

State of Missouri  
Office of Secretary of State

Case No. AP-06-09

IN THE MATTER OF:

CRT ENTERPRISES, LLC;  
PLATINUM FINANCIAL ADVISORS, LLC,  
aka PLATINUM-STERLING FINANCIAL ADVISORS, LLC;  
STERLING-MERIDIAN, LLC;  
RONALD J. LINN;  
GLENN E. MASKE;  
CARL R. TODD; and  
RICHARD E. RINER,

*Respondents.*

Serve CRT Enterprises, LLC and Carl R. Todd at:  
1801 Harold Drive  
Raymore, Missouri 64083

With copy to Todd at:

c/o Edward Greim  
Graves, Bartle & Marcus LLC  
1100 Main Street, Suite 2600  
Kansas City, Missouri 64105

Serve Sterling-Meridian, LLC, Ronald J. Linn,  
and Glenn E. Maske at:

101 Convention Center Drive #700  
Las Vegas, Nevada 89109; and at

P.O. Box 277440  
Las Vegas, Nevada 89109

Serve Platinum Financial Advisors, LLC,  
aka Platinum-Sterling Financial Advisors, LLC,  
and Richard Riner, at

125 Monroe Street Suite 103  
San Marcos, Texas 78666

**FINAL ORDER TO CEASE AND DESIST AND ORDER IMPOSING CIVIL  
PENALTIES AND COSTS**

On the 4th day of January, 2007, the Enforcement Section of the Securities Division, by and through Mary S. Hosmer, Assistant Commissioner, submitted a Petition for Final Order to Cease and Desist and Payment of Civil Penalties and Costs (the "Petition"). After reviewing the Petition, the Commissioner issues the following Final Order imposing costs and civil penalties:

1. On July 5, 2006, the Missouri Commissioner of Securities issued an Order to Cease and Desist and Order to Show Cause Why Civil Penalties and Costs Should not be Imposed.
2. On August 29, 2006, the office of the Commissioner received from counsel for Respondent Carl R. Todd a Request for Hearing in this matter.
3. No one has entered an appearance on behalf of any Respondent other than Carl R. Todd, and the Commissioner has received no contact or communication from any other Respondent.
4. On November 30, 2006, Respondent Carl R. Todd, through counsel, withdrew his request for a hearing in this matter.
5. In its Petition the Enforcement Section of the Securities Division requested a Final Order to Cease and Desist as to all Respondents in this matter.
6. In its Petition the Enforcement Section of the Securities Division requested that Respondents pay, jointly and severally, the costs of investigation in this matter in the amount of three thousand one hundred ninety four dollars (\$3,194).

7. In its Petition the Enforcement Section of the Securities Division requested the Commissioner issue an Order imposing a civil penalty of \$10,000 against each Respondent for multiple violations of selling unregistered securities under Section 409.3-301, RSMo. (Supp. 2005).
8. In its Petition the Enforcement Section of the Securities Division requested the Commissioner issue an Order imposing a civil penalty of \$10,000 against each Respondent for multiple violations of making untrue statements of material fact under Section 409.5-501(2), RSMo. (Supp. 2005).
9. In its Petition the Enforcement Section of the Securities Division requested the Commissioner issue an Order imposing a civil penalty of \$10,000 against each Respondent for multiple violations of omitting to state material facts under Section 409.5-501(2), RSMo. (Supp. 2005).

### **ORDER**

**NOW, THEREFORE**, it is hereby ordered that:

- A. The Cease and Desist Order entered in Case Number AP-06-09 on July 5, 2006, is **FINAL** as to all Respondents.
- B. Pursuant to Section 409.6-604(d), RSMo., each of the Respondents shall pay, within thirty (30) days from the date of service of this Order, a separate sum of ten thousand dollars (\$10,000) to the State of Missouri as civil penalties for violation of Sections 409.3-301, RSMo. (Supp. 2005). This amount shall be sent to the Secretary of State and made payable to the State of Missouri, and the Secretary of State shall forward these funds to the state treasury for the benefit of county and township school funds as provided in Article IX, Section 7 of the Constitution of Missouri. Respondents shall deliver this payment to the Securities Division, 600 W. Main Street, PO Box 1276, Jefferson City, Missouri 65102.
- C. Pursuant to Section 409.6-604(d), RSMo., each of the Respondents shall pay, within thirty (30) days from the date of service of this Order, a separate sum of ten thousand dollars (\$10,000) to the State of Missouri as civil penalties for violation of Sections 409.5-501(2), RSMo. (Supp. 2005). This amount shall be sent to the Secretary of State and made payable to the State of Missouri, and the Secretary of State shall forward these funds to the state treasury for the benefit of county and township school funds as provided in Article IX, Section 7 of the Constitution of Missouri. Respondents shall deliver this payment to the Securities Division, 600 W. Main Street, PO Box 1276, Jefferson City, Missouri 65102.
- D. Pursuant to Section 409.6-604(e), RSMo., Respondents shall pay, within thirty (30) days from the date of service of this Order, the additional sum of three thousand one hundred ninety four dollars (\$3,194) as reimbursement for the costs of the investigation and administration of this matter. Respondents shall be jointly and severally liable for this amount. This amount shall be sent to the Secretary of State and made payable to the Missouri Secretary of State's Investor Education and Protection Fund. Respondents shall deliver this payment to the Securities Division, 600 W. Main Street, PO Box 1276, Jefferson City, Missouri 65102.
- E. Pursuant to Section 409.6-604(f), RSMo., this matter shall be referred to the Missouri Attorney General's Office to collect the fines and costs described in this Final Order, as necessary.
- F. This Order is in the public interest and is consistent with the purposes intended by the Missouri Securities Act of 2003.

**SO ORDERED:**

WITNESS MY HAND AND OFFICIAL SEAL OF MY OFFICE AT JEFFERSON CITY, MISSOURI THIS 5th DAY OF JANUARY, 2007.

ROBIN CARNAHAN  
SECRETARY OF STATE

(Signed/Sealed)  
MATTHEW D. KITZI  
COMMISSIONER OF SECURITIES

### **CERTIFICATE OF SERVICE**

I hereby certify that on this 8th day of January, 2007, a copy of the foregoing Final Order issued in the above styled case was mailed by certified U.S. Mail, postage prepaid to Respondents at the above listed addresses.

John Hale  
Specialist