/ 2/1877	12/ 7/1958				
27.	Frank Winton McAllister (D)	1917–21	Monroe	1/26/1873	6/11/1948				
28.	Jesse W. Barrett (R)	1921–25	Lewis	3/17/1884	11/12/1953				
29.	Robert William Otto (R)4	1925	Franklin	12/25/1892	5/ 5/1977				
30.	North Todd Gentry (R)	1925–28	Boone	3/ 2/1866	9/18/1944				
31.	Stratton Shartel (R)	1928-33	Newton	12/25/1895	2/ 2/1956				
32.	Roy M. McKittrick (D) <sup>5</sup>	1933-45	Chariton	8/24/1888	1/22/1961				
33.	Jonathan E. (Buck) Taylor (D)5	1945-53	Livingston	11/26/1906	12/27/1981				
34.	John M. Dalton (D)	1953-61	Dunklin	11/ 9/1900	7/ 7/1972				
35.	Thomas F. Eagleton (D)	1961–65	St. Louis City	9/ 4/1929					
36.	Norman H. Anderson (D)	1965–69	St. Louis	3/24/1924	6/16/1997				
37.	John C. Danforth (R)	1969–73	St. Louis	9/ 5/1936					
	John C. Danforth (R)	1973–77	Cole	9/ 5/1936					
38.	John D. Ashcroft (R)	1977–85	Greene	5/ 9/1942					
39.	William L. Webster (R)5	1985–93	Jasper	9/17/1953					
40.	Jeremiah W. (Jay) Nixon (D)5	1993	Jefferson	2/13/1956					

<sup>&</sup>lt;sup>1</sup>Welch was appointed attorney general by provisional Governor Gamble when Knott refused to take a loyalty oath. <sup>2</sup>Crittenden was appointed to fill the unexpired term of Aikman Welsh, who died in office. Crittenden only served until the end of that year.

<sup>&</sup>lt;sup>3</sup>Baker ran and was elected as a Liberal Republican.

<sup>&</sup>lt;sup>4</sup>Otto left office to become a Supreme Court Judge.

<sup>&</sup>lt;sup>5</sup>Some held multiple terms as attorney general. In consideration of space, some are listed here only once.

Note: Like other state offices, except governor and lieutenant governor, the attorney general's office was filled by appointment from 1820-1852. The offices then became elective for four-year terms. They changed to two-year elective terms in 1868, and changed back to four-year elective terms in 1875.